

11-07-2000



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To The Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):  
Second Opinion Financial Systems, Inc.

2. Name and address of receiving party(ies)  
Financial Profiles, Inc.

Additional names(s) of conveying party(ies) attached?  Yes xNo

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name

Internal Address:  
Street Address: 5421 Avenida Encina, Suite A,

Execution Date: October 4<sup>th</sup>, 2000

City: Carlsbad State: CA Zip: 92008

Additional names(s) of conveying party(ies) attached?  Yes xxNo

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of this application is:

A) Patent Application No.(s)  
09/209,147

B) Patent No.(s)  
5,956,691

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning documents should be mailed:

**CAESAR, RIVISE, BERNSTEIN,  
COHEN & POKOTILOW, LTD.**

**Attn: Scott M. Slomowitz, Esq.  
12<sup>th</sup> Floor - 7 Penn Center  
1635 Market Street; Phila, PA 19103-2212**

6. Total number of applications and patents involved..... 2

7. Total fee (37 CFR 3.41).....\$ 80.00

Authorized to Charge to Deposit Account No.  
**03-0075 (duplicate attached)**

**DO NOT USE THIS SPACE**

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Scott M. Slomowitz  
Name of Person Signing

Scott M. Slomowitz  
Signature

10/12/00  
Date

Total number of pages including cover sheet, attachments and document: 8

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks; Box Assignments; Washington DC 20231

11/06/2000 RANMED1 00000150 030075 09209147  
01 FC:581 80.00 CH

U.S. PATENT ASSIGNMENT

WHEREAS, SECOND OPINION FINANCIAL SYSTEMS, INC., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, and having an address at 1601 Market Street, Suite 2903, Philadelphia, Pennsylvania 19103 (hereinafter "SOFS") had acquired, by assignment, certain rights, title, and interest in and to the inventions which form the subject matter of the issued patent and pending United States patent application identified in Exhibit A, attached hereto, and in and to any patent issuing from said patent application (all of said inventions, issued United States patents, any United States pending patent applications and abandoned patent applications, and any patents issuing from said United States patents and abandoned patent applications hereinafter being collectively referred to as "Patent Rights"), and in and to all divisional, continuing, renewal, reissue, or other United States patent applications based upon the Patent Rights, and any patents issuing from any of said divisional, continuing, renewal, reissue, or other United States patent applications based upon the Patent Rights (the Patent Rights and all divisional, continuing, renewal, reissue, or other United States patent applications based upon the Patent Rights, and any patents issuing from any of said divisional, continuing, renewal, reissue, or other United States patent applications based upon the Patent Rights being collectively referred to as "United States Patent Rights");

WHEREAS, FINANCIAL PROFILES, INC. a corporation organized and existing under the laws of the State of California, and having an address at 5421 Avenida Encinas, Suite

A, Carlsbad, CA 92008 (hereinafter "FP") pursuant to an Asset Purchase Agreement dated February 24, 1999 acquired all of SOFS's rights, title, and interest in and to the United States Patent Rights;

WHEREAS, SOFS and FP wish to represent said transfer of the United States Patent Rights in a form suitable for recording at the United States Patent and Trademark Office;

NOW, THEREFORE, SOFS and FP, intending to be legally bound, and for good and valuable consideration the receipt and sufficiency of which is hereby acknowledged, agree as follows:

1. SOFS states that on February 24, 1999 pursuant to an Asset Purchase Agreement that it sold, assigned, and transferred to, and does hereby sell, assign, and transfer to FP, all of SOFS's rights, title and interest in and throughout the United States and its territories, in and to the United States Patent Rights, including all of SOFS's rights to sue others for past acts of infringement of the United States Patent Rights and to retain all revenues received from others for past acts of infringement of the United States Patent Rights.

2. SOFS hereby authorizes and requests the Commissioner of Patents and Trademarks to issue to FP any and all United States Letters Patents that may be granted upon patent applications forming a part of the United States Patent Rights, and to index this Assignment against any and all of such United States Letters Patents that may be granted upon applications forming a part of the United States Patent Rights.

3. SOFS further agrees for itself, its successors, assigns, and its legally bound predecessors to execute, without further consideration, any further legal documents, and any further assignments, and any releases, reissues, renewals or other applications for Letters Patent that may be deemed necessary by FP, or by FP's successors-in-interest or assigns, fully to secure to FP, or to FP's successors-in-interest or assigns, SOFS's interest aforesaid in and to the United States Patent Rights.

IN WITNESS WHEREOF, I hereunto affix my hand and seal this 4 day of

OCTOBER, 2000.

SECOND OPINION FINANCIAL SYSTEMS, INC..

By: Richard M Weber  
Name: RICHARD M. WEBER  
Title: PRESIDENT

CERTIFICATE OF ACKNOWLEDGMENT

STATE OF CALIFORNIA :  
COUNTY OF San Diego : SS:

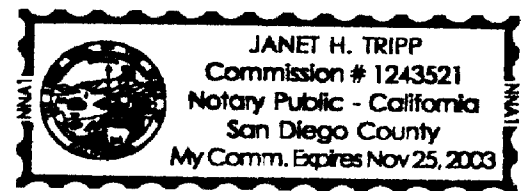
Before me personally appeared said Richard M Weber of SECOND OPINION FINANCIAL SYSTEMS, INC. and acknowledged that he is/was the President of SECOND OPINION FINANCIAL SYSTEMS, INC., that he has the authority to execute this Assignment on behalf of SECOND OPINION FINANCIAL SYSTEMS, INC. and has acknowledged the foregoing instrument to be his free act and deed this 4<sup>th</sup> day of October, 2000.

Janet H. Tripp  
Notary Public

My Commission Expires: Nov 25, 2003

(SEAL)

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IN WITNESS WHEREOF, I hereunto affix my hand and seal this 4<sup>th</sup> day of

October, 2000.

FINANCIAL PROFILES, INC.

By: Richard A. LaFetra  
Name: Richard A LaFetra  
Title: Vice President & CFO

STATE OF CALIFORNIA :  
COUNTY OF San Diego : SS:

Before me personally appeared said Richard A. LaFetra of FINANCIAL PROFILES, INC. and acknowledged that he is the \_\_\_\_\_ of FINANCIAL PROFILES, INC. that he has the authority to execute this Assignment on behalf of FINANCIAL PROFILES, INC. and has acknowledged the foregoing instrument to be his free act and deed this 4<sup>th</sup> day of October, 2000.

Janet H. Tripp  
Notary Public

My Commission Expires: Nov. 25, 2003

(SEAL)

