

11-03-2000

Attorney Docket No. 18623-0157-10US

FORM PTO-1595

(Rev. 6-93)



101504832

To the Honorable Asst. Commissioner for Patents

Cover Sheet

U.S. Department of Commerce

2)

Patent and Trademark Office

Check original documents or copy thereof

1. Name of conveying party(ies):

John Fikes
Alessandro Sette
John Sidney
Scott Southwood
Esteban Celis
Elissa Keogh
Robert Chesnut

10-10-00

Additional name(s) of conveying parties attached? ☐ Yes
☒ No.

2. Name and address of receiving party(ies)

Name: Epimmune Inc.

Internal Address:

Street Address: 5820 Nancy Ridge Drive

City: San Diego

State: California ZIP: 92121

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: September 21 and 22, 2000

Additional names and addresses attached? ☐ Yes ☒ No

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/543,608

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jean M. Lockyer
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 20-1430

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true and correct copy of the original document.

Jean M. Lockyer
Name of Person Signing
Atty Reg. No. 44,879

Signature

October 4, 2000
Date

Total number of pages including cover sheet, attachments and document 9

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover to:

Do not detach this portion

Asst. Commissioner for Patents
Box: Assignments
Washington, D.C. 20231

09543608

201430

00000017

DBYRNE

11/03/2000

40.00 CH

01 FC:581

PATENT
REEL: 011193 FRAME: 0617

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, **John Fikes** of 6494 Lipmann Street, San Diego, California 92122, **Alessandro Sette** of 5551 Linda Rosa Avenue, La Jolla, California 92037, **John Sidney** of 4218 Corte de la Siena, San Diego, California 92130, **Scott Southwood** of 10679 Strathmore Drive, Santee, California 92071, **Esteban Celis** of 3683 Wright Road S.W., Rochester, Minnesota 55902, and **Elissa Keogh** of 4343 Caminito del Diamante, San Diego, California 92121, **Robert Chesnut** of 1473 Kings Cross Drive, Cardiff-by-the-Sea, California 92007, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: HLA CLASS I A2 TUMOR ASSOCIATED ANTIGEN
PEPTIDES AND VACCINE COMPOSITIONS

Date(s) of execution of Declaration:

Filing Date: April 5, 2000

Application No.: 09/543,608 ; and

WHEREAS, **Epimmune Inc.**, a Delaware corporation, of 5820 Nancy Ridge Drive, San Diego California 92121, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

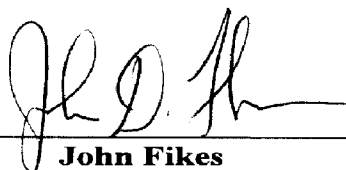
For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

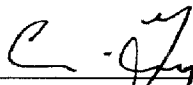
Date: 9/21/00



John Fikes

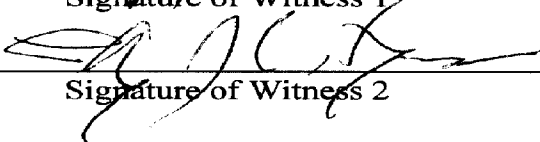
SIGNATURE WITNESSED BY:

(1) Date: 09/21/00




Signature of Witness 1

(2) Date: 9/21/00



Signature of Witness 2

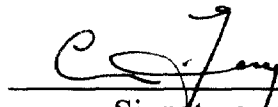
Date: 9/21/00



Alessandro Sette

SIGNATURE WITNESSED BY:

(1) Date: 09/21/00



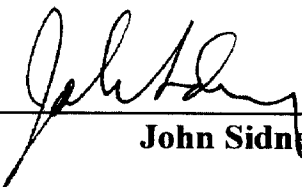
Signature of Witness 1

(2) Date: 9-21-00



Signature of Witness 2

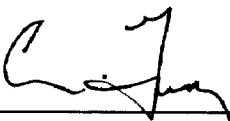
Date: 9/21/00



John Sidney

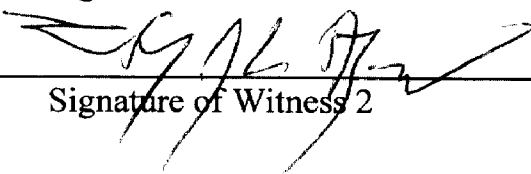
SIGNATURE WITNESSED BY:

(1) Date: 9/21/00



Signature of Witness 1

(2) Date: 9/21/00




Signature of Witness 2

Date: 9/21/00


Scott Southwood

SIGNATURE WITNESSED BY:

(1) Date: 9/21/00


Signature of Witness 1

(2) Date: 9-21-00


Signature of Witness 2

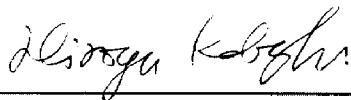
Date: 9-22-2000



Esteban Celis

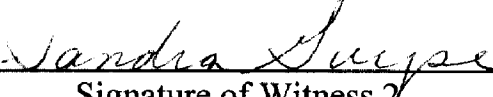
SIGNATURE WITNESSED BY:

(1) Date: 9-22-00



Signature of Witness 1

(2) Date: 9/25/00



Signature of Witness 2

Date: 9/21/00

Elissa Keogh
Elissa Keogh

SIGNATURE WITNESSED BY:

(1) Date: 09/21/00

E. J.
Signature of Witness 1

(2) Date: 9-21-00

[Signature]
Signature of Witness 2


Date: 9-21-00



Robert Chesnut

SIGNATURE WITNESSED BY:

(1) Date: 9/21/00



Signature of Witness 1

(2) Date: 9-21-00



Signature of Witness 2

SF 1091902 v1