

11-01-2000

OMB No. 0651-0011 (exp. 4/94)



101502999

To the Honorable Commissioner c

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): 9.29.00
Dieter Lohmann; Peter Chabreck; Jens
Hoepken

2. Name and address of receiving party(ies)

Name: Novartis AG

Internal Address: _____

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Street Address: Schwarzwaldallee 215

City: Basel State: Switzerland ZIP: 4058

Execution Date: 4/14/98; 4/20/98

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
09/077,505

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas Hoxie

Internal Address: Novartis Corporation

Patent and Trademark Dept.

Street Address: 564 Morris Avenue

City: Summit State: NJ ZIP: 07901-1027

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

Enclosed

Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

11/01/2000 RMWED1 00000008 190134 09077505

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert J. Gorman, Jr.
Name of Person Signing
Reg. No. 41,789

Signature

25 Sept 2000
Date

Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

- I / We
1. Dieter LOHMANN, Mittelweg 56, 4142 Münchenstein, Switzerland, citizen of Switzerland
 2. Peter CHABRECEK, Schorenweg 40, 4058 Basel, Switzerland, citizen of Slovak Republic
 3. Jens HÖPKEN, Inzlinger Strasse 33, 79540 Lörrach, Germany, citizen of Germany

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis AG, a Company organized under the laws of the Swiss Confederation, of Schwarzwaldallee 215, 4058 Basel, Switzerland**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

Plasma-Induced Polymer Coatings

invented by me/us and described in the international application

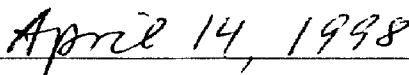
No. **PCT/EP 05326** filed **02/12/96**

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Novartis AG**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

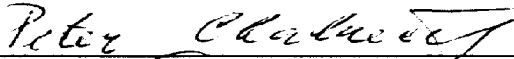
And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Novartis AG**.



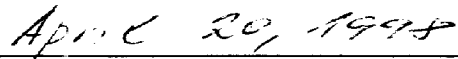
 Dieter LOHMANN

Signed on



 April 14, 1998



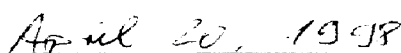
 Peter CHABRECEK



 April 20, 1998



 Jens HÖPKEN



 April 20, 1998

US 10/97

Patent and Trademark Assignments
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