

10-31-2000

Docket No.: 014951/0228

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



Tab settings

101500125

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

CANNONDALE CORPORATION

9-5-00

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment

☐ Merger

☒ Security Agreement

☐ Change of Name

☐ Other

Execution Date: JUNE 30, 2000

2. Name and address of receiving party(ies):

Name: ABLECO FINANCE LLC, As Agent

Internal Address:

Street Address: 450 PARK AVENUE

City: NEW YORK State: NY ZIP: 10022

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

SEE ATTACHED
SCHEDULE

B. Patent No.(s)

SEE ATTACHED
SCHEDULE

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: PAUL A. JUERGENSEN

Internal Address: SCHULTE ROTH & ZABEL LLP

Street Address: 900 THIRD AVENUE

City: NEW YORK State: NY ZIP: 10022

6. Total number of applications and patents involved:

44

7. Total fee (37 CFR 3.41): \$ 1,760.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

SCHULTE ROTH & ZABEL LLP - 500675

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

PAUL A. JUERGENSEN

Name of Person Signing

Signature

SEPTEMBER 5, 2000

Date

Total number of pages including cover sheet, attachments, and document:

9

PATENT
REEL: 011177 FRAME: 0152

Schedule A
to
Patent Security Agreement

Patent No.	Issue Date	Title
6073736	June 13, 2000	Valve Assembly for A Suspension System For A Wheeled Vehicle
D424474	May 9, 2000	Bicycle Frame
6007056	December 28, 1999	Suspension Assembly For A Vehicle
5971116	October 26, 1999	Electronic Suspension System For A Wheeled Vehicle
D412465	August 3, 1999	Bicycle Frame
5924714	July 20, 1999	Bicycle Suspension System
5913529	June 22, 1999	Frame Having A Central Backbone and Opposing Skins [Bicycle Frame
D406802	March 16, 1999	Bicycle Suspension Fork
D403992	January 12, 1999	Bicycle Suspension Fork
D401539	November 24, 1998	Crankarm For A Bicycle
5791674	August 11, 1998	Bicycle Suspension System
5791673	August 11, 1998	Frame having A Central Backbone and Opposing Skins
D393819	April 28, 1998	Wheelchair Frame
5,702,092	December 30, 1997	Suspension Assembly For A Vehicle
D382836	August 26, 1997	Securing Device
5634653	June 3, 1997	Bicycle Suspension System
5555775	September 17, 1996	Bicycle Handlebars
5509674	April 23, 1996	Bicycle Suspension System
5494302	February 27, 1996	Suspension Assembly For A Vehicle
5451071	September 19, 1995	Bicycle Frame

Patent No.	Issue Date	Title
5449155	September 12, 1995	Suspension Shock Absorber for Bicycles
5413368	May 9, 1995	Bicycle With Trailing Arm Wheel Suspension
5390947	February 21, 1995	Bicycle Wheel Mount
5356058	October 18, 1994	Bicycle Seat Bag
D351575	October 18, 1994	Trailer For Towing Behind A Vehicle
5320374	June 14, 1994	Suspension Fork
5308099	May 3, 1994	Bicycle Suspension System
5249818	October 5, 1993	Method of Making Frames From Tubular Members and Frames Made by the Method
5228542	July 20, 1993	Caliper Brake For A Bicycle Wheel
D336877	June 29, 1993	Bicycle Rear Carrier Rack
5201537	April 13, 1993	Bicycle Frame
5178035	January 12, 1993	Bicycle Headset
D332240	January 5, 1993	Water Bottle Cage
D332211	January 5, 1993	Folding Tool For Bicycles
5127563	July 7, 1992	Mounting Device For Bicycle Accessories
5020819	June 4, 1991	Replaceable Derailleur Hanger
4961233	October 9, 1990	Cycling Pants
4808147	February 28, 1989	Adjustable Bottom Bracket Assembly For Bicycles
4798318	January 17, 1989	Carrier Bag Assembly For Bicycle Handlebars
4513985	April 30, 1985	Bicycle Frame Seat Stays
5979924	November 9, 1999	Crank Assembly
D412465	August 3, 1999	Bicycle Frame
D424478	May 9, 2000	Bicycle Frame

ASSIGNMENT FOR SECURITY

(PATENTS)

WHEREAS, CANNONDALE CORPORATION (the "Assignor") holds all right, title and interest in the letter patents, design patents and utility patents listed on the annexed Schedule 1A, which patents are issued or applied for in the United States Patent and Trademark Office (the "Patents");

WHEREAS, the Assignor, has entered into a Patent Security Agreement dated June 30, 2000 (the "Security Agreement") in favor of ABLECO FINANCE LLC, as agent for certain lenders (the "Assignee");

WHEREAS, pursuant to the Security Agreement, the Assignor has assigned to the Assignee and granted to the Assignee a security interest in all right, title and interest of the Assignor in, to and under the Patents and the applications and registrations thereof, and all proceeds thereof, including, without limitation, any and all causes of action which may exist by reason of infringement thereof (the "Collateral"), to secure the payment, performance and observance of the Obligations (as defined in the Security Agreement);

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, the Assignor does hereby assign unto the Assignee and grants to the Assignee a security interest in the Collateral to secure the prompt payment, performance and observance of the Obligations.

The Assignor does hereby further acknowledge and affirm that the rights and remedies of the Assignee with respect to the Collateral are more fully set forth in the Security Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

IN WITNESS WHEREOF, the Assignor has caused this Assignment to be duly executed by its officer thereunto duly authorized as of June 30, 2000.

CANNONDALE CORPORATION

By: John P. Moriarty

Name: John P. Moriarty

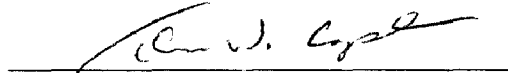
Title: ASSISTANT TREASURER

STATE OF NEW YORK

SS.:

COUNTY OF NEW YORK

On this 30th day of June, 2000, before me personally came John Moriana, to me known to be the person who executed the foregoing instrument, and who, being duly sworn by me, did depose and say that he is the Ass. Treasurer of CANNONDALE CORPORATION, a Delaware corporation, and that he executed the foregoing instrument in the firm name of CANNONDALE CORPORATION, and that he had authority to sign the same, and he acknowledged to me that he executed the same as the act and deed of said firm for the uses and purposes therein mentioned.



THOMAS W. CAPLIS
Notary Public, State of New York
No. 01CA6024777
Qualified in New York County
Commission Expires May 17, 2001

Schedule A
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5979924	November 9, 1999	Crank Assembly
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D424478	May 9, 2000	Bicycle Frame

DSN:88315.3

RECORDED: 09/05/2000

PATENT
REEL: 011177 FRAME: 0158