

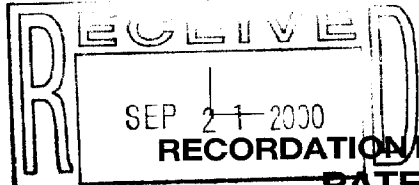
10-30-2000



FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

101498841

U.S. Department of Commerce
Patent and Trademark Office
PATENT



SEP 21 2000

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- ☒ New **9-21-00**
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Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
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Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**
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- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

- ☒ Mark if additional names of conveying parties attached
- Execution Date
Month Day Year
09/15/2000

Name (line 1) Robert W. Briggs

Name (line 2)

Second Party

Name (line 1) Gary C. Bryant

Name (line 2)

Execution Date
Month Day Year
09/14/2000

Receiving Party

- ☐ Mark if additional names of receiving parties attached

Name (line 1) Caterpillar S.A.R.L.

Name (line 2)

Address (line 1) c/o Caterpillar Overseas S.A.

Address (line 2) 76, Route de Frontenex

Address (line 3) 1211 Geneva
City

SWITZERLAND
State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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PATENT
REEL: 011175 FRAME: 0800

Correspondent Name and Address

Area Code and Telephone Number (309) 636-1569

Name James R. Smith

Address (line 1) Caterpillar Inc.

Address (line 2) Intellectual Property Department

Address (line 3) 100 N. E. Adams Street

Address (line 4) Peoria, IL 61629-6490

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

3

Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

29/120,991

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

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Number of Properties

Enter the total number of properties involved.

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1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

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03-1129

Docket No. 96-752D

Authorization to charge additional fees:

Yes

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No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

James R. Smith

Name of Person Signing


Signature

09/19/2000

Date

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Conveying Party(ies)

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Enter additional Conveying Parties

Name (line 1) Kolin J. Kirschenmann

Execution Date
Month Day Year

08/30/2000

Name (line 2)

Execution Date
Month Day Year

Name (line 1) Ian (NMI) McCulloch

08/21/2000

Name (line 2)

Execution Date
Month Day Year

Name (line 1) William (NMI) Pack

08/21/2000

Name (line 2)

Receiving Party(ies)

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Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

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Patent Application Number(s)

Patent Number(s)

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U.S. Department of Commerce
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Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date
Month Day Year

Name (line 1) Larry T. Ryterski

09/06/2000

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

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Name (line 2)

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Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

WHEREAS, ROBERT W. BRIGGS; GARY C. BRYANT; KOLIN J. KIRSCHENMANN; IAN (NMI) MCCULLOCH; WILLIAM (NMI) PACK; and LARRY T. RYTERSKI, residing respectively at Washington, Illinois; Chillicothe, Illinois; Decatur, Illinois; Cleveland, United Kingdom; South Shields, United Kingdom; and Decatur, Illinois, hereinafter referred to as ASSIGNORS, have invented a new and original design on an invention entitled:

TRACTOR PORTION OF AN ARTICULATED WORK MACHINE

for which ASSIGNORS have made application for Design Patent in the United States of America, such invention being described and identified by said application identified as Application No. 29/120,991, filed March 30, 2000; and

WHEREAS, CATERPILLAR S.A.R.L., a corporation duly organized and existing under the laws of Switzerland, domiciled at c/o Caterpillar Overseas S. A., 76, Route de Frontenex, 1211 Geneva, Switzerland, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Design Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Design Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Design Patent on said invention, when granted, to CATERPILLAR S.A.R.L.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

Robert W. Briggs
ROBERT W. BRIGGS

9-15-00
Date

IN WITNESS OF the execution hereof by ROBERT W. BRIGGS we have hereunto set our hands.

Robert W. Briggs 9/15/00
Witness (1) (Date)

Gary C. Bryant 9/15/00
Witness (2) (Date)

Address: 2600 W. KENWOOD

Address: 16217 N. GRANT

PEORIA, IL 61604

CHILLICOTHE IL

61523

Gary C. Bryant
GARY C. BRYANT

9/14/00
Date

IN WITNESS OF the execution hereof by GARY C. BRYANT we have hereunto set our hands.

Robert W. Briggs 9/14/00
Witness (1) (Date)

Robert W. Briggs 9/14/00
Witness (2) (Date)

Address: 5614 N. RENWOOD AVE.

Address: 2600 W. KENWOOD

PEORIA, IL 61614-3947

PEORIA, IL, 61604

Kolin J. Kirschenmann
KOLIN J. KIRSCHENMANN

30 Aug 00
Date

IN WITNESS OF the execution hereof by KOLIN J. KIRSCHENMANN we have hereunto set our hands.

Robert W. Briggs 8/30/00
Witness (1) (Date)

Stanley L. Kuhns 8/30/00
Witness (2) (Date)

Address: 958 Stevens Creek Circ.

Address: 4644 NICKLAUS CT,

Forsyth, Ill, 62535

PECATUR, ILL

62526

Ian McCulloch

IAN (NMI) MCCULLOCH

21-8-00

Date

IN WITNESS OF the execution hereof by IAN (NMI) MCCULLOCH we have hereunto set our hands.

CP Took 21-8-00
Witness (1) (Date)

Address: 4, Seaton Grove,
Seaton Village, Seaham,
County Durham SR7 0LU

Anthony Pollard 21-8-00
Witness (2) (Date)

Address: 19 STATION RD.,
SEDGEFIELD, STOCKTON
CLEVELAND, TS21 2BY

William Pack

WILLIAM (NMI) PACK

21 AUG '00

Date

IN WITNESS OF the execution hereof by WILLIAM (NMI) PACK we have hereunto set our hands.

Fred Blair 8/21/00
Witness (1) (Date)

Address: 5901 N. IMPERIAL DR.
PEORIA, IL 61614
USA

Anthony Pollard 21-8-00
Witness (2) (Date)

Address: 19 STATION RD.,
SEDGEFIELD, STOCKTON,
CLEVELAND, TS21 2BY

Larry Ryterski

LARRY T. RYTERSKI

6 Sep 00

Date

IN WITNESS OF the execution hereof by LARRY T. RYTERSKI we have hereunto set our hands.

Helen Thudman 30 Aug 00
Witness (1) (Date)

Address: 1231 Scotch Pine Ct.
Decatur IL, 62526
USA

Ronald W. Thudman 11 Sep 00
Witness (2) (Date)

Address: 731 WATERFORD LN
DECATUR IL
62526