

9/29/00

RI

11-07-2000



Docket No.: Goossen 88

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Tab settings

101506814

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Keith Wayne Goossen

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date:

2. Name and address of receiving party(ies):

Name: **Lucent Technologies, Inc.**

Internal Address:

Street Address: **600 Mountain Avenue**

City: **Murray Hill** State: **NJ** ZIP: **07974**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

09/677102

If this document is being filed together with a new application, the execution date of the application is: **Sept. 29, 2000**

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Thomason, Moser & Patterson LLP**

Internal Address:

Street Address: **595 Shrewsbury Avenue**

Suite 100

City: **Shrewsbury** State: **NJ** ZIP: **07702**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

12-2325

11/06/2000 00:00:00 00000140 122325 09677102

01 FC:581

40.00 CH

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Wan Yee Cheung

Name of Person Signing

Signature

Date

3

Total number of pages including cover sheet, attachments, and document:

PATENT

REEL: 011200 FRAME: 0029

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ASSIGNMENT AND AGREEMENT

For value received, I, **Keith Wayne Goossen** of Aberdeen, in the County of Monmouth and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **ENHANCED TILT OPTICAL POWER EQUALIZER** described in an application for Letters Patent of the United States, executed by me of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and I also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

I agree that, when requested, I shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.


Keith Wayne Goossen

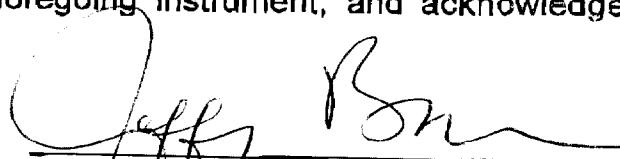
Date: 9/29/00

United States of America)

State of New Jersey) ss.:

County of monmouth)

On this 29th day of September, 2000, before me personally came Keith Wayne Goossen, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

JEFFERY JAMES BROSEMER
A Notary Public of New Jersey
My Commission Expires August 16, 2005