11-01-2000



12 OCT 2000

| FORM PTO-1595 PY RD 10/12/8D RI | TEET U.S. DEPARTMENT OF COMMERCE 09/622686 | |
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| To the Director of Patents and Trademar 10150 | | |
| Name of Conveying Party(ies): Juha Kononen, Stephen B. Leighton, and Olli Kallioniemi | 2. Name and address of receiving party(ies): | |
| Additional name(s) of conveying party(ies) attached? [YES NO | Name: The Government of the United States of America as | |
| 3. Nature of Conveyance: | represented by the Secretary of the Department of Health and | |
| | Human Services | |
| Security Agreement Change of Name | Internal Address: Office of Technology Transfer, Suite 325 | |
| Other | Street Address: 6011 Executive Boulevard | |
| October 6, 2000 and September 26, Execution Date: 2000 | Silver Address: South Vision So | |
| | City Rockville State MD ZIP 20852 | |
| | Additional name(s) & address(es) attached? ☐ Yes ⊠ No | |
| 4. Application number(s) or patent number(s): | 1622686 | |
| If this document is being filed together with a new application | n, the execution date | |
| of the application is: | | |
| A. Patent Application No.(s) | B. Patent No.(s) | |
| 09/622,686 | | |
| Additional numbers attached? ☐ Yes ☒ No | | |
| 5. Name and address of party to whom correspondence concerning document should be mailed: | 6. Total number of applications and patents involved: One | |
| Name: William D. Noonan, M.D. Klarquist Sparkman Campbell Leigh & Whinston, LLP Internal Address: | 7. Total fee (37 CFR § 3.41): <u>\$40.00</u> Enclosed. | |
| One World Trade Center, Suite 1600 Street Address: 121 S.W. Salmon Street Portland, Oregon 97204-2988 | 8. Any deficiency/overpayment is authorized to be charged to deposit account 02-4550. A copy of this sheet is enclosed. | |
| DO NOT USE THIS SPACE | | |
| 9. Statement and signature. | | |
| To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. | | |
| William D. Noonan, M.D. William D. | October 12, 2000 | |
| Name of Person Signing Si | gnature Date | |
| Total number of pages including cove | or sheet, attachments and document: 4 | |



OMB No. 0651-0011 (exp. 4/94)

PATENT REEL: 011186 FRAME: 0323

ASSIGNMENT

WHEREAS, we, Juha Kononen, of 1920 Valley Stream Drive, Rockville, Maryland, 20851, a citizen of Finland, Stephen B. Leighton, of 9007 Woodland Drive, Silver Spring, Maryland, 20910, a citizen of the United States of America, and Olli P. Kallioniemi, of 1083 Grand Oak Way, Rockville, Maryland, 20852, a citizen of Finland, employees of the Department of Health and Human Services at the time the invention was made, have invented TUMOR TISSUE MICROARRAYS FOR RAPID MOLECULAR PROFILING, for which a provisional patent application was sent to the Commissioner for Patents, identified as U.S. Patent Application No. 60/075,979, filed February 25, 1998; and for which an international application was filed, identified as PCT/US99/04001, filed February 24, 1999; and for which for which an application to the Commissioner for Patents for grant of Letters Patent of the United States of America has been executed by the undersigned on August 18, 2000, and has been assigned Serial No. 09/622,686; and

WHEREAS, we by virtue of this assignment, and the conditions under which said invention was made are such as to entitle the Government of the United States of America under Paragraph 1(a) of Executive order 10096, to the entire right, title, and interest herein, both domestic and foreign; and

WHEREAS, the Government of the United States of America is desirous of acquiring all domestic and foreign right, title, and interest in the aforesaid invention described in the aforesaid application for Letters Patent of the United States of America; and

NOW, THEREFORE, to all whom it may concern, be it known for and in consideration of the premises and other valuable considerations, we the undersigned, have sold, assigned, and transferred and by these presents do sell, assign, and transfer unto The Government of the United States of America as represented by the Secretary of the Department of Health and Human Services (hereinafter THE GOVERNMENT), and his successors, the full and exclusive right, title, and interest throughout the United States of America, its territories and dependencies, and within each and every foreign country in which THE GOVERNMENT elects to file the invention described in the aforesaid application for Letters Patent of the United States of America, and all Letters Patents and Registrations which may be granted on the same in the United States of America and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention, or of any divisional, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

AND, we hereby authorize and request the Commissioner for Patents to issue said Letters Patent to THE GOVERNMENT as an assignee of the entire right, title, and interest in and to the same throughout the United States of America, its territories and dependencies, and within each and every foreign country in which THE GOVERNMENT elects to file, for the sole use for the full term or terms for which said Letters Patent and Registrations may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof which may be granted as fully and entirely as the same would have been held by us, had this assignment not been made;

AND, we hereby warrant that there are no outstanding assignments, grants, liens, encumbrances, or agreements either written, oral, or implied with respect to the aforesaid application for Letters Patent which will impair, diminish, limit, or abridge the interest herein conveyed at the time of the execution of this instrument by us;

AND, we hereby agree to execute any and all applications for Letters Patent in the United States of America and foreign countries, and to furnish and deliver to the Secretary, Department of Health and Human Services, upon request, all data and documents, and to execute any papers which may be necessary for the prosecution of any application or applications of Letters Patent or for THE GOVERNMENT to exercise its rights granted hereunder, including communicating to THE GOVERNMENT, its representatives or agents, any facts relating to said invention, including evidence for interference purposes, or for other proceedings, whenever requested, to testify in any interference or other proceedings, whenever requested, and to execute and deliver on request all lawful papers required to make any of the foregoing provisions effective, and likewise make these provisions binding upon our heirs, legal representatives, administrators and assigns, and we shall assist THE GOVERNMENT in every way as may be requested in protecting said invention, provided that any expense of extending such assistance shall be paid by THE GOVERNMENT.

ASSIGNMENT - Page 1 of 3

PATENT REEL: 011186 FRAME: 0324

We hereby grant the law firm of Klarquist Sparkman Campbell Leigh & Whinston, LLP, the power to insert on this Assignment any further information which may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

| Dated: Juha/Kononen STATE OF Juha/Kononen STATE OF Juha/Kononen State of Marina, Note Montgomery Control Montgomery Control Marina, Note Marina | ery Publi County yland es Sept. |
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| same of his own free will for the purposes set forth therein. | tary Publi County yland es Sept. |
| same of his own free will for the purposes set forth therein. | yland res Sept. |
| same of his own free will for the purposes set forth therein. | n, ; |
| Notary Public for non to man Continue | |
| Notary Public for non to ween Costland | |
| | |
| My commission expires: | |
| [SEAL] | |
| | |
| | |
| | |
| Dated: Stephen B. Leighton | |
| STATE OF) | |
| COUNTY OF) ss. | |
| This day of,, before me personally came the above-named Stephen B. Leighton, who executed the foregoing Assignment in my presence, and who acknowledged to me that he | |
| Leighton, who executed the foregoing Assignment in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein. | |
| | |
| Notary Public for | |
| My commission expires: | |
| [SEAL] | |
| | |
| | |
| ASSIGNMENT - Page 2 | 2 of 3 |
| | |
| Dated: 9/26/00 Stephen B. Leighton | |
| STATE OF May land | |
| COUNTY OF Montemory) ss. | |
| This b day of the foregoing Assignment in my presence, and who acknowledged to me that I Leighton, who executed the foregoing Assignment in my presence, and who acknowledged to me that I be the purposes set forth therein. | B. he |

Leighton, who executed the foregoing Assignment in in proceeding the same of his own free will for the purposes set forth therein.

REEL: 011186 FRAME: 0325

We hereby grant the law firm of Klarquist Sparkman Campbell Leigh & Whinston, LLP, the power to insert on this Assignment any further information which may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

| | \mathcal{M} | |
|--|---|---|
| Dated: | Ale Mare | |
| Dated. | Juha/Kononen | |
| va | | L |
| STATE OF Marjane) | Chinh Arima, Notary P Montgomery Count | |
|) ss. | (`~) state of Maryland | 2 |
| COUNTY OF Junion) ss. | My Commission Expires Se | |
| This of day of Cot 2000, bef | fore me personally came the above-named Juha Kononen, | |
| | sence, and who acknowledged to me that he executed the | |
| same of his own free will for the purposes set forth | therein. | |
| | | |
| | (Quelle Comment 2) | |
| | Notary Public for Montainey County | |
| | My commission expires: | |
| | | |
| [SEAL] | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Dated: | Co. J. D. Ii. Ida- | |
| | Stephen B. Leighton | |
| STATE OF) | | |
|) ss. | | |
| COUNTY OF) | | |
| TI: Josef hor | fore me personally came the above-named Stephen B | |
| I nis day of,, be | fore me personally came the above-named Stephen B. in my presence, and who acknowledged to me that he | |
| executed the same of his own free will for the purp | poses set forth therein. | |
| | | |
| | | |
| | N. A Dublic for | |
| | Notary Public for | |
| [CEAL] | y commonou experies | |
| [SEAL] | | |

ASSIGNMENT - Page 2 of 3

We hereby grant the law firm of Klarquist Sparkman Campbell Leigh & Whinston, LLP, the power to insert on this Assignment any further information which may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

| Dated: | V _C |
|--|---|
| Dateu. | Juha Kononen |
| STATE OF) | |
|) ss. (COUNTY OF) | |
| This day of, who executed the foregoing Assignment same of his own free will for the purpose | , before me personally came the above-named Juha Kononen, in my presence, and who acknowledged to me that he executed the es set forth therein. |
| | Notary Public for My commission expires: |
| [SEAL] | |
| | |
| Dated: $\frac{9/26/00}{}$ | Stephen B. Leighton Stephen B. Leighton |
| STATE OF May land | |
| COUNTY OF Montamon ss. | 2000 T. C |
| Leighton, who executed the foregoing A executed the same of his own free will for | |
| | RAZIAH CASWELL NOTARY PUBLIC STAYE OF MARYLAND My mission Expires February 28, 2007 Motary Public for |
| [SEAL] | RAZIAH CASWELL MARYLAND MOTARY PUBLIC STATE OF MARYLAND MY Commission Expires Fe sentidas Maryland My Commission Expires Fes entidas Maryland My Commission Expires Fesentidas My Commission Expires My |

ASSIGNMENT - Page 2 of 3

| Dated: 6 /10/2000 | and Olli P. Kallioniemi |
|---|--|
| STATE OF) | |
|) ss. COUNTY OF) | |
| This day of , , Kallioniemi, who executed the foregoing Assi executed the same of his own free will for the | , before me personally came the above-named and Olli P. ignment in my presence, and who acknowledged to me that he purposes set forth therein. |
| | Notary Public for |
| | My commission expires: |
| [SEAL] | |

ASSIGNMENT - Page 3 of 3