

10-26-2000

10-10-00
T U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Attorney Docket No. ****



To the Honorable Commissioner of Patent

101497331

J original documents or copy thereof.

1. Name of conveying party(ies):

Andreas STEIN
Brian R. HOLLAND
Christopher F. BLANFORD
Hongwei YAN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 09/15/2000; 09/13/2000; 09/15/2000;
and 09/15/2000, respectively

2. Name and address of receiving party(ies):

Name: **REGENTS OF THE UNIVERSITY OF MINNESOTA**

Street Address: **450 UNIVERSITY GATEWAY**
200 OAK STREET SE

City: Minneapolis State: MN ZIP: 55455

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s).

Serial No.: 09/549 078

Filed: 15 April 2000

Title: **SYNTHESIS OF MACROPOROUS STRUCTURES**

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ann M. Mueting
Address: MUETING, RAASCH & GEBHARDT, P.A.
P.O. Box 581415
Minneapolis, MN 55458-1415

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$40.00

☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to Deposit account number: 13-4895

10/24/2000 DDYRNE 00000140 09549078
01 50:581 40.00 00
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is an original or a true copy of the original document.

Ann M. Mueting/Reg. No. 33, 977
Name of Person Signing

Ann M. Mueting
Signature

Oct. 5, 2000
Date

Total number of pages including cover sheet, attachments, and document(s): 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

PATENT
REEL: 011172 FRAME: 0259

ASSIGNMENT

Whereas We, Andreas Stein, Brian T. Holland, Christopher F. Blanford, and Hongwei Yan,, with residences and citizenships as indicated below; have made an invention in

SYNTHESIS OF MACROPOROUS STRUCTURES

Filed: April 15, 2000

Serial No.: 09/549,078

and executed an application for Letters Patent of the United States of America based thereon;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto Regents of the University of Minnesota ("Company"), a non-profit organization of Minnesota, having its principal office at 450 University Gateway, 200 Oak Street SE, Minneapolis, Minnesota 55455, its successors and assigns, the entire right, title, and interest in and to said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by the Company of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any continued prosecution application, divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made;

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of said applications, to Regents of the University of Minnesota as the assignee of the entire right, title, and interest therein.

In witness whereof, we have hereunto signed our names on the days and years set forth below.

Andreas Stein

Sept. 15, 2000

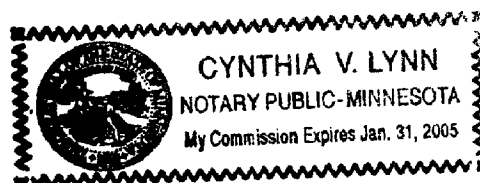
Name: Andreas Stein
Address: 1691 Englewood Avenue, St. Paul, MN 55104
Citizenship: Canada

Date

STATE OF Minnesota)
) ss.
COUNTY OF Hennepin)

On this 15 day of September 2000 before me personally appeared Andreas Stein to me known to be the person described in and who executed the foregoing instrument, and he executed the same for the uses and purposes therein set forth.

Cynthia V. Lynn
Notary Public



Serial No. 09/549,078

Filed: April 15, 2000

For: SYNTHESIS OF MACROPOROUS STRUCTURES

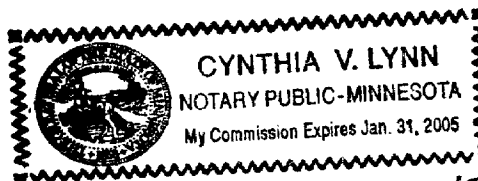
Name: Brian T. Holland
Address: 130 South Canal Street, Unit 225, Chicago, IL 60606
Citizenship: USA

Date

STATE OF Minnesota)
) ss.
COUNTY OF Hennepin)

On this 15 day of September 2000 before me personally appeared Brian T. Holland to me known to be the person described in and who executed the foregoing instrument, and he executed the same for the uses and purposes therein set forth.

Notary Public

15 Sept 2000

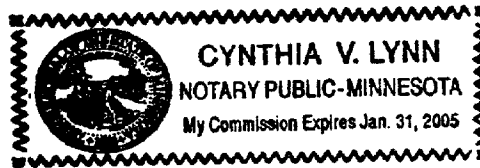
Name: Christopher F. Blanford
Address: 5403 Pillsbury Avenue, Minneapolis, MN 55419
Citizenship: USA

Date

STATE OF Minnesota)
) ss.
COUNTY OF Hennepin)

On this 15 day of September 2000 before me personally appeared Christopher F. Blanford to me known to be the person described in and who executed the foregoing instrument, and he executed the same for the uses and purposes therein set forth.

Notary Public

9/15/00

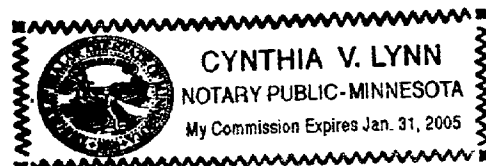
Name: Hongwei Yan
Address: 1222 Fifield Avenue, St. Paul, MN 55108
Citizenship: People's Republic of China

Date

STATE OF Minnesota)
) ss.
COUNTY OF Hennepin)

On this 15 day of September 2000 before me personally appeared Hongwei Yan to me known to be the person described in and who executed the foregoing instrument, and he executed the same for the uses and purposes therein set forth.

Notary Public



PATENT

REEL: 011172 FRAME: 0261

Serial No. 09/549,078

Filed: April 15, 2000

For: SYNTHESIS OF MACROPOROUS STRUCTURES

Brian T. Holl9/13/00

Name: Brian T. Holland
Address: 130 South Canal Street, Unit 225, Chicago, IL 60606
Citizenship: USA

Date

STATE OF Wisconsin)
COUNTY OF Madison) ss.

On this 13th day of September 2000 before me personally appeared Brian T. Holland to me known to be the person described in and who executed the foregoing instrument, and he executed the same for the uses and purposes therein set forth.

Heane R Jordan Exp. 6/1/03
Notary Public

Name: Christopher F. Blanford
Address: 5403 Pillsbury Avenue, Minneapolis, MN 55419
Citizenship: USA

Date

STATE OF _____)
COUNTY OF _____) ss.

On this _____ day of _____ 2000 before me personally appeared Christopher F. Blanford to me known to be the person described in and who executed the foregoing instrument, and he executed the same for the uses and purposes therein set forth.

Notary Public

Name: Hongwei Yan
Address: 1222 Fifield Avenue, St. Paul, MN 55108
Citizenship: People's Republic of China

Date

STATE OF _____)
COUNTY OF _____) ss.

On this _____ day of _____ 2000 before me personally appeared Hongwei Yan to me known to be the person described in and who executed the foregoing instrument, and he executed the same for the uses and purposes therein set forth.

Notary Public