

12-13-2000

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings → → → ▼ ▼ ▼



101539765

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

STEPHEN M. JOHNSON, TIMOTHY E. HOGLUND, DAVID M. WEBER,  
JOHN M. ADAMS, and MARK A. REBER

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: LSI Logic Corporation

Internal Address: 1551 McCarthy Boulevard, M/S D-106, Milipitas, CA 95035

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name

☐ Other: \_\_\_\_\_

Execution Date: 4/21/99; 4/27/99; 4/27/99;

5/21/99; 5/21/99

Street Address: 1551 McCarthy Boulevard, M/S D-106

City: MILIPITAS State: CA ZIP: 95035

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 98-129

If this document is being filed together with a new application, the execution date of the application is:  
April 27, 1999 and September 23, 2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional number attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DUKE W. YEE

Internal Address: CARSTENS, YEE & CAHOON, L.L.P.

Street Address: 13760 NOEL ROAD, SUITE 900

City: DALLAS State: TX ZIP: 75240

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$40.00

☒ Check Enclosed

8. Deposit account number:

50-0392

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Duke W. Yee

Name of Person Signing

Signature

10/04/00

Date

10/19/2000 DBYRNE

00000158 09679506

011C:581

40.00 Total number of pages including cover sheet, attachments, and document: 9

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

(Form PTO-1595 - Recordation Form Cover Sheet - page 1 of 1)

PATENT  
REEL: 011169 FRAME: 0652

## ASSIGNMENT OF UNITED STATES PATENT APPLICATION

WHEREAS, we, **Stephen M. Johnson** of Colorado Springs, CO; **Timothy E. Hoglund** of Colorado Springs, CO; **David M. Weber** of Monument, CO; **John M. Adams** of Colorado Springs, CO; and **Mark A. Reber**, of Alpharetta, GA, have invented certain new and useful improvements in a **METHOD AND APPARATUS FOR TRANSMITTING DATA TO A NODE IN A DISTRIBUTED DATA PROCESSING SYSTEM**, Docket No. 98-129, for which we have executed an application for Letters Patent of the United States on \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, 5-21-99 and 9-23-2000, respectively; and

WHEREAS, LSI Logic Corporation, a corporation of the State of Delaware, having its principal place of business in Milpitas, California, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefor in the United States and any and all foreign countries;

NOW, THEREFORE, be it known that for good and valuable consideration, receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto said LSI Logic Corporation, its successors and assigns, the entire right, title and interest in and to the invention and improvements in any form or embodiment thereof, disclosed and claimed in said application, or which are disclosed and may be claimed; also the entire right, title and interest in and to any Letters Patent to be granted in the United States and its territorial possessions and in any and all foreign countries upon said invention in any form or upon any such improvements thereon, and in and to any and all divisions, reissues, continuations and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue any Letters Patent on said application to the said LSI Logic Corporation, of Delaware, its successors and assigns.

Further, we agree that we will communicate to said LSI Logic Corporation, or its representatives, any facts known to us

respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said LSI Logic Corporation, make all rightful oaths and generally to do everything possible to aid said LSI Logic Corporation, its successors and assigns, to obtain and enforce protection for said invention in the United States and in any and all foreign countries.

Signed at Colorado Springs, Colorado, this \_\_\_\_ day of April, 1999.

---

**Stephen M. Johnson**

STATE OF COLORADO                    )  
   ) ss:  
 COUNTY OF EL PASO                    )

On this \_\_\_\_ day of April, 1999, before me personally appeared **Stephen M. Johnson**, to me personally known and known to be the person who signed the foregoing assignment, and acknowledged the signing thereof as his free act and deed.

(SEAL)

---

Notary Public

Signed at Colorado Springs, Colorado, this \_\_\_\_ day of  
April, 1999.

\_\_\_\_\_  
**Timothy E. Hoglund**

STATE OF COLORADO                    )  
  ) ss:  
COUNTY OF EL PASO                    )

On this \_\_\_\_ day of April, 1999, before me personally  
appeared **Timothy E. Hoglund**, to me personally known and known to  
be the person who signed the foregoing assignment, and  
acknowledged the signing thereof as his free act and deed.

(SEAL)

\_\_\_\_\_  
Notary Public

Signed at Colorado Springs, Colorado, this \_\_\_\_ day of  
April, 1999.

\_\_\_\_\_  
**David M. Weber**

STATE OF COLORADO                    )  
  ) ss:  
COUNTY OF EL PASO                    )

On this \_\_\_\_ day of April, 1999, before me personally  
appeared **David M. Weber**, to me personally known and known to be  
the person who signed the foregoing assignment, and acknowledged  
the signing thereof as his free act and deed.

(SEAL)

\_\_\_\_\_  
Notary Public

Signed at Colorado Springs, Colorado, this 21st day of ~~April~~, 1999.

May

John M. Adams  
John M. Adams

STATE OF COLORADO                    )  
  ) ss:  
COUNTY OF EL PASO                    )

On this \_\_\_\_\_ day of April, 1999, before me personally appeared **John M. Adams**, to me personally known and known to be the person who signed the foregoing assignment, and acknowledged the signing thereof as his free act and deed.

(SEAL)

Jerry Briggs  
Notary Public  
Exp. Nov. 9, 2002

Signed at Colorado Springs, Colorado this 21st day of May, 1999.

Mark A. Reber  
Mark A. Reber

STATE OF GEORGIA                    )  
  ) ss:  
COUNTY OF Forsyth                    )

On this 23 day of SEPTEMBER, 2000, before me personally appeared **Mark A. Reber**, to me personally known and known to be the person who signed the foregoing assignment, and acknowledged the signing thereof as his free act and deed.

(SEAL)

Tom Mann  
Notary Public

**ASSIGNMENT OF UNITED STATES PATENT APPLICATION**

WHEREAS, we, **Stephen M. Johnson** of Colorado Springs, CO; **Timothy E. Hoglund** of Colorado Springs, CO; **David M. Weber** of Monument, CO; **John M. Adams** of Colorado Springs, CO; and **Mark A. Reber**, of Alpharetta, GA, have invented certain new and useful improvements in a **METHOD AND APPARATUS FOR TRANSMITTING DATA TO A NODE IN A DISTRIBUTED DATA PROCESSING SYSTEM**, Docket No. 98-129, for which we have executed an application for Letters Patent of the United States on 4-27-99, 4/27/99, 4/27/99, \_\_\_\_\_ and \_\_\_\_\_, respectively; and


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NOW, THEREFORE, be it known that for good and valuable consideration, receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto said LSI Logic Corporation, its successors and assigns, the entire right, title and interest in and to the invention and improvements in any form or embodiment thereof, disclosed and claimed in said application, or which are disclosed and may be claimed; also the entire right, title and interest in and to any Letters Patent to be granted in the United States and its territorial possessions and in any and all foreign countries upon said invention in any form or upon any such improvements thereon, and in and to any and all divisions, reissues, continuations and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue any Letters Patent on said application to the said LSI Logic Corporation, of Delaware, its successors and assigns.

Further, we agree that we will communicate to said LSI Logic Corporation, or its representatives, any facts known to us

respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said LSI Logic Corporation, make all rightful oaths and generally to do everything possible to aid said LSI Logic Corporation, its successors and assigns, to obtain and enforce protection for said invention in the United States and in any and all foreign countries.

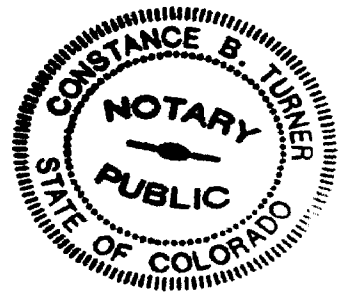
Signed at Colorado Springs, Colorado, this 27<sup>th</sup> day of April, 1999.

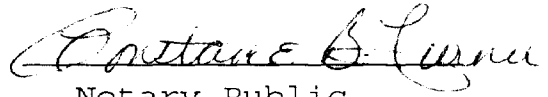
  
Stephen M. Johnson

STATE OF COLORADO                    )  
  ) ss:  
COUNTY OF EL PASO                 )

On this 27<sup>th</sup> day of April, 1999, before me personally appeared **Stephen M. Johnson**, to me personally known and known to be the person who signed the foregoing assignment, and acknowledged the signing thereof as his free act and deed.

(SEAL)



  
Notary Public  
*my commission expires 9-14-99*

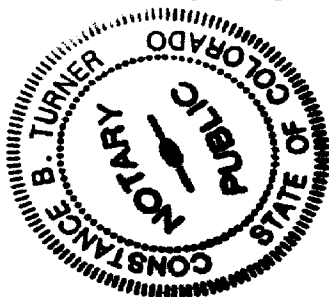
Signed at Colorado Springs, Colorado, this 27<sup>th</sup> day of April, 1999.


  
Timothy E. Hoglund

STATE OF COLORADO                    )  
  ) ss:  
COUNTY OF EL PASO                 )

On this 27<sup>th</sup> day of April, 1999, before me personally appeared **Timothy E. Hoglund**, to me personally known and known to be the person who signed the foregoing assignment, and acknowledged the signing thereof as his free act and deed.

(SEAL)



  
Notary Public  
my commission expires 9-14-99

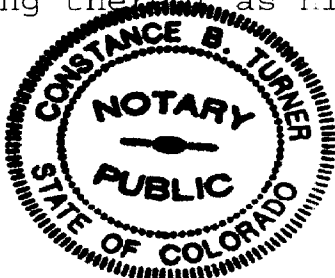
Signed at Colorado Springs, Colorado, this 27 day of April, 1999.

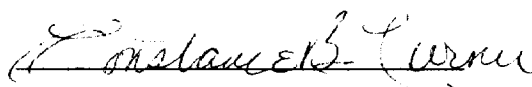
  
David M. Weber

STATE OF COLORADO                    )  
  ) ss:  
COUNTY OF EL PASO                 )

On this 27<sup>th</sup> day of April, 1999, before me personally appeared **David M. Weber**, to me personally known and known to be the person who signed the foregoing assignment, and acknowledged the signing thereof as his free act and deed.

(SEAL)



  
Notary Public  
my commission expires 9-14-99



Signed at Colorado Springs, Colorado, this \_\_\_\_\_ day of April, 1999.

John M. Adams

[illegible]

On this \_\_\_\_\_ day of April, 1999, before me personally appeared **John M. Adams**, to me personally known and known to be the person who signed the foregoing assignment, and acknowledged the signing thereof as his free act and deed.

(SEAL)

Notary Public

Signed at \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_,  
1999.

**Mark A. Reber**

[illegible]

On this \_\_\_\_ day of \_\_\_\_\_, 1999, before me personally appeared **Mark A. Reber**, to me personally known and known to be the person who signed the foregoing assignment, and acknowledged the signing thereof as his free act and deed.

(SEAL)

Notary Public



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

DECEMBER 12, 2000

PTAS

CARSTENS, YEE & CAHOON, L.L.P.  
DUKE W. YEE  
13760 NOEL ROAD  
SUITE 900  
DALLAS, TX 95240



\*101492258A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF NON-RECORDATION OF DOCUMENT**

DOCUMENT ID NO.: 101492258

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE COVER SHEET SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. AN EXECUTION DATE MUST BE INDICATED FOR EACH CONVEYING PARTY.

STEVEN POST, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

**RECORDED: 10/04/2000**

**PATENT  
REEL: 011169 FRAME: 0661**