

11-02-2000

U.S. Department of Commerce  
Patent and Trademark Office

RE



9.28.00

101503863

To the Commissioner for Patents. Please record the attached original documents or copies thereof.

## 1. Name of Conveying Party(ies):

Chi-Yuan LEE

## 2. Name and Address of Receiving Party(ies):

Name: Tung Chu International Inc.

Internal Address:

Street Address: No. 8, Alley 5, Lane 16, Kweiyang Street

City, State, Zip: Taishan Village, Taipei County Taiwan, R.O.C.

☐ Additional names of conveying parties attached

## 3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

Execution Date: Aug. 28, 2000

☐ Additional name(s) and address(es) attached.

## 4. (A) Patent Application Number(s):

## 4. (B) Patent Number(s):

5,329,792

If this document is being filed together with a new application, the execution date of the application is:

☐ Additional Numbers Attached

## 5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed:

Name: George Chen

Address: Bacon & Thomas, PLLC  
625 Slaters Lane Fourth Floor  
Alexandria, VA 22314Total Number of Applications and  
Patents Involved:

1

7. Total Fee:  
(37 CFR 3.41)

\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account Number: 02-0200

ATTACH DUPLICATE COPY OF THIS PAGE IF PAYING BY DEPOSIT ACCOUNT

DO NOT USE THIS SPACE

## 9. Statement and Signature:

**To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.**

Chung Ching Chen

September 27, 2000

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 3

U.S. Patent No. 5,329,792

ASSIGNMENT

Lee, Chi-Yuan hereinafter referred to as ASSIGNOR, owner of record of U.S. Patent No. 5,329,792 granted on July 19, 1994 for "Concealed Car Locking Device for Automatic Shift Lever", recorded at Reel/Frame , for good and valuable consideration, the receipt

of which is hereby acknowledged, hereby assigns to Tung Chu Int'l Inc., located at No.8, Alley 5, Lane 16, Kweiyang St., Thaishan Village, Taipei County, Taiwan, R.O.C., hereinafter referred to as ASSIGNEE, and the successors, assigns and legal representatives of the ASSIGNEE, the entire right, title and interests for the United States and its territorial possessions in and to said patent, including any and all improvements disclosed therein, and further including any continuation, division, renewal, substitute, reissue or reexamination of said patent ("Equivalents").

ASSIGNOR hereby covenants that no assignment, sale agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment and sale.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said letters patent and Equivalents as may be known and accessible to ASSIGNOR without further consideration and will testify as to same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required in connection with the maintenance and enforcement of said patent or said Equivalents thereof which may be necessary or desirable to carry out the purpose thereof.

This Assignment shall and does hereby convey to ASSIGNEE the right to enforce said patent or Equivalent for any infringement thereof and to recover full damages or other compensation for such infringement before any tribunal having jurisdiction over such infringement or of the involved parties as and from the date of issuance, grant or effective date of said patent or Equivalent thereof.

IN WITNESS WHEREOF, I/we have hereunto set hand and seal this 28th day of August, 2000.

By: LEE CHIYUAN X  
 Lee, Chi-Yuan  
 Vice-President X  
 \_\_\_\_\_  
 Print Name, Title

# VERIFIED STATEMENT (DECLARATION) BY A SMALL BUSINESS CONCERN CLAIMING SMALL ENTITY STATUS UNDER 37 CFR 1.9(F) AND 1.27(C)

APPLICANT OR PATENTEE:  
SERIAL OR PATENT NUMBER:  
FILED OR ISSUED:

DOCKET #:

GROUP ART UNIT:  
EXAMINER:

TITLE: Concealed Car Locking Device for Automatic  
Shift Lever

I hereby declare that I am

- ☒ the owner of the small business concern identified below:  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: Tung Chu International Inc.

Address: No.8, Alley 5, Lane 16, Kweiyang St., Thaishan Village,  
Taipei County, Taiwan.R.O.C.

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the matter described in:

- ☒ The specification filed herewith, with the title as listed above.  
☐ The patent application identified above.  
☐ The PCT International patent application identified above.  
☐ The patent number identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern who would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). Each person or organization having any rights in the invention is listed below:

- ☐ No such person, concern or organization.  
☐ Each such person, concern or organization as listed below:

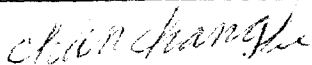
FULL NAME	<input type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Nonprofit Organization
ADDRESS	

FULL NAME	<input type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Nonprofit Organization
ADDRESS	

☐ See attached sheet for additional person(s), concern(s) or organization(s).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine, or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which the verified statement is directed.

NAME AND TITLE	DATE
Lee, Chun-Chang (owner)	28th Aug. 2000
ADDRESS	SIGNATURE
No.8, Alley 5, Lane 16, Kweiyang St., Thaishan Village, Taipei County, Taiwan.R.O.C.	 Lee, Chun-Chang