

10-27-2000



UNUS #99-0237-Y3-LIP

CASE #DM6113/3(V)

## RECORDATION FORM COVER S

101498334

DEPARTMENT OF COMMERCE - PATENT

To the Honorable Commissioner of Patents: Please record the attached original documents or copy thereof.

## 1. NAME OF CONVEYING PARTY(IES):

Vinod Kumar BANSAL  
Karen Lynn ORTIZ-VALERO  
Bartlett Henderson GOODELL  
Bert Davis HEINZELMAN  
Donald Richard LAMOND

8-14-00

## 2. NAME &amp; ADDRESS OF RECEIVING PARTY(IES):

NAME: Lipton, Division of Conopco, Inc.  
STREET ADDRESS: 800 Sylvan Avenue  
CITY: Englewood Cliffs STATE: New Jersey ZIP: 07632

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES)  
ATTACHED? YES [☐] NO [☒]

## 3. NATURE OF CONVEYANCE:

[☒] ASSIGNMENT [☐] SECURITY AGREEMENT [☐] MERGER [☐] CHANGE OF NAME [☐] OTHER  
EXECUTION DATE: 4/13/00, 5/3/00, 4/13/00, 5/23/00, 5/23/00

## 4. APPLICATION NUMBER(S) OR PATENT NUMBER(S): 29/120,261 FILED March 16, 2000

IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS &lt;&gt;

## A. PATENT APPLICATION NO.(S) 29/120,261

ADDITIONAL NUMBERS ATTACHED? [☐] YES [☒] NO

## B. PATENT NO.(S) &lt;&gt;

ADDITIONAL NUMBERS ATTACHED? [☐] YES [☒] NO

5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE  
MAILED: UNILEVER PATENT DEPARTMENT, 45 RIVER ROAD, EDGEWATER, NJ 07020

6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED: 1

7. TOTAL FEE (37 CFR 3.41): \$40.00 [☒] AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT  
[☒] ANY DEFICIENCY OR OVERPAYMENT SHOULD BE CHARGED OR CREDITED TO DEPOSIT  
ACCOUNT 12-1155.

8. DEPOSIT ACCOUNT NUMBER: 12-1155

DO NOT USE THIS SPACE

9. **STATEMENT AND SIGNATURE:** TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING  
INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT

GERARD J. MCGOWAN, JR.  
ATTORNEY FOR THE APPLICANT(S)  
REGISTRATION NO. 29,412

5/11/00  
DATE

TOTAL NUMBER OF PAGES INCLUDING COVER SHEET, ATTACHMENTS AND DOCUMENT: 5

OMB NO. 0651-0011 (EXP 4/94)

DO NOT DETACH THIS PORTION

MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO:  
COMMISSIONER OF PATENTS AND TRADEMARKS, BOX ASSIGNMENTS, WASHINGTON D.C. 20231

PUBLIC BURDEN REPORTING FOR THIS SAMPLE COVER SHEET IS ESTIMATED TO AVERAGE ABOVE 30 MINUTES PER DOCUMENTS TO BE RECORDED, INCLUDING  
TIME FOR REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED, AND COMPLETING AND REVIEWING THE SAMPLE COVER SHEET. SEND COMMENTS  
REGARDING THIS BURDEN ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, OFFICE OF INFORMATION SYSTEMS, P2-1000C,  
WASHINGTON, D.C. 20231 AND TO THE OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (0651-0011), WASHINGTON, D.C. 20231

PATENT  
REEL: 011174 FRAME: 0874

ASSIGNMENT

WHEREAS, **VINOD KUMAR BANSAL**, residing at 3 Quaker Street, Edison, County of Middlesex, State of New Jersey 08820 and **KAREN LYNN ORTIZ-VALERO**, residing at 65 A Bay Avenue, Hampton Bays, County of Suffolk, State of New York 11946 and **BARTLETT HENDERSON GOODELL**, residing at 391 Rock Road, Glen Rock, County of Bergen, State of New Jersey 07452 and **BERT DAVIS HEINZELMAN**, residing at 17 Sherwood Drive, Tenafly, County of Bergen, State of New Jersey 07670 and **DONALD RICHARD LAMOND**, residing at 18 Kowall Place, Lynbrook, County of Nassau, State of New York 11563, all citizens of The United States, respectively, invented an improvement in:

**Bottle (Y3)**

(bearing Attorney's Docket Number DM6113/3(V), a specification and drawings of which are attached hereto and made a part of hereof) which is found in:

[Check and complete (a), (b) or (c) ]

(a) \_\_\_\_\_ U.S. patent application executed on \_\_\_\_\_

(b)   X   To comply with 37 CFR 3.21 for recordal of this assignment, I/ WE an ASSIGNOR(S) signing below, hereby authorize and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. 29/120,261 filed on March 16, 2000.

(c) \_\_\_\_\_ International Application No. PCT \_\_\_\_\_/\_\_\_\_\_.

AND WHEREAS, **LIPTON, DIVISION OF CONOPCO, INC.**, a corporation of New York, having a principal place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey desires to acquire the entire right, title and interest in the said application and invention, and improvements and to any United States and foreign patents to be obtained therefor;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid **LIPTON , DIVISION OF CONOPCO, INC.**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States and all foreign countries, and all rights of priority under the International Convention resulting from the filing of said application, and in and to any and all divisional, continuation, continuation-in-part, reissue, or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, and in and to any and all applications for patents describing said invention to countries foreign to the United States and in and to any and all patents which may be granted for said invention in said foreign countries, the same to be held by and for the sole and exclusive use and benefit of the said **LIPTON, DIVISION OF CONOPCO, INC.** its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said **LIPTON, DIVISION OF CONOPCO, INC.** as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said **LIPTON, DIVISION OF CONOPCO, INC.** in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said **LIPTON, DIVISION OF CONOPCO, INC.** its successors and assigns, may be necessary in this or any foreign country fully to secure to said **LIPTON, DIVISION OF CONOPCO, INC.** its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 13<sup>th</sup> day of April, 2000.

STATE OF NJ )  
COUNTY OF Bergen )

Vinod Kumar Bansal (Seal)  
**Vinod Kumar Bansal**

**BARBARA C. ESCHER**  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Sept. 20, 2001

On this 13<sup>th</sup> day of April, 2000, before me came Vinod Kumar Bansal to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Barbara C. Escher  
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 3 day of MAY, 2000.

STATE OF )  
COUNTY OF )

Karen Lynn Ortiz-Valero (Seal)  
**Karen Lynn Ortiz-Valero**

On this 3 day of MAY, 2000, before me came Karen Lynn Ortiz-Valero to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Vincent G. Noonan, Jr.  
Notary Public

VINCENT G. NOONAN, JR.  
Notary Public, State of New York  
No. 31-8001775  
Qualified in New York County  
Commission Expires 9/14/2000

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 13<sup>th</sup> day  
of April, 2000.

STATE OF NJ  
COUNTY OF Bergen

Bartlett Henderson Goodell (Seal)  
Bartlett Henderson Goodell

BARBARA C. ESCHER  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Sept. 20, 2001

On this 13<sup>th</sup> day of April, 2000, before me came Bartlett Henderson  
Goodell to me known to be the individual described in and who executed the foregoing instrument, and  
acknowledged that he/she executed the same.

Barbara C. Escher  
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 23 day  
of May, 2000.

STATE OF NJ  
COUNTY OF Bergen

Bert Davis Heinzelman (Seal)  
Bert Davis Heinzelman

On this 23rd day of May, 2000, before me came Bert Davis  
Heinzelman to me known to be the individual described in and who executed the foregoing instrument, and  
acknowledged that he/she executed the same.

PAMELA SILEO  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Nov 9, 2004

Pamela Sileo  
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 23rd day  
of May, 2000.

STATE OF NJ  
COUNTY OF Bergen

Donald Richard Lamond (Seal)  
Donald Richard Lamond

On this 23rd day of May, 2000, before me came Donald Richard  
Lamond to me known to be the individual described in and who executed the foregoing instrument, and  
acknowledged that he/she executed the same.

PAMELA SILEO  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires Nov 9, 2004

Pamela Sileo  
Notary Public