

# RECORDATION FORM PATENTS (

10-31-2000



101500130

Commissioner of Patent and Trademarks:

Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p style="text-align: center;">John D. Higgins III</p> <p style="text-align: center; font-size: 1.2em;">9-7-00</p> <p>Additional name(s) of conveying party(ies) attached?</p> <p style="text-align: center;"><input type="checkbox"/> Yes    <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: McNeil-PPC, Inc.</p> <p>Street Address: Grandview Road</p> <p>City: Skillman State: NJ Zip: 08558</p> <p>Additional name(s) &amp; address(es) attached?</p> <p style="text-align: center;"><input type="checkbox"/> Yes    <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment    <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement    <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other _____</p> <p>Execution Date: August 18, 2000</p>	<p>4. Application number(s) or patent number(s):</p> <p>If this document is being filed together with a new application, the execution date of the application is:</p> <p>A. Patent Application No.(s)                      B. Patent No.(s)</p> <p style="margin-left: 40px;">09/211,978</p> <p style="text-align: right;">Additional numbers attached? <input type="checkbox"/> Yes    <input checked="" type="checkbox"/> No</p>
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Audley A. Ciamporocero, Jr., Esq. Chief Patent Counsel Johnson &amp; Johnson One Johnson &amp; Johnson Plaza New Brunswick, NJ 08933-7003</p>	<p>6. Total number of applications &amp; patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41) ..... <u>\$40.00</u></p> <p><input checked="" type="checkbox"/> Authorized to be charged to Deposit Account</p> <p>8. Deposit Account Number: 10-0750/MCP-210/TT</p>
<p>9. Statement and signature</p> <p><i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i></p> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 30%;"> <p>Timothy E. Tracy</p> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <p>Name of Person Signing</p> </div> <div style="width: 30%; text-align: center;"> <p>Signature</p> </div> <div style="width: 30%; text-align: right;"> <p>September 1, 2000</p> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <p>Date</p> </div> </div> <p style="text-align: center;">Total number of pages including cover sheet, attachments, and document: 5</p>	

**Mail documents to be recorded with required cover sheet information to:**

**Box Assignments  
Commissioner of Patent and Trademarks  
Washington, D.C. 20231**

A S S I G N M E N T

Serial No. 09/211,978  
Filed December 15, 1998

WHEREAS, John D. Higgins III, residing at 2106 Pennsylvania Ave., Ft. Washington, PA 13034 (hereinafter called "Assignor"), has made certain new and useful inventions or discoveries relating to

PREPARATION OF STEROL AND STANOL-ESTERS

for which he has on the 15th day of December, 1998 executed an application for Letters Patent of the United States; and

WHEREAS, McNeil-PPC, Inc., a corporation of the State of New Jersey, (hereinafter called "Assignee"), is desirous of acquiring the entire right, title, and interest therein;

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to him moving, the receipt of which is hereby acknowledged, Assignor has sold, assigned, and transferred, and does hereby sell, assign, and transfer unto said Assignee the entire right, title, and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment and sale had not been made;

And Assignor hereby authorizes and requests the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignor covenants and agrees with said Assignee that he has a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title he warrants unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignor further covenants and agrees that he will, whenever requested, but without cost to him promptly communicate to said Assignee or its representatives any facts known to him relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 18<sup>th</sup> day of August, 2000.

John D. Higgins III (L.S.)  
John D. Higgins III

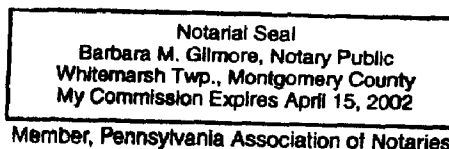
STATE OF  
COUNTY OF

PA  
) ss.  
Montgomery

BE IT REMEMBERED, That on this 18<sup>th</sup> day of August 2000, before me, a Notary Public, personally appeared John D. Higgins III, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his voluntary act and deed for the uses and purposes therein expressed.

Barbara M. Gilmore

Notary Public



A S S I G N M E N T

Serial No. 09/211,978  
Filed December 15, 1998

WHEREAS, John D. Higgins III, residing at 2106 Pennsylvania Ave., Ft. Washington, PA 13034 (hereinafter called "Assignor"), has made certain new and useful inventions or discoveries relating to

Preparation of Sterol and Stanol-Esters

for which he has on the 15th day of December, 1998 executed an application for Letters Patent of the United States; and

WHEREAS, McNeil-PPC, Inc., a corporation of the State of New Jersey, (hereinafter called "Assignee"), is desirous of acquiring the entire right, title, and interest therein;

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to him moving, the receipt of which is hereby acknowledged, Assignor has sold, assigned, and transferred, and does hereby sell, assign, and transfer unto said Assignee the entire right, title, and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment and sale had not been made;

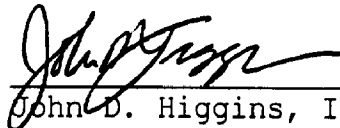
And Assignor hereby authorizes and requests the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignor covenants and agrees with said Assignee that he has a full and unencumbered title to the inventions and discoveries above described and hereby

assigned, which title he warrants unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignor further covenants and agrees that he will, whenever requested, but without cost to him promptly communicate to said Assignee or its representatives any facts known to him relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 17<sup>th</sup> day of Dec. . 1998.

 (L.S.)  
John D. Higgins, Inc.

STATE OF


PA

COUNTY OF

ss.

Montgomery

BE IT REMEMBERED, That on this 17<sup>th</sup> day of Dec. , 1998, before me, a Notary Public, personally appeared John D. Higgins, Inc., who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his voluntary act and deed for the uses and purposes therein expressed.

  
Notary Public

