

10-16-00

11-07-2000



To the Honorable Commissioner of P documents or copies thereof.

101506486

Record the attached original

1. Name of conveying party(ies):

2. Name and address of receiving party(ies)

James G. Fleming	<b>SANDIA NATIONAL LABORATORIES</b> <b>P. O. Box 5800 - MS-0161</b> <b>Albuquerque, New Mexico 87185-0161</b>
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Additional name(s) of conveying party(ies) attached? Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other: Assignment & Confirmatory License

Execution Date(s): May 25, 2000

Additional name(s) & address(es) attached? Yes  No

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/579,824

Filed: 5/25/00

Issued:

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: one (1)

Name: Timothy D. Stanley  
Internal Address: Sandia National Laboratories  
Patent & Licensing, 11500

7. Total fee (37 CFR 3.41) \$40.00

Mail Stop 0161

Enclosed

Street Address: P. O. Box 5800

Authorized to be charged to deposit account

City: Albuquerque State: NM ZIP: 87185-0161

8. Deposit Account number: 19-0131

(Attach duplicate copy of this page if paying by account)

11/06/2000 DBYRNE 00000059 190131 09579824

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy D. Stanley

Signature

Date

10/10/2000

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

**PATENT**

**REEL: 011202 FRAME: 0283**

May 24, 2000

## ASSIGNMENT

WHEREAS, **James G. Fleming**, of Albuquerque, New Mexico, has made an invention relating to **LITHOGRAPHIC FABRICATION OF NANOAPERTURES** in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket No: **S-95476**, duly executed by **James G. Fleming**, on **May 25, 2000**.

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012, effective October 1, 1993, and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

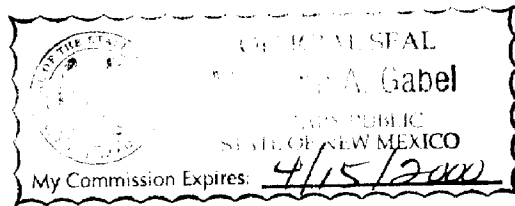
Signed at Albuquerque, New Mexico.

*James G. Fleming*  
James G. Fleming

Date: 5/25/2000

STATE OF NEW MEXICO )  
 ) ss:  
COUNTY OF BERNALILLO )

On the the dates listed above, personally appeared before me, Marilyn A. Gabel, Notary Public, James G. Fleming, to me known to be the individuals described in and who executed the foregoing instrument, and who acknowledged to me that they executed the same.



*Marilyn A. Gabel*  
Notary Public

Approved and consented to this 31<sup>st</sup> day of May, 2000.

SANDIA CORPORATION

*Timothy D. Stanley*  
Timothy D. Stanley  
Chief Patent Counsel  
Patent and Licensing Office, MS 0161