

11-07-2000

2-0165-UNI; J3478(C)

RECORDATION FORM COVER SHEET
PATENTS ONLY



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To the Honorable Commissioner of Patents and Trademark

101506391

nts or copy thereof.

1. NAME OF CONVEYING PARTY(IES):

Gerald ADAMS
Ezat KHOSHDEL
Anthony MORETTA
Yvonne Christine PLANT
Euan Stuart REID

10-4-00

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED?
YES [] NO [XX]

2. NAME AND ADDRESS OF RECEIVING PARTY(IES):

NAME: Unilever Home & Personal Care USA,
Division of Conopco, Inc.

STREET ADDRESS: 325 North Wells Street

CITY: Chicago STATE: Illinois ZIP: 60610

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED?
YES [] NO [XX]

3. NATURE OF CONVEYANCE:

[XX] ASSIGNMENT [] SECURITY AGREEMENT [] MERGER [] CHANGE OF NAME [] OTHER

EXECUTION DATE: August 31, 2000; September 19, 2000

4. APPLICATION NUMBER(S) OR PATENT NUMBER(S): 09/575,966 FILED: May 23, 2000

IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS:

A. PATENT APPLICATION NO.(S): 09/575,966

B. PATENT NO.(S)

ADDITIONAL NUMBERS ATTACHED? [] YES [XX] NO

5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED:
UNILEVER PATENT DEPARTMENT, 45 RIVER ROAD, EDGEWATER, NEW JERSEY 07020

6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED: 1

7. TOTAL FEE (37 CFR 3.41): \$40.00 [] ENCLOSED [XX] AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT
[XX] ANY DEFICIENCY OR OVERPAYMENT SHOULD BE CHARGED OR CREDITED TO DEPOSIT ACCOUNT 12-1155.

8. DEPOSIT ACCOUNT NUMBER: 12-1155 (Duplicate copy of this page is attached for payment by deposit account)

DO NOT USE THIS SPACE

9. STATEMENT AND SIGNATURE:

TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT.

Matthew Boxer
MATTHEW BOXER, ATTORNEY FOR APPLICANT(S)
REGISTRATION NO. 28,495

9/28/06
DATE

TOTAL NUMBER OF PAGES COMPRISING COVER SHEET, ATTACHMENTS, AND DOCUMENT: 4

OMB NO. 0651-0011 (EXP. 4/94)

DO NOT DETACH THIS PORTION

MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO:
COMMISSIONER OF PATENTS AND TRADEMARKS, BOX ASSIGNMENTS, WASHINGTON D.C. 20231

PUBLIC BURDEN REPORTING FOR THIS SAMPLE COVER SHEET IS ESTIMATED TO AVERAGE ABOVE 30 MINUTES PER DOCUMENTS TO BE RECORDED, INCLUDING TIME FOR REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED, AND COMPLETING AND REVIEWING THE SAMPLE COVER SHEET. SEND COMMENTS REGARDING THIS BURDEN ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, OFFICE OF INFORMATION SYSTEMS, P2-1000C, WASHINGTON, D.C. 20231 AND TO THE OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (0651-0011), WASHINGTON, D.C. 20231

11/03/2000 DBYRNE 00000078 121155 09575966

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ASSIGNMENT

WHEREAS, I/WE,

Gerald ADAMS, c/o Unilever Research Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

Ezat KHOSHDEL, c/o Unilever Research Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

Anthony MORETTA, c/o Unilever Research Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

Yvonne Christine PLANT, c/o Unilever Research Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

Euan Stuart REID, c/o Unilever Research Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

have invented certain improvements in:

POLYSILOXANE BLOCK COPOLYMERS IN TOPICAL COSMETIC AND PERSONAL CARE COMPOSITIONS

(bearing Attorney's Docket Number: J3468 (C)), which is found in

[Check and complete (a), (b) or (c)]

(a) _____ U.S. patent application executed on _____

(b) X To comply with 37 CFR 3.21 for recordal of this assignment, I/WE as ASSIGNOR(S) signing below, hereby authorize and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. 09/575,903 filed on May 23, 2000

(c) _____ International Application No. PCT/ _____ / _____

AND WHEREAS, UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC., a corporation of **NEW YORK**, having a principal place of business at **325 North Wells, Chicago, Illinois 60610, USA** desires to acquire the entire right title and interest in and to the aforesaid invention, and to said United States application or any reissues, divisions, continuation, or continuation-in-part thereof, and in any Letters Patent which may issue therefrom;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.,** its successors

Notarise/Apostille

and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States, and in and to any and all divisional, continuation, continuation-in-part, reissue or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, the same to be held by and for the sole and exclusive use and benefit of the said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, as the ASSIGNEE of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said **UNILEVER HOME & PERSONAL CARE, USA, DIVISION OF CONOPCO, INC.**, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, may be necessary in this country fully to secure to said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles, and interests hereby sold, assigned, transferred and conveyed.

In witness whereof, I/we have hereunto set my/our hand

- Dated: 31.8.00 (1) Gerald Adams *Mr. G. Wallon*
Inventor - Gerald ADMAS *Notary Public*
31/8/00
- Dated: 31.8.00 (2) Ezat Khoshdel *Mr. G. Wallon*
Inventor - Ezat KHOSHDEL *Notary Public*
31/8/00
- Dated: 31.8.00 (3) Anthony Moretta *Mr. G. Wallon*
Inventor - Anthony MORETTA *Notary Public*
31/8/00
- Dated: 19.9.00 (4) Yvonne Christine Plant *Mr. G. Wallon*
Inventor - Yvonne Christine PLANT *Notary Public*
19/9/00
- Dated: 31.8.00 (5) Euan Stuart Reid *Mr. G. Wallon*
Inventor - Euan Stuart REID *Notary Public*
31/8/00

Notarise/Apostille

PATENT
REEL: 011200 FRAME: 0890

APOSTILLE

(Hague Convention of 5 October 1961 / Convention de La Haye du 5 octobre 1961)

1. Country: United Kingdom of Great Britain and Northern Ireland
Pays: Royaume-Uni de Grande-Bretagne et d'Irlande du Nord

This public document / Le présent acte public

2. Has been signed by **Michael G Walker**
a été signé par
3. Acting in the capacity of **Notary Public**
agissant en qualité de
4. Bears the seal/stamp of **The Said Notary Public**
est revêtu du sceau/timbre de

Certified/Attesté

5. at London/à Londres
6. the/le **22 September 2000**
7. by Her Majesty's Principal Secretary of State for Foreign and Commonwealth Affairs /
par le Secrétaire d'Etat Principal de Sa Majesté aux Affaires Etrangères et du Commonwealth.
8. Number/sous No **G820406**

9. Stamp:
timbre:...



10. Signature: **A. Beckwith**

A. Beckwith

For the Secretary of State Pour le Secrétaire d'Etat

ASSIGNMENT

WHEREAS, I/WE,

Gerald ADAMS, c/o Unilever Research Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

Ezat KHOSHDEL, c/o Unilever Research Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

Anthony MORETTA, c/o Unilever Research Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

Yvonne Christine PLANT, c/o Unilever Research Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

Euan Stuart REID, c/o Unilever Research Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

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POLYSILOXANE BLOCK COPOLYMERS IN TOPICAL COSMETIC AND PERSONAL CARE COMPOSITIONS

(bearing Attorney's Docket Number: J3478 (C)), which is found in

[Check and complete (a), (b) or (c)]

(a) _____ U.S. patent application executed on _____

(b) X To comply with 37 CFR 3.21 for recordal of this assignment, I/WE as ASSIGNOR(S) signing below, hereby authorise and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. 09/575,966 filed on May 23, 2000

(c) _____ International Application No. PCT/ _____ / _____

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NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid

Notarise/Apostille

UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC., its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States, and in and to any and all divisional, continuation, continuation-in-part, reissue or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, the same to be held by and for the sole and exclusive use and benefit of the said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, as the ASSIGNEE of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said **UNILEVER HOME & PERSONAL CARE, USA, DIVISION OF CONOPCO, INC.**, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, may be necessary in this country fully to secure to said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles, and interests hereby sold, assigned, transferred and conveyed.

In witness whereof, I/we have hereunto set my/our hand

Dated: 3/18/00 (1) Gerald Adams *Mr. G. Walton Notary Public 3/18/00*
Inventor - Gerald ADAMS

Dated: 19.9.00 (2) Ezat Khoshdel *Mr. G. Walton Notary Public 19/9/00*
Inventor - Ezat KHOSHDEL

Dated: ~~3/18/00~~ 19.9.00 (3) Anthony Moretta *Mr. G. Walton Notary Public 19/9/00*
Inventor - Anthony MORETTA

Dated: 19.9.00 (4) Yvonne Christine Plant *Mr. G. Walton Notary Public 19/9/00*
Inventor - Yvonne Christine PLANT

Dated: 3/18/00 (5) Euan Stuart Reid *Mr. G. Walton Notary Public 3/18/00*
Inventor - Euan Stuart REID

Notarise/Apostille

PATENT
REEL: 011200 FRAME: 0893

APOSTILLE

(Hague Convention of 5 October 1961 / Convention de La Haye du 5 octobre 1961)

1. Country: United Kingdom of Great Britain and Northern Ireland
Pays: Royaume-Uni de Grande-Bretagne et d'Irlande du Nord

This public document / Le présent acte public

2. Has been signed by **Michael G Walker**
a été signé par
3. Acting in the capacity of **Notary Public**
agissant en qualité de
4. Bears the seal/stamp of **The Said Notary Public**
est revêtu du sceau/timbre de

-- Certified/Attesté

5. at London/à Londres
6. the/le **22 September 2000**
7. by Her Majesty's Principal Secretary of State for Foreign and Commonwealth Affairs /
par le Secrétaire d'Etat Principal de Sa Majesté aux Affaires Etrangères et du Commonwealth.

8. Number/sous No **G820405**

9. Stamp:
timbre:



10. Signature: **A. Beckwith**

A. Beckwith

For the Secretary of State / Pour le Secrétaire d'Etat

PATENT
REEL: 011200 FRAME: 0894

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said LIPTON, DIVISION OF CONOPCO, INC., as the ASSIGNEE of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said LIPTON, DIVISION OF CONOPCO, INC., in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said LIPTON, DIVISION OF CONOPCO, INC., its successors and assigns, may be necessary in this country fully to secure to said LIPTON, DIVISION OF CONOPCO, INC., its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles, and interests hereby sold, assigned, transferred and conveyed.

In witness whereof, I/we have hereunto set my/our hand

Dated: 24/7/00 (1) Ruth Blair
Inventor - Ruth Louisa BLAIR

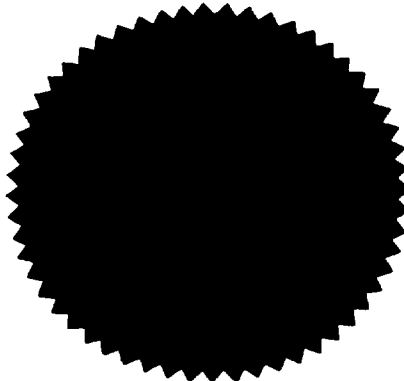
Dated: 24.7.00 (2) Andrew David PARRY
Inventor - Andrew David PARRY

T.A.B. 17.8.00
Dated: ~~24.7.00~~ (3) Peter Joseph STABLER
Inventor - Peter Joseph STABLER

Signed by Peter Joseph Stabler before me and
seen by me for legalization of the signatures of
Ruth Louisa Blair and Andrew David Parry

T. A. Barden Notary Public
Bedford 17th August 2000

T. A. BARDEN
NOTARY PUBLIC



Notarise & Apostille