

10-10-00

11-01-2000

RECORDATION FOI



101502480

To the Honorable Commissioner of Patent original documents or copy thereof.

Ord the attached

OCT 10

<p>1. Name of conveying party(ies):</p> <p>a) <u>Marcos N. Novaes</u> g) _____</p> <p>b) <u>Gregory D. Laib</u> h) _____</p> <p>c) _____ i) _____</p> <p>d) _____ j) _____</p> <p>e) _____ k) _____</p> <p>f) _____ l) _____</p> <p>More names attached? <input type="checkbox"/> Y <input checked="" type="checkbox"/> N</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: INTERNATIONAL BUSINESS MACHINES CORPORATION</p> <p>Address: New Orchard Road Armonk, NY 10504</p> <p>More names attached? <input type="checkbox"/> Y <input checked="" type="checkbox"/> N</p>
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3. Nature of Conveyance:  Assignment

4. Application number: 09/583,784 Filed: May 31, 2000

Filed with new application:  yes  no

Execution date(s):

a) September 26, 2000 g) \_\_\_\_\_

b) September 7, 2000 h) \_\_\_\_\_

c) \_\_\_\_\_ i) \_\_\_\_\_

d) \_\_\_\_\_ j) \_\_\_\_\_

e) \_\_\_\_\_ k) \_\_\_\_\_

f) \_\_\_\_\_ l) \_\_\_\_\_

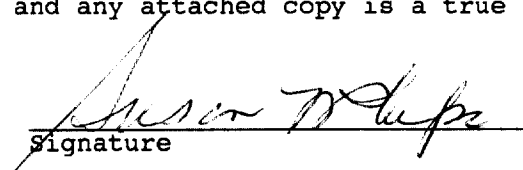
Additional numbers attached?  Y  N

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: Lawrence D. Cutter IBM Corporation Intellectual Property Law 2455 South Road, M/S P386 Poughkeepsie NY 12601 (845) 433-1172</p>	<p>6. Total # of applications and patents involved: <u>01</u></p> <p>7. Total fee (37 CFR 3.41): \$ <u>40.00</u></p> <p>8. Charge to Deposit Account 09-0463. <u>2 Assignments enclosed</u></p>
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11/01/2000 ANNEXE1 00000036 090463 09583784

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9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Susan L. Phelps  10/05/00

Signature Date

Total number of pages including cover sheet, attachments, and document: 3

Express Mail Label No. (if applicable): \_\_\_\_\_ Docket No.: POU920000009US1

# A S S I G N M E N T

Whereas, we

(1) Marcos N. Novaes of Hopewell Junction  
County of Dutchess and State of New York

and

(2) Gregory D. Laib of Kingston  
County of Ulster and State of New York

have invented certain improvements in

**METHOD, SYSTEM AND PROGRAM PRODUCTS FOR RECOVERING FROM FAILURES  
WITHIN A SHARED NOTHING DISTRIBUTED COMPUTING ENVIRONMENT**

and executed a United States Patent Application therefor on

(1) 9/26/00 and (2) \_\_\_\_\_.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at New Orchard Road, Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patents granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

(1) at (City, State) Foghtkeepsie, NY  
on 09/26/00

[Signature]  
Marcos N. Novaes

(2) at (City, State) \_\_\_\_\_  
on \_\_\_\_\_

\_\_\_\_\_  
Gregory D. Laib

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Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patents granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

(1) at (City, State)  
on \_\_\_\_\_

\_\_\_\_\_  
Marcos N. Novaes

(2) Kingston, NY  
at (City, State)  
on 9/7/00

Gregory D. Laib  
\_\_\_\_\_  
Gregory D. Laib