

Re

RECORDATION F
PATENT

10-31-2000

Department of Commerce
Patent and Trademark Office
PATENT

TO: The Commissioner of Patents and Trademarks: Please

101500939

Attachment(s) or copy(ies).

Submission Type

- ☐ New
☐ Resubmission (Non-Recordation)
 Document ID #
☒ Correction of PTO Error
 Reel #010907 Frame #0204
☐ Corrective Document
 Reel # Frame #

9.25.00

- ☒ Assignment
☐ License
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

Conveying Party(ies)

1. Itaru GOSHO
 2. Ken MORIUCHI
 3. Kenichi KIZU
 4. Toshihiro ZUSHI
 5. Masahiro KAMAUCHI
 6. Mitsuhiro ASANO
 7. Kazuyuki TATEISHI
☐ Mark if Additional Names of Conveying Parties Attached

Execution Date(s)

May 1, 2000
 May 1, 2000
 May 1, 2000
 May 1, 2000
 May 1, 2000
 May 8, 2000
 May 1, 2000

Receiving Party

Name MITSUBISHI CABLE INDUSTRIES, LTD.
 Name
 Address 8, Nishinocho, Higashimukaijima
 Address
 Address Amagasaki-shi Japan
 City State/Country

660-0856
 Zip Code

- ☐ Mark if Additional Names of Receiving Parties Attached

Correspondent Name and Address

John Kilyk, Jr., 30,763
 Leydig, Voit & Mayer, Ltd.
 Two Prudential Plaza, Suite 4900
 Chicago, Illinois 60601-6780

Telephone: (312) 616-5600
 Facsimile: (312) 616-5700
 Attorney Docket No. 205073

Pages Enter the total number of pages of the attached conveyance document including any attachments: 2

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Numbers

Patent Numbers

09/570,594

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved: 1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$0.00

Method of Payment:

- ☐ Enclosed is a check in the amount of \$0.00
☐ Charge Deposit Account No. 12-1216

Authorization to Charge Additional Fees to Deposit Account No. 12-1216: ☒ Yes ☐ No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John Kilyk, Jr., Reg. No. 30,763

Name of Person Signing

Signature

September 19, 2000

Date

10/31/2000 MTHRL 00000058 121216 09570594

01 10:58: 40.00 CH

PATENT
 REEL: 011181 FRAME: 0026

MRD 6/19/00

07-14-2000

RECOR

U.S. Department of Commerce
Patent and Trademark Office

101404945

PATENT

TO: The Commissioner of Patents and Trademarks. Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
☐ Resubmission (Non-Recordation)
 Document ID #
☐ Correction of PTO Error
 Reel # Frame #
☐ Corrective Document
 Reel # Frame #

Conveyance Type

- ☒ Assignment
☐ License
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

Conveying Party(ies)

1. Itaru GOSHO
2. Ken MORIUCHI
3. Kenichi KIZU
4. Toshihiro ZUSHI
5. Masahiro KAMAUCHI
6. Mitsuhiro ASANO
7. Kazuyuki TATEISHI

Execution Date(s)

May 1, 2000
 May 1, 2000
 May 1, 2000
 May 1, 2000
 May 1, 2000
 May 8, 2000
 May 1, 2000

☐ Mark if Additional Names of Conveying Parties Attached

Receiving Party

Name MITSUBISHI CABLE INDUSTRIES, LTD.

Name

Address 8, Nishinocho, Higashimukaijima

Address

Address Amagasaki-shi

Hyogo/Japan

660-0856

City

State/Country

Zip Code

☐ Mark if Additional Names of Receiving Parties Attached

Correspondent Name and Address

John Kilyk, Jr., 30,763
 Leydig, Voit & Mayer, Ltd.
 Two Prudential Plaza, Suite 4900
 Chicago, Illinois 60601-6780

Telephone: (312) 616-5600
 Facsimile: (312) 616-5700
 Attorney Docket No. 205073

Pages Enter the total number of pages of the attached conveyance document including any attachments: 2

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Numbers

Patent Numbers

09/570,594

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved: 1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$40.00

Method of Payment:

☒ Enclosed is a check in the amount of \$40.00☐ Charge Deposit Account No. 12-1216Authorization to Charge Additional Fees to Deposit Account No. 12-1216: ☒ Yes ☐ No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John Kilyk, Jr., Reg. No. 30,763

Name of Person Signing

Signature

June 16, 2000

Date

07/13/2000 ASCDTT 00000221 09570594

01 FC:581

40.00 OP

PATENT
REEL: 011181 FRAME: 0027

Leydig, Voit & Mayer, Ltd.
Attorneys at Law
Two Prudential Plaza
Suite 4900
180 North Stetson
Chicago, Illinois 60601-6780

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS, WE,

Itaru GOSHO of c/o Itami Factory of MITSUBISHI CABLE INDUSTRIES, LTD.,

3, Ikejiri 4-chome, Itami-shi, Hyogo 664-0027 Japan

(full name and address, including postal code, of first inventor)

Ken MORIUCHI of c/o Itami Factory of MITSUBISHI CABLE INDUSTRIES, LTD.,

3, Ikejiri 4-chome, Itami-shi, Hyogo 664-0027 Japan

(full name and address, including postal code, of second inventor)

Kenichi KIZU of c/o Itami Factory of MITSUBISHI CABLE INDUSTRIES, LTD.,

3, Ikejiri 4-chome, Itami-shi, Hyogo 664-0027 Japan

(full name and address, including postal code, of third inventor)

Toshihiro ZUSHI of c/o Itami Factory of MITSUBISHI CABLE INDUSTRIES, LTD.,

3, Ikejiri 4-chome, Itami-shi, Hyogo 664-0027 Japan

(full name and address, including postal code, of fourth inventor)

Masahiro KAMAUCHI of c/o Itami Factory of MITSUBISHI CABLE INDUSTRIES, LTD.,

3, Ikejiri 4-chome, Itami-shi, Hyogo 664-0027 Japan

(full name and address, including postal code, of fifth inventor)

Mitsuhiro ASANO of c/o Chubu Branch of MITSUBISHI CABLE INDUSTRIES, LTD.,

1-4-1, Sumiyoshi-cho, Anjo-shi, Aichi 446-0072 Japan

(full name and address, including postal code, of sixth inventor)

Kazuyuki TATEISHI of c/o Amagasaki Factory of MITSUBISHI CABLE INDUSTRIES, LTD.,

8, Nishinocho, Higashimukaijima, Amagasaki-shi, Hyogo 660-0856 Japan

(full name and address, including postal code, of seventh inventor)

respectively, have invented and own a certain new and useful invention entitled:

POSITIVE ELECTRODE ACTIVE MATERIAL, POSITIVE ELECTRODE ACTIVE MATERIAL
COMPOSITION AND LITHIUM ION SECONDARY BATTERY

for which invention we have executed an application for Letters Patent of the United States which was filed
on May 12, 2000, under Serial No. 09/570,594; and

WHEREAS,

MITSUBISHI CABLE INDUSTRIES, LTD.

of 8, Nishinocho, Higashimukaijima, Amagasaki-shi, Hyogo 660-0856 Japan

(full name and address, including postal code, of assignee)

(hereinafter referred to as Assignee) is desirous of acquiring the entire right, title, and interest in and under the invention described in the application for Letters Patent.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that for good and valuable considerations, the receipt and sufficiency of which are hereby acknowledged, we have assigned and set over, and by this document do assign and set over, to the Assignee and the Assignee's legal representatives, successors and assigns the entire right, title, and interest in and to the invention in the United States the applications for Letters Patent and other such applications (e.g., continuations, continuations-in-part, divisionals and reissues) in the United States, and the Letters Patent, or extensions thereof, that may or shall issue thereon; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue the Letters Patent to the above-mentioned Assignee agreeably with the terms of this Assignment.

WE HEREBY AUTHORIZE the above-mentioned Assignee to insert in this assignment document the filing date and serial number of the application if the date and number are unknown at the time this assignment is executed.

WE DO HEREBY COVENANT and agree with the Assignee that we will not execute any writing or do any act whatsoever conflicting with the terms of this assignment document set forth herein, and that we will at any time upon request, without further or additional consideration, but at the expense of the Assignee, execute such additional assignments and other writings and do such additional acts as the Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuation, renewal, reissued, or extended Letters Patent of the United States or of any and all foreign countries on the invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of both parties.

IN WITNESS WHEREOF, we have hereunder set our hands on the dates shown below:

Itaru Goshō
Signature of First Inventor Itaru GOSHO
May 1, 2000
Date

Ken Moriuchi
Signature of Second Inventor Ken MORIUCHI
May 1, 2000
Date

Kenichi Kizu
Signature of Third Inventor Kenichi KIZU
May 1, 2000
Date

Toshihiro Zushi
Signature of Fourth Inventor Toshihiro ZUSHI
May 1, 2000
Date

Masahiro Kamauchi
Signature of Fifth Inventor Masahiro KAMAUCHI
May 1, 2000
Date

Mitsuhiro Asano
Signature of Sixth Inventor Mitsuhiro ASANO
May 8, 2000
Date

Kazuyuki Tateishi
Signature of Seventh Inventor Kazuyuki TATEISHI
May 1, 2000
Date

Junichi Tsujimoto May 1, 2000
Witness Junichi TSUJIMOTO Date
Haruhiro Hirata May 1, 2000
Witness Haruhiro HIRATA Date

Junichi Tsujimoto May 1, 2000
Witness Junichi TSUJIMOTO Date
Haruhiro Hirata May 1, 2000
Witness Haruhiro HIRATA Date

Junichi Tsujimoto May 1, 2000
Witness Junichi TSUJIMOTO Date
Haruhiro Hirata May 1, 2000
Witness Haruhiro HIRATA Date

Junichi Tsujimoto May 1, 2000
Witness Junichi TSUJIMOTO Date
Haruhiro Hirata May 1, 2000
Witness Haruhiro HIRATA Date

Junichi Tsujimoto May 1, 2000
Witness Junichi TSUJIMOTO Date
Haruhiro Hirata May 1, 2000
Witness Haruhiro HIRATA Date

Junichi Tsujimoto May 8, 2000
Witness Junichi TSUJIMOTO Date
Haruhiro Hirata May 8, 2000
Witness Haruhiro HIRATA Date

Junichi Tsujimoto May 1, 2000
Witness Junichi TSUJIMOTO Date
Haruhiro Hirata May 1, 2000
Witness Haruhiro HIRATA Date