



101506291

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Richard N. Fargo and Helio Tinone

2. Name and address of receiving party(ies):

Name: Otis Elevator Company

Internal Address: 10 Farm Springs

Additional name(s) of conveying party(ies) attached? yes no

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: August 17, 2000

Street Address: 10 Farm Springs

City: Farmington State: CT Zip: 06032

Additional name(s) and addresses(es) attached? yes no

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/638,678

B. Patent No.(s)

Additional numbers attached? yes no

5. Name and Address of party to whom correspondence concerning document should be mailed:

Name Thomas H. Osborn

Internal Address: Otis Elevator Company

Street Address: 10 Farm Springs

City: Farmington State: CT Zip: 06032

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ \$40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

15-0750 Order OT-4184A

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

11/06/2000 DBYRNE 00000005 150750 09638678

01 RC:581 40.00 CH
9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas H. Osborn
Name of Person Signing

Signature

October 2, 2000
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

Mary Forsier

Signature
October 2, 2000
Date

ASSIGNMENT

WHEREAS, WE, Richard N. Fargo and Helio Tinone of 12 Mohawk Road, Plainville, Connecticut 06062 and 7 Huckleberry Lane, Simsbury, CT 06092 respectively, have invented certain improvements in ELEVATOR MACHINE WITH COUNTER-ROTATING ROTORS identified as Attorney Docket No. OT-4184A, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, OTIS ELEVATOR COMPANY, of Farmington, Connecticut, a corporation of the State of New Jersey (assignee) is desirous of acquiring the entire United States right, title and interest in and to said invention or inventions and any and all U.S. Patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid U.S. Patent application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

L.S. Richard N Fargo 8/17, 2000
Richard N. Fargo

State of Connecticut)
County of Hartford) ss.

On this 17 day of August, 2000 before me personally appeared Richard N. Fargo to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his/her(their) free act and deed.

SEAL Carol S Peplow
Notary Public
My Commission Exp. July 31, 2002

L.S. Helio Tinone 8/17, 2000
Helio Tinone

State of Connecticut)
County of Hartford) ss.

On this 17 day of August, 2000 before me personally appeared Helio Tinone to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his/her(their) free act and deed.

SEAL Carol S Peplow
Notary Public
My Commission Exp. July 31, 2002