

10-23-2000

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101494187

PATENT
U.S. Patent Application No. 09/570,593
Attorney Docket No. PP01556.002

I hereby certify that this paper is being deposited in the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231-0001, Attn: Box Assignments, on October 3, 2000.

Nancy L. Swanson

October 3, 2000
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: KAUFMANN et al.

Serial No.: 09/570,593

Group Art: 1635

Filed : May 12, 2000

Examiner: Unassigned

For : EXPRESSION OF ETS-DOMAIN PROTEINS IN CANCER

ASSIGNMENT DOCUMENT COVER LETTER

Box Assignment
Assistant Commissioner for Patents
Washington, D.C. 20231

1. The accompanying assignment covers the patent or application identified above.

X No other patents or applications are covered by the assignment.

Other patents and/or applications are covered by the assignment as shown on the attached LIST OF PATENTS AND/OR APPLICATIONS COVERED BY ASSIGNMENT.

()

NAMES OF PARTY MAKING TRANSFER

2. Name 1: Joerg Kaufmann
Name 2: Hong Xin
Name 3: Greg Harrowe

IDENTITY OF PARTY TO WHOM TRANSFER IS MADE

3. Transfer is being made to:

CHIRON CORPORATION
Intellectual Property - R440
P.O. Box 8097
Emeryville, California 94608-8097
United States of America

INTENTION OF DOCUMENT

4. The accompanying document is an:

X Assignment ___ License
___ Security Interest ___ Other

X Execution Dates: September 19, 2000, September 27, 2000, and September 14, 2000.

FEE PAYMENT

5. Total fee due \$40.00

6. ___ Charge Deposit Account No. 03-1664 in the sum of \$40.00.

X Enclosed is a check for \$40.00.

X The Assistant Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 03-1664. THIS IS NOT AN AUTHORIZATION FOR PAYMENT OF ANY ISSUE FEES.

**ADDRESS TO WHICH DOCUMENT SHOULD BE RETURNED AFTER RECORDAL
BY PTO**

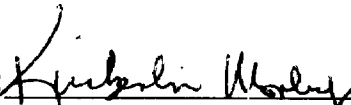
7. Please return the recorded document to:

CHIRON CORPORATION
Intellectual Property - R440
P.O. Box 8097
Emeryville, California 94662-8097
United States of America

STATEMENT BY THE PARTY

8. I hereby submit that, to the best of my knowledge and belief, the foregoing information contained herein is true and correct and any copy submitted herewith is a true and correct copy of the original document.

Respectfully submitted,

By: 

Kimberlin L. Morley
Attorney for Assignee
Reg. No. 35,391

Dated: October 3, 2000

CHIRON CORPORATION
Intellectual Property - R440
P.O. Box 8097
Emeryville, California 94662-8097
TEL: (510) 923-8406
FAX: (510) 655-3542

Total number of pages comprising cover sheet: 15 (Assignment Cover Letter plus Original Assignments)

ASSIGNMENT

WHEREAS, We, JOERG KAUFMANN, HONG XIN, and GREG HARROWE, hereinafter referred to as *ASSIGNORS*, have co-invented certain improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: EXPRESSION OF ETS-DOMAIN PROTEINS IN CANCER

Date of Execution: September 19, 2000

Filing Date: May 12, 2000

Serial No: 09/570,593

WHEREAS, CHIRON CORPORATION, located at 4560 Horton Street, Emeryville, California 94608-2916, hereinafter referred to as *ASSIGNEE*, is desirous of acquiring the entire right, title and interest in the said invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to *ASSIGNORS* by *ASSIGNEE*, receipt of which is hereby acknowledged, *ASSIGNORS* have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said *ASSIGNEE*, and *ASSIGNEE'S* successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (**including conversions claiming priority under 35 U.S.C. § 119(e)**), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said *ASSIGNEE* as fully and exclusively as it would have been held and enjoyed by said *ASSIGNORS* had this assignment and transfer not been made, to the full end and term of any Letters Patent.

ASSIGNORS also agree that the U.S. serial number and the filing date may be entered above by *ASSIGNEE* or its agents upon designation of the serial number and the filing date by the U.S. Patent and Trademark Office.

ASSIGNORS further agree that they will, without charge to said **ASSIGNEE**, but at **ASSIGNEE'S** expense, cooperate with **ASSIGNEE** in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as **ASSIGNEE** lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said **ASSIGNEE**, or **ASSIGNEE'S** successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed their names to this assignment on the dates indicated below.

INVENTOR: JOERG KAUFMANN

DATE 09/19/2000

Joerg Kaufmann
Signature

Signature of Person Witnessing Joerg Kaufmann signing this document:

Joerg Kaufmann
Signature

09-19-00
Date

INVENTOR: HONG XIN

DATE _____
Signature _____

State of California
County of _____

On _____ before me, _____, Notary Public,
personally appeared _____, personally known to me OR proved
to me on the basis of satisfactory evidence to be the person whose name is subscribed to the
within instrument and acknowledged to me that he/she/they executed the same in
his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed
the instrument.

WITNESS my hand and official seal.

Signature of Notary Public

Notary Seal

INVENTOR: GREG HARROWE

DATE _____

Signature

State of California
County of _____

On _____ before me, _____, Notary Public,
personally appeared _____, personally known to me OR proved
to me on the basis of satisfactory evidence to be the person whose name is subscribed to the
within instrument and acknowledged to me that he/she/they executed the same in
his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed
the instrument.

WITNESS my hand and official seal.

Signature of Notary Public

Notary Seal

ASSIGNMENT

WHEREAS, We, JOERG KAUFMANN, HONG XIN, and GREG HARROWE, hereinafter referred to as *ASSIGNORS*, have co-invented certain improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: EXPRESSION OF ETS-DOMAIN PROTEINS IN CANCER

Date of Execution: September 27, 2000

Filing Date: May 12, 2000

Serial No: 09/570,593

WHEREAS, CHIRON CORPORATION, located at 4560 Horton Street, Emeryville, California 94608-2916, hereinafter referred to as *ASSIGNEE*, is desirous of acquiring the entire right, title and interest in the said invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to *ASSIGNORS* by *ASSIGNEE*, receipt of which is hereby acknowledged, *ASSIGNORS* have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said *ASSIGNEE*, and *ASSIGNEE'S* successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (**including conversions claiming priority under 35 U.S.C. § 119(e)**), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said *ASSIGNEE* as fully and exclusively as it would have been held and enjoyed by said *ASSIGNORS* had this assignment and transfer not been made, to the full end and term of any Letters Patent.

ASSIGNORS also agree that the U.S. serial number and the filing date may be entered above by *ASSIGNEE* or its agents upon designation of the serial number and the filing date by the U.S. Patent and Trademark Office.

ASSIGNORS further agree that they will, without charge to said *ASSIGNEE*, but at *ASSIGNEE'S* expense, cooperate with *ASSIGNEE* in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as *ASSIGNEE* lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said *ASSIGNEE*, or *ASSIGNEE'S* successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed their names to this assignment on the dates indicated below.

INVENTOR: JOERG KAUFMANN

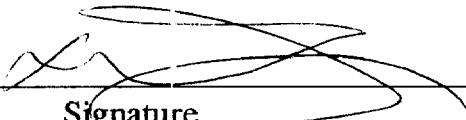
DATE _____
Signature

Signature of Person Witnessing Joerg Kaufmann signing this document:

Signature Date

INVENTOR: HONG XIN

DATE 9/27/2000

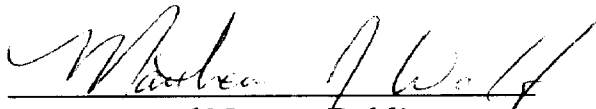


Signature

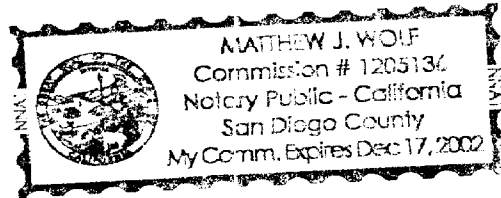
State of California
County of SAN DIEGO

On 9-27-00 before me, MATTHEW J. WOLF, Notary Public,
personally appeared Hong Xin, personally known to me OR proved
to me on the basis of satisfactory evidence to be the person whose name is subscribed to the
within instrument and acknowledged to me that ~~he~~/~~she~~/~~they~~ executed the same in
~~his~~/~~her~~/~~their~~ authorized capacity(~~ies~~), and that by ~~his~~/~~her~~/~~their~~ signature(~~s~~) on the
instrument the person(~~s~~), or the entity upon behalf of which the person(~~s~~) acted, executed
the instrument.

WITNESS my hand and official seal.



Signature of Notary Public



Notary Seal

INVENTOR: GREG HARROWE

DATE _____
_____ Signature

State of California
County of _____

On _____ before me, _____, Notary Public,
personally appeared _____, personally known to me OR proved
to me on the basis of satisfactory evidence to be the person whose name is subscribed to the
within instrument and acknowledged to me that he/she/they executed the same in
his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed
the instrument.

WITNESS my hand and official seal.

Signature of Notary Public

Notary Seal

ASSIGNMENT

WHEREAS, We, JOERG KAUFMANN, HONG XIN, and GREG HARROWE, hereinafter referred to as *ASSIGNORS*, have co-invented certain improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: EXPRESSION OF ETS-DOMAIN PROTEINS IN CANCER

Date of Execution: September 14, 2000

Filing Date: May 12, 2000

Serial No: 09/570,593

WHEREAS, CHIRON CORPORATION, located at 4560 Horton Street, Emeryville, California 94608-2916, hereinafter referred to as *ASSIGNEE*, is desirous of acquiring the entire right, title and interest in the said invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to *ASSIGNORS* by *ASSIGNEE*, receipt of which is hereby acknowledged, *ASSIGNORS* have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said *ASSIGNEE*, and *ASSIGNEE'S* successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (**including conversions claiming priority under 35 U.S.C. § 119(e)**), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said *ASSIGNEE* as fully and exclusively as it would have been held and enjoyed by said *ASSIGNORS* had this assignment and transfer not been made, to the full end and term of any Letters Patent.

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IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed their names to this assignment on the dates indicated below.

INVENTOR: JOERG KAUFMANN

DATE _____
Signature

Signature of Person Witnessing Joerg Kaufmann signing this document:

Signature Date

INVENTOR: HONG XIN

DATE _____
_____ Signature

State of California
County of _____

On _____ before me, _____, Notary Public,
personally appeared _____, personally known to me OR proved
to me on the basis of satisfactory evidence to be the person whose name is subscribed to the
within instrument and acknowledged to me that he/she/they executed the same in
his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed
the instrument.

WITNESS my hand and official seal.

Signature of Notary Public

Notary Seal

INVENTOR: GREG HARROWE

DATE 9/14/00

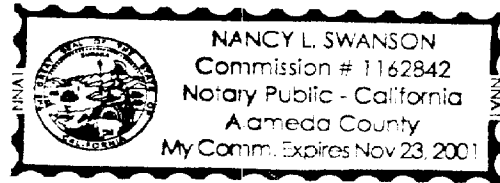
Greg Harrowe
Signature

State of California
County of Alameda

On 9/14/2000 before me, *Nancy L Swanson* Notary Public,
personally appeared *Greg Harrowe*, personally known to me OR proved
to me on the basis of satisfactory evidence to be the person whose name is subscribed to the
within instrument and acknowledged to me that he/she/they executed the same in
his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed
the instrument.

WITNESS my hand and official seal.

Nancy L Swanson
Signature of Notary Public



Notary Seal