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10-23-2000

Department of Commerce
Trademark Office

No.: P101615-00006
September 19, 2000

Handwritten: 9.19.00



101494842

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof

1. Name of conveying party(ies)

Paolo COZZI, Pier Giovanni BARALDI, Italo BERIA, Marina CALDARELLI, Laura CAPOLONGO, Romeo ROMAGNOLI

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Pharmacia & Upjohn S.p.A.

Address: Via Robert Koch, 1.2
20152 Milan, Italy

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 7/14/00

Handwritten: 09/623505

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 7/14/00

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes

☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arent Fox Kintner Plotkin & Kahn PLLC

Street Address: 1050 Connecticut Avenue, N.W., Suite 600

Washington, D.C. 20036-5339

6. Total number of applications and patents involved:

One

7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Enclosed-Check No.: 301113
☐ Authorized to be charged to deposit account

8. Deposit account number: 01-2300

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert B. Murray

Name of Person Signing
Reg. No. 22,980

Signature

September 19, 2000

Date

Total number of pages including cover sheet, attachments, and document: 2

Docket No. _____

U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by

PHARMACIA & UPJOHN S.p.A.

(Insert
ASSIGNEE's
Name(s)
Address(es))

Via Robert Koch, 1.2

20152 Milan, Italy

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled

BENZOHETEROCYCLIC DISTAMYCIN DERIVATIVES, PROCESS FOR

(Title of
Invention)

PREPARING THEM, AND THEIR USE AS ANTITUMOR AGENTS.

(*If the assignment
is being filed
after the
filing of the
application, this
section must be
completed)

for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

*filed on _____, Serial No. _____

(Nikaido, Marmelstein, Murray & Oram is hereby authorized to insert the series code, serial number and/or filing date hereon, when known)


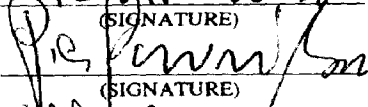
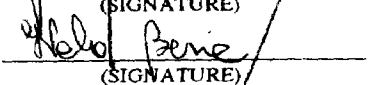

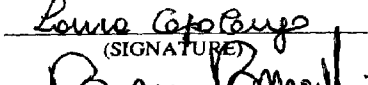
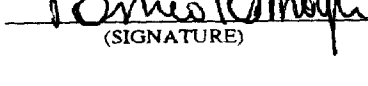
and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with application and any continuing, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, division, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)

	Paolo Cozzi	14 July 2000
(SIGNATURE)	(TYPE NAME)	(DATE)
	Pier Giovanni Baraldi	14 July 2000
(SIGNATURE)	(TYPE NAME)	(DATE)
	Italo Beria	14 July 2000
(SIGNATURE)	(TYPE NAME)	(DATE)
	Marina Caldarelli	14 July 2000
(SIGNATURE)	(TYPE NAME)	(DATE)
	Laura Capolongo	14 July 2000
(SIGNATURE)	(TYPE NAME)	(DATE)
	Romeo Romagnoli	14 July 2000
(SIGNATURE)	(TYPE NAME)	(DATE)
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(SIGNATURE)	(TYPE NAME)	(DATE)
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NO LEGALIZATION REQUIRED

RECORDED: 09/19/2000

PATENT
REEL: 011166 FRAME: 0810