

11-07-2000

Docket No.: 1617A1

EET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



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101507555

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

(1) Phillip C. Yu, (2) David L. Backfisch, (3) Charles R. Coleman

10-23-00

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: September 6, 2000

2. Name and address of receiving party(ies):

Name: PPG INDUSTRIES OHIO, INC.

Internal Address:

Street Address: 3800 West 143rd Street

City: Cleveland State: OH ZIP: 44111

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/644,355

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: PPG Industries, Inc.

Internal Address: Law - Intellectual Property Dept.

Street Address: One PPG Place

City: Pittsburgh State: PA ZIP: 15272

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☒ Authorized to be charged to deposit account

8. Deposit account number:

16-2025

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Carol A. Marmo

Name of Person Signing

Signature

October 19, 2000

Date

Total number of pages including cover sheet, attachments, and document:

5

11/06/2000 AMMED1 00000067 162025 09644355

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40.00 CH

PATENT  
REEL: 011199 FRAME: 0506

ASSIGNMENT

IN CONSIDERATION of good and valuable considerations received from PPG INDUSTRIES OHIO, INC., a corporation of Delaware, having a place of business at Cleveland, Ohio, and intending to be legally bound hereby, we,

NAME	CITY	COUNTY	STATE
Phillip C. Yu	Pittsburgh	Allegheny	Pennsylvania
David L. Backfisch	Monroeville	Allegheny	Pennsylvania
Charles R. Coleman	Pittsburgh	Allegheny	Pennsylvania

do hereby sell, assign and set over unto PPG INDUSTRIES OHIO, INC., the entire right, title and interest in and to our invention of improvements in METHOD AND APPARATUS FOR CONTROLLING AN ELECTROCHROMIC DEVICE, as set forth in our application for Letters Patent of the United States of America, executed by

Phillip C. Yu, on the 6<sup>TH</sup> day of September, 2000;  
David L. Backfisch, on the 6<sup>th</sup> day of SEPTEMBER, 2000  
Charles R. Coleman, on the 6 day of September, 2000

in and for the United States and all foreign countries, the same to be held and enjoyed by PPG INDUSTRIES OHIO, INC., its successors, assigns, or legal representatives, to the full ends of the terms for which all Letters Patent therefor may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.


AND WE HEREBY AUTHORIZE PPG INDUSTRIES OHIO, INC., to make application for and to receive Letters Patent for said invention in any or all foreign countries in its own name, or in any name, at its election.

AND WE HEREBY COVENANT AND AGREE that we will execute or procure any further necessary assurance of the title to said invention and Letters Patent; and that we will at any time, upon the request and at the expense of PPG INDUSTRIES OHIO, INC., execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor, in PPG INDUSTRIES OHIO, INC., its successors, assigns, or other legal representatives, and that we will, at any time, upon the request and at the expense of PPG INDUSTRIES OHIO, INC., testify in any legal proceeding, sign all lawful papers, execute any additional, divisional, continuing or reissue applications for patents for said invention, or any part or parts thereof, make all rightful oaths and generally do all lawful acts to aid PPG INDUSTRIES OHIO, INC., its successors,

assigns, or other legal representatives to obtain and enforce patent protection on said invention in all countries, without further compensation, but at the expense of PPG INDUSTRIES OHIO, INC., its successors, assigns, or other legal representatives.

AND WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States for said invention, or resulting from said application, or from any division, reissue, or extension thereof, to PPG INDUSTRIES OHIO, INC., as sole assignee.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals.

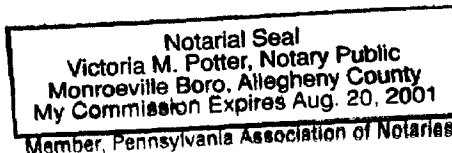
  
Phillip C. Yu


STATE OF PENNSYLVANIA       )  
  )  
County of Allegheny        )

SS

On this 6<sup>th</sup> day of September, 2000 appeared before me in person the above Phillip C. Yu, and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.

  
Notary Public

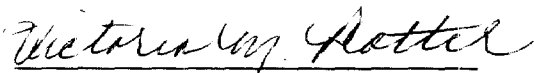


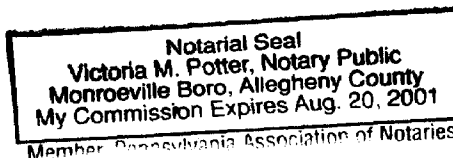
  
David L. Backfisch

STATE OF PENNSYLVANIA       )  
  )  
County of Allegheny        )

SS

On this 6<sup>th</sup> day of September, 2000 appeared before me in person the above David L. Backfisch, and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.

  
Notary Public



*Charles R. Coleman*

Charles R. Coleman

STATE OF PENNSYLVANIA )

County of Allegheny )

SS

On this 6 day of <sup>September</sup>~~August~~, 2000 appeared before me in person the above Charles R. Coleman, and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.

*Victoria M. Potter*

Notary Public

