

11-02-2000



## FORM COVER SHEET

Patents Only

101503146

To the Honorable Commissioner of Patents and Trademarks  
Please record the attached original documents or copy thereof.

Attorney's Docket No. 5718-66

## 1. Name of conveying party(ies):

Christopher L. Baszczynski  
Leszek Alexander Lyznik  
William J. Gordon-Kamm  
Aragula Gururaj Rao  
Laura A. Tagliani  
Xueni Guan

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Pioneer Hi-Bred International, Inc.  
800 Capital Square  
400 Locust Street  
Des Moines, Iowa 50309

10-18-00

## 3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☐ Change of Name

☒ Other Correction of previously recorded Assignment  
at Reel 9779, Frame 0015

Execution Dates: December 14 and 15, 1998 and  
January 4, 6, and 18, 1999

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application No. 09/193,503, filed November 17, 1998

Patent No. \_\_\_\_\_

If this document is being filed together with a new application, the execution date of the application is:

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Kelly J. Williamson  
**ALSTON & BIRD LLP**  
Post Office Drawer 34009  
Charlotte, NC 28234-4009

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

11/02/2000 RAHWD1 00000050 09193503

01 FC:581

40.00 DP

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## 9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy Of the original document.

Kelly J. Williamson, Reg. No. 47,179

Name of Person Signing

Kelly J. Williamson  
Signature

10/18/00  
Date

Total number of pages including cover sheet, attachments, and document: 6

RTA01/2084818v1

PATENT  
REEL: 011191 FRAME: 0379

FEB 16 1999

ASSIGNMENT

02-26-1999

SHEET Patents Only



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ney Dkt. No.: 5718-66

To the Honorable Commissioner of Patents and Trademarks  
Please attach the attached original doc.

1. Name of conveying party(ies):

Christopher L. Baszczynski  
Leszek Alexander Lyznik  
William J. Gordon-Kamm  
Aragula Gururaj Rao  
Laura A. Tagliani

MLD  
2-16-99

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

3. Nature of conveyance:

- ☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other

Execution Date: December 14, 15, 18, 1998 and  
January 4, 6, 1999

2. Name and address of receiving party(ies):

Pioneer Hi-Bred International, Inc.  
800 Capital Square, 400 Locust Street  
Des Moines, Iowa

Additional name(s) & address(es) attached? Yes ☐ No ☒

4. Application No. 09/193,503

Patent No. \_\_\_\_\_

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Additional numbers attached? Yes ☐ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

W. Murray Spruill  
ALSTON & BIRD LLP  
P. O. Drawer 34009  
Charlotte, NC 28234-4009

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number: 16-0605

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

W. Murray Spruill, Reg. No. 32,943  
Name of Person Signing

Signature

Date

2/11/99

Total number of pages including cover sheet, attachments and document: 5

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## ASSIGNMENT

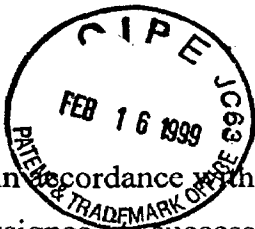
THIS ASSIGNMENT, made by us, Christopher L. Baszczynski, citizen of Canada, residing at 7305 Benton Drive, Urbandale, Iowa; Leszek Alexander Lyznik, citizen of Poland, residing at 5960 Greendale Place, #105, Johnston, Iowa; William J. Gordon-Kamm, citizen of the United States of America, residing at 3916 - 67th Street, Urbandale, Iowa 50322; Aragula Gururaj Rao, citizen of the United States of America, residing at 4734 - 74th Street, Urbandale, Iowa; Laura A. Tagliani, citizen of the United States of America, residing at 7552 N.W. 28th Street, Ankeny, Iowa; and Xueni Guan, citizen of the Peoples Republic of China, residing at 12524 Caminito Vista Soledad, San Diego, California, respectively;

WITNESSETH: That,

WHEREAS, we are the joint inventors of certain new and useful improvements in A NOVEL METHOD FOR THE INTEGRATION OF FOREIGN DNA INTO EUKARYOTIC GENOMES for which an application for United States Letters Patent has been filed in the United States Patent and Trademark Office on November 17, 1998, under Application No. 09/193,503, and

WHEREAS, PIONEER HI-BRED INTERNATIONAL, INC., an Iowa corporation having a principal place of business at 800 Capital Square, 400 Locust Street, Des Moines, Polk County, State of Iowa, hereinafter referred to as assignee, is desirous of acquiring the entire right, title, and interest in and to said invention as described in said application, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold and by these presents do hereby sell, assign, transfer, and convey unto the said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with the right to claim the priority of said application in all



foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

We hereby request that said Letters Patent be issued in accordance with this assignment.

We further covenant and agree that, at the time of the execution and delivery of these presents, we possess full title to the invention and application above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree to bind our heirs, legal representatives, and assigns promptly to communicate to said assignee or its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives.

IN WITNESS WHEREOF, we have hereunto set our hands and seals on this 14th, 15th and 16th day of January, 1998.  
1999

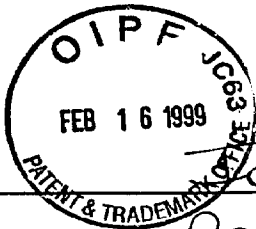
Chris L. Baszczyński (SEAL)  
Christopher L. Baszczyński

Leszek Alexander Lyznik (SEAL)  
Leszek Alexander Lyznik

W<sup>m</sup> J. Gordon-Kamm (SEAL)  
William J. Gordon-Kamm

A. Gururaj Rao (SEAL)  
Aragula Gururaj Rao

Laura A. Tagliani (SEAL)  
Laura A. Tagliani



STATE OF IOWA )  
COUNTY OF POLK ) SS:

Before me personally appeared Christopher L. Baszczynski, Leszek Alexander Lyznik, William J. Gordon-Kamm, Aragula Gururaj Rao, and Laura A. Tagliani, to me known to be the persons described in and who executed the foregoing instrument, and they acknowledged to me that they executed the same for the purposes therein stated, this 14<sup>th</sup> 15<sup>th</sup> day of December 1998, 1999. 4, 6<sup>th</sup>, + 18<sup>th</sup> January

Ginny Boesen  
Notary Public

SEAL



My Commission Expires. \_\_\_\_\_



IN WITNESS WHEREOF, we have hereunto set our hands and seals on this 6th  
day of January, 1998

Xueni Guan (SEAL)  
Xueni Guan

STATE OF California )  
COUNTY OF San Diego ) ss:

Before me personally appeared Xueni Guan, to me known to be the person described in  
and who executed the foregoing instrument, and she acknowledged to me that she executed the  
same for the purposes therein stated, this 4th day of January, 1998. *me*



Lisa Goldberg  
Notary Public  
Lisa Goldberg

My Commission Expires: 7/5/01

RTA01/2057717v1