

11-03-2000



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**RECORDATION FORM COVER SHEET**

**PATENTS ONLY**

SONYJP 3.3-092

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10.2.00

New

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Correction of PTO Error

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**Conveyance Type**

Assignment

Security Agreement

License

Change of Name

Merger

Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

Departmental File

Secret File

**Conveying Party(ies)**

Mark if additional names of conveying parties attached

Name (line 1)

WAKAHARA, Tatsuya

Execution Date  
Month Day Year

08072000

Name (line 2)

**Second Party**

Name (line 1)

HAMADA, Ichiro

Execution Date  
Month Day Year

08072000

Name (line 2)

**Receiving Party**

Mark if additional names of receiving parties attached

Name (line 1)

SONY CORPORATION

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

7-35, Kitashinagawa 6-chome

Address (line 2)

Shinagawa-ku

Address (line 3)

Tokyo

Japan

City

State/Country

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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11/07/2000 6TON11 00000125 121095 0962072P  
01 FR:580 40.00 CR

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**PATENT**

REEL: 011196 FRAME: 0839

# RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY

## Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date  
Month Day Year

Name (line 1) MIZUTANI, Masao

08212000

Name (line 2)

Execution Date  
Month Day Year

Name (line 1) INOUE, Hajime

09012000

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

## Receiving Party(ies)

Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

## Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments. #

**Application Number(s) or Patent Number(s)**

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Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved. #

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:  
Deposit Account

Enclosed  Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

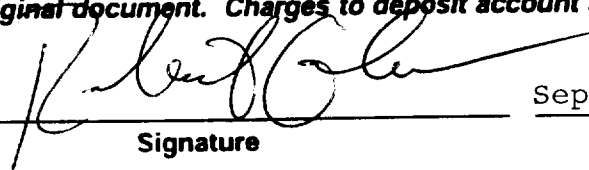
Authorization to charge additional fees:

Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

ROBERT B. COHEN



September 28, 2000

Name of Person Signing

Signature

Date

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in:

**Digital Broadcast Receiving System and Digital Broadcast Receiving Device**

for which an International Application was filed on December 22, 1999. PCT/JP99/07212, designating the United States.

AND WHEREAS, Sony Corporation, a Japanese corporation with offices at 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo, Japan (hereinafter referenced as ASSIGNEE) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the application number and filing date of this application in the spaces that follow: Application Number: \_\_\_\_\_, Filing Date: \_\_\_\_\_.

This assignment executed on the dates indicated below.

Tatsuya Wakahara  
Name of First or Sole Inventor Execution Date of U.S. Patent Application

Tokyo, Japan  
Residence of First or Sole Inventor

Tatsuya Wakahara  
Signature of First or Sole Inventor August, 7, 2000  
Date of this Assignment

Ichiro Hamada

Name of Second Joint Inventor

Execution Date of U.S. Patent Application

Kanagawa, Japan

Residence of Second Joint Inventor

Ichiro Hamada  
Signature of Second Joint Inventor

August 7, 2000  
Date of this Assignment

Masao Mizutani

Name of Third Joint Inventor

Execution Date of U.S. Patent Application

Kanagawa, Japan

Residence of Third Joint Inventor

Masao Mizutani  
Signature of Third Joint Inventor

August 21, 2000  
Date of this Assignment

Hajime Inoue

Name of Fourth Joint Inventor

Execution Date of U.S. Patent Application

Chiba, Japan

Residence of Fourth Joint Inventor

Hajime Inoue  
Signature of Fourth Joint Inventor

September 1, 2000  
Date of this Assignment

Name of Fifth Joint Inventor

Execution Date of U.S. Patent Application

Residence of Fifth Joint Inventor

Signature of Fifth Joint Inventor

Date of this Assignment

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