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- ☒ Assignment ☐ Security Agreement
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10/04/00
09/16/2002
U.S. PTO

Conveying Party(ies)

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Execution Date
Month Day Year
10/02/2000

Name (line 1) David A. Pierpont

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Caterpillar Inc.

Name (line 2) Intellectual Property Department

Address (line 1) 100 N.E. Adams Street

Address (line 2)

Address (line 3) Peoria

Illinois

61629-6490

City

State/Country

Zip Code

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Domestic Representative Name and Address

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Name

Address (line 1)

Address (line 2)

Address (line 3)

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PATENT
REEL: 011193 FRAME: 0136

Correspondent Name and Address

Area Code and Telephone Number (309) 675-4922

Name Larry G. Cain

Address (line 1) Caterpillar Inc.

Address (line 2) Intellectual Property Department

Address (line 3) 100 N. E. Adams Street

Address (line 4) Peoria, IL 61629-6490

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09/678602
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Pages

Enter the total number of pages of the attached conveyance document including any attachments.

1

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
10/02/2000

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☐ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

03-1129

Docket No. 98-691

Authorization to charge additional fees:

Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Larry G. Cain

Name of Person Signing

Larry G. Cain

Signature

10/04/2000

Date

ASSIGNMENT

WHEREAS, David A. Pierpont, residing at Peoria, Illinois, hereinafter referred to as ASSIGNOR, has invented a new and useful

TWIN TURBINE EXHUAUST GAS RE-CIRCULATION SYSTEM HAVING FIXED GEOMETRY TURBINES

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on October 2, 2000; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

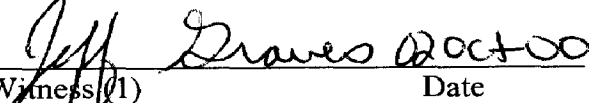


DAVID A. PIERPONT

10/2/00


Date

IN WITNESS OF the execution hereof by DAVID A. PIERPONT we have hereunto set our hands.



Witness (1) Date

Address: 314 S. FULTON
Lacon, IL 61540



Witness (2) Date

Address: 1390 County Rd 1000N
Lacon, IL 61540