

10-31-2000

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

## 1. Name of Conveying Parties:

1. Than Nguyen, executed on 11/07/97



## 2. Name and Address of Receiving Party(ies):

Baxter International Inc.  
One Baxter Parkway  
Deerfield, IL 60015

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

## 4. Applicant Number(s) or Patent Number(s):

If this document is being filed together with a new application, the execution date of the application is: NA

A. Patent Application No.(s)

09/124,657, Filed 07/29/98

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and Address of Party To Whom Correspondence Concerning Document Should Be Mailed:

Debra D. Condino  
EDWARDS LIFESCIENCES LLC  
Law Department  
One Edwards Way  
Irvine, California 92614

6. Total Number of Applications and Patents Involved: 1

7. Total Fee (37CFR 3.41).....\$40.00

☐ Enclosed☒ Authorized To Be Charged To Deposit Account8. Deposit Account Number: 501225  
(ECV-4676CipCip)

10/30/2000 AMWED1 00000083 501225 09124657

01 FC:581 40.00 CH

DO NOT USE THIS SPACE

## 9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Guy L. Cumberbatch, Reg. No.36,114

Signature

Date

9/21/00

Total Number of Pages Including Coversheet, Attachments and Document: 14

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**Baxter**

**Invention Record and  
Assignment Form**

Date <u>11/07/97</u>	Project No.	Division/Group <u>CVS</u>
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This form is for the reporting and assigning to Baxter any new thing or idea which might be patentable. Submit it to your Department Head or Supervisor, who will forward it to the Patent Department, Baxter International Inc., Deerfield, Illinois. Its purpose is to direct attention to and make a record of new inventions. The Patent Department will acknowledge receipt of this form.

Title of Invention:  
OPEN ARM PEDIATRIC RING

**Inventor(s)** (See Instruction 1). Note: Instructions referred to by number are printed on the Instruction Page.

Name <u>HUNG LY LAM</u>	Employee No. <u>0028</u>
Residence <u>4208 CALIFORNIA AVE, NORCO CA 91760</u>	Citizenship <u>USA</u>
Name <u>THAN NGUYEN</u>	Employee No. <u>6046</u>
Residence <u>461 SOUTH BARBARA WAY ANAHEIM, CA 92806</u>	Citizenship <u>USA</u>
Name <u>VAN LE HUYNH</u>	Employee No. <u>2959</u>
Residence <u>13421 PORTAL TUSTIN CA 92782</u>	Citizenship <u>USA</u>
Name	Employee No.
Residence	Citizenship

**DESCRIPTION OF SUBJECT**

The major part of the last two pages have been left blank for description. A suggested outline for the description is included therein. Be sure to explain the subject matter fully.  
The following information is desired. Please furnish it if you can. (See Instruction 2).

A. When did the described subject matter first occur to the inventor(s)? (See Instruction 3).

10/10/97

B. Was a drawing or sketch made and if so when? (See Instruction 4). ☒ Yes ☐ No Date 10/10/97

C. When was the first written description of the subject matter (other than this record) made? Date 10/13/97

Do you have a copy of it? ☒ Yes ☐ No

D. On what date was the subject matter first disclosed to others? 10/13/97

To whom was this disclosure made? NORMA LOWE

Was it oral or written? written Where was it made? 1402 E-ALTON, IRVINE 92614

E. If this subject matter has been described orally or in writing to persons outside of the Company, or samples have been submitted to them, please state the names of such persons and the place and date.

**FOR PATENT DEPARTMENT USE ONLY**

Invention Record No.  
IR 98-5901 ECV

Title

# Invention Record and Assignment Form Continued

F. Has the thing or idea which you have described in this record been tried experimentally?  
 Used in Company Operations?  
 Disclosed to or discussed with anybody outside the Company?  
 Sold or offered for sale?

☐ Yes ☒ No  
☐ Yes ☒ No  
☐ Yes ☒ No  
☐ Yes ☒ No

If any answer is "Yes" state full details on page 2 of this record at place indicated. (See Instruction 6)

G. Were any funds from government grants or research contracts employed in the development of this subject matter? ☐ Yes ☒ No

## DETAILED ANSWER TO ITEM F

If the thing or idea described has been tried, used or offered for sale, briefly state here the dates of such trial, where used or to who offered or sold, and the present status.

Names of persons who witnessed trial and testing of the subject matter and could, of their own knowledge, testify to what was done.

Please date and sign this document at the right below and have two witnesses who can understand its subject matter read it and sign as indicated.

We have read this Invention Record and Assignment form and

understand its subject matter this 7 day of

NOVEMBER 19 97

In consideration of employment and the salary associated therewith, this invention is hereby assigned to Baxter International Inc., together with the right to any patents thereon.

Signature of Witness

X STEFAN SCHRECK

Name (Please Print)

Signature of Inventor (Sign in the presence of the witness)

X

Title

SR. RES. SCIENTIST

Date

11/7/97

Signature of Witness

X Myron Howanec

Name (Please Print)

MYRON HOWANEC

Signature of Inventor (Sign in the presence of the witness)

X

Title

PRINCIPLE ENGR.

Date

11/7/97

## APPROVAL OF SUPERVISOR AND DEPARTMENT HEAD

Signature

X

Name (Please Print)

W.T. RECKTENWALD

Title

DESIGN MANAGER, R&D

Signature of Inventor (Sign in the presence of the witness)

X

Title

SR. TECH.

Date

11/7/97

Signature

X

Name (Please Print)

Title

Signature of Inventor (Sign in the presence of the witness)

X

Title

Date