

10-24-2000

Docket No.: 2655

EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



Tab settings → → → ▼ ▼

101496547

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

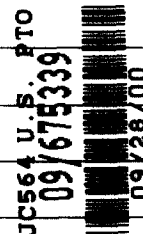
Thomas B. Sutton
Kenneth E. Kadziauskas
Paul W. Rockley

9-28-00

2. Name and address of receiving party(ies):

Name: Allergan Sales, Inc.

Address: 2525 Dupont Drive



Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

City: Irvine State/Prov.: CA

Country: USA ZIP: 92612

Execution Date:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

09/675339

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Walter A. Hackler, Ph.D

Registration No. 27,792

Address: 2372 S.E. Bristol, Suite B

City: Newport Beach State/Prov.: CA

Country: USA ZIP: 92660

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-0114

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Walter A. Hackler, Ph.D.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:



ASSIGNMENT

WHEREAS WE, Thomas B. Sutton, residing at 66 Streamwood
~~XXXXXX~~ ~~XXXXXX~~ Irvine, CA 92620 ~~11/9/13/00~~ of ~~1983 Church St. #F~~
 Orange COUNTY, CALIFORNIA and Kenneth E. Kadziauskas
 residing at 49 Grassy Knoll, Las Flores, CA 92688
 of Orange
 COUNTY, CALIFORNIA and ~~Paul W. Rockley~~
 residing at ~~14XXXXXX~~ of ~~XXXXXX~~
~~XXXXXX~~ ~~XXXXXX~~
 COUNTY, CALIFORNIA and Paul W. Rockley ~~Paul~~
 residing at 27974 Greenlawn Circle, Laguna Niguel, ~~Paul~~
 CA 92677 of
 COUNTY, CALIFORNIA (hereinafter referred to as ASSIGNORS), have invented
 and own a certain invention entitled: PHACO TIP WITH FLUID BYPASS PORT

for which application for Letter Patent of the United States has been
 executed on even date herewith.

WHEREAS: Allergan Sales, Inc., having its principal place of
 business at 2525 Dupont, Irvine, CA 92612 (hereinafter referred to as
 ASSIGNEE), is desirous of acquiring the entire interest in, to and under
 said invention and in, to and under Letters Patent or similar legal
 protection to be obtained therefor in the United States and in any and all
 foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in
 consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One
 Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other
 good and valuable consideration, ASSIGNOR hereby sells, assigns and
 transfers to ASSIGNEE the full and exclusive right, title and interest to
 said invention in the United States and its territorial possessions and in
 all foreign countries to all Letters Patent or similar legal protection in
 the United States and its territorial possessions and in any and all
 foreign countries to be obtained for said invention by said application or
 any continuation, divisional, renewal, substitute or reissue thereof or any
 legal equivalent thereof in a foreign country for the full term or terms
 for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or
 encumbrance has been or will be made or entered into which would conflict
 with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be
 provided promptly with all pertinent facts and documents relating to said
 application, said invention and said Letters Patent and legal equivalents
 in foreign countries as may be known and accessible to ASSIGNOR and will
 testify as to the same in any interference or litigation related thereto
 and will promptly execute and deliver to ASSIGNEE or its legal
 representative any and all papers, instruments or affidavits required to
 apply for, obtain, maintain, issue and enforce said application, said
 invention and said Letters Patent and said equivalent thereof in any
 foreign country which may be necessary or desirable to carry out the
 purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this
Sept. 13 2000

Thomas B. Sutton

Thomas B. Sutton

State of California

County of Orange

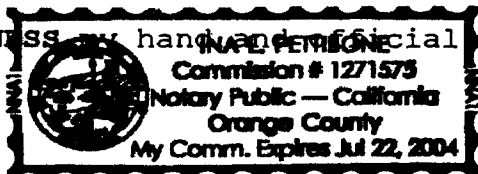
On 9-13-00 before me, Ina L. Pettibone

Personally appeared

Thomas B. Sutton

Personally known to me ~~(or proved to me on the basis of satisfactory evidence)~~ to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature(s) on the instrument the person, or the entity upon behalf of which there person(s) acted, executed the instruments.

WITNESS my hand and official seal.



Ina L. Pettibone

Notary Public

IN WITNESS WHEREOF, We have hereunto set hand and seal this
Sept. 13 2000

Kenneth E. Kadziauskas

Kenneth E. Kadziauskas

State of California

County of Orange

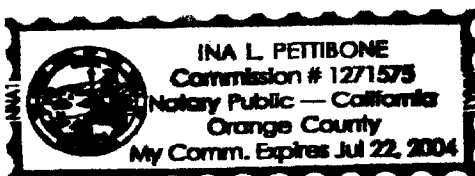
On 9-13-00 before me, Ina L. Pettibone

Personally appeared

Kenneth E. Kadziauskas

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WITNESS my hand and official seal.



Ina L. Pettibone

Notary Public

IN WITNESS WHEREOF, We have hereunto set hand and seal this
Sept. 13 2000

Paul W. Rockley
Paul W. Rockley

State of California

County of Orange

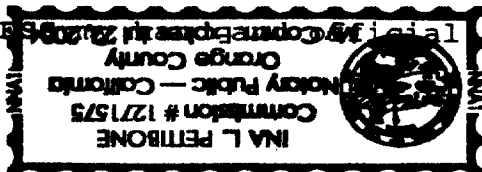
On 9-13-00 before me, Ina L. Pettibone

Personally appeared

Paul W. Rockley

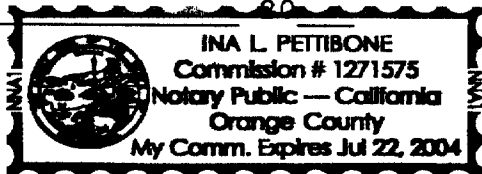
Personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature(s) on the instrument the person, or the entity upon behalf of which there person(s) acted, executed the instruments.

WITNESS my hand and official seal.



Ina L. Pettibone
Notary Public

IN WITNESS WHEREOF, We have hereunto set hand and seal this



State of _____

County of _____

On _____ before me,
Personally appeared

Personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature(s) on the instrument the person, or the entity upon behalf of which there person(s) acted, executed the instruments.

WITNESS my hand and official seal.

Notary Public