

01-04-2001

t No.: 1101.004

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101556337

Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Sadeg M. Faris; Kelvin Ma; D.T. George Lu;
Sanjay Tripathi; and Steven J. Kane

8-26-99

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 3/23/99; 3/29/99; 6/29/99; and 4/15/99

2. Name and address of receiving party(ies):

Name: Reveo, Inc.

Internal Address:

Street Address: 85 Executive Boulevard

City: Elmsford State: NY ZIP: 10523

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/342,422;

Filing Date: 6/29/99

04/27/1999 SJUNG 00000140 09342422

02 FC:581

40.00 DP

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard L. Sampson

Internal Address: SAMPSON & ASSOCIATES, P.C.

Street Address: 50 Congress Street

Suite 519

City: Boston

State: MA ZIP: 02109

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0734

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard L. Sampson

Name of Person Signing

Signature

16

Date

Total number of pages including cover sheet, attachment:

ASSIGNMENT

WHEREAS, We, Sadeg M. Faris; Kelvin Ma; D.T. George Lu; Sanjay Tripathi; and Steven J. Kane (INVENTORS), citizens of the United States of America, residing at 24 Pocantico River Road, Pleasantville, NY 10570; 1014 Foxwood Drive, Clifton Park, NY 12065; 155 Washington Street, Jersey City, NJ 07302; 4839 E. Eden Drive, Cave Creek, AZ 85331; and 219 Claremont Ave., Verona, NJ 07044, respectively, have invented certain new and useful improvements in ALL-OPTICAL INTERCONNECT UTILIZING POLARIZATION GATES for which We have executed an application for Letters Patent of the United States, of even date herewith; and

WHEREAS, Reveo, Inc. (COMPANY) having offices at 85 Executive Blvd., Elmsford, NY 10523, is desirous of obtaining the entire right, title and interest in, to and under said improvements and said application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, We said (INVENTORS) have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto said (COMPANY), its successors, legal representatives and assigns, the entire right, title and interest in, to and under said improvements, and said application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof; and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to said (COMPANY), its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that We will communicate to said (COMPANY), its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said (COMPANY), its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
23rd day of MARCH, 1999.

Sadeg M. Faris
Sadeg M. Faris

COMMONWEALTH (STATE) OF New York
COUNTY OF WESTCHESTER) ss.:

On this 29th day of MARCH, 1999, before me personally came Sadeg M. Faris, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

PATRICIA BARR
Notary Public, State of New York
No. 01BA5044587
Qualified in Westchester County
Term Expires 06/30/2002

Patricia Barr
Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
_____ day of _____, 1999.

Kelvin Ma

STATE OF)
COUNTY OF) ss.:

On this _____ day of _____, 1999, before me personally came Kelvin Ma, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
_____ day of _____, 1999.

D.T. George Lu

STATE OF)
COUNTY OF) ss.:

On this _____ day of _____, 1999, before me



day of _____, 1999.

STATE OF)
)
COUNTY OF)

On this ____ day of _____, 1999, before me personally came Sanjay Tripathi, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

day of _____, 1999.

STATE OF)
)
COUNTY OF)

On this _____ day of _____, 1999, before me personally came Steven J. Kane, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

ASSIGNMENT

WHEREAS, We, Sadeg M. Faris; Kelvin Ma; D.T. George Lu; Sanjay Tripathi; and Steven J. Kane (INVENTORS), citizens of the United States of America, residing at 24 Pocantico River Road, Pleasantville, NY 10570; 1014 Foxwood Drive, Clifton Park, NY 12065; 155 Washington Street, Jersey City, NJ 07302; 4839 E. Eden Drive, Cave Creek, AZ 85331; and 219 Claremont Ave., Verona, NJ 07044, respectively, have invented certain new and useful improvements in ALL-OPTICAL INTERCONNECT UTILIZING POLARIZATION GATES for which We have executed an application for Letters Patent of the United States, of even date herewith; and

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day of _____, 1999.

.....

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On this _____ day of _____, 1999, before me personally came Sanjay Tripathi, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

day of _____, 1999.

© 2006 The Authors
Journal compilation © 2006 Blackwell Publishing Ltd

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On this _____ day of _____, 1999, before me personally came Steven J. Kane, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

© 2004 Blackwell Publishing Ltd, *Journal of Internal Medicine* 255: 103–110

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WHEREAS, We, Sadeg M. Faris; Kelvin Ma; D.T. George Lu; Sanjay Tripathi; and Steven J. Kane (**INVENTORS**), citizens of the United States of America, residing at 24 Pocantico River Road, Pleasantville, NY 10570; 1014 Foxwood Drive, Clifton Park, NY 12065; 155 Washington Street, Jersey City, NJ 07302; 4839 E. Eden Drive, Cave Creek, AZ 85331; and 219 Claremont Ave., Verona, NJ 07044, respectively, have invented certain new and useful improvements in ALL-OPTICAL INTERCONNECT UTILIZING POLARIZATION GATES for which We have executed an application for Letters Patent of the United States, of even date herewith; and

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COMMONWEALTH (STATE) OF)

COUNTY OF) ss. :
)

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
 _____ day of _____, 1999.

STATE OF)
COUNTY OF)

On this _____ day of _____, 1999, before me personally came Kelvin Ma, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.


IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
 _____ day of _____, 1999.

D.T. George Lu 6/29/89

STATE OF *New Jersey*)
COUNTY OF *Hudson*)

On this 29th day of June, 1999, before me

Journal of Management Studies, 36(7), 809-826.


Notary Public

Sanjay Tripathi

Notary Public

Steven J. Kane

On this ____ day of _____, 1999, before me personally came Steven J. Kane, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

I am Char of India

ASSIGNMENT

WHEREAS, We, Sadeg M. Faris; Kelvin Ma; D.T. George Lu; Sanjay Tripathi; and Steven J. Kane (**INVENTORS**), citizens of the United States of America, residing at 24 Pocantico River Road, Pleasantville, NY 10570; 1014 Foxwood Drive, Clifton Park, NY 12065; 155 Washington Street, Jersey City, NJ 07302; 4839 E. Eden Drive, Cave Creek, AZ 85331; and 219 Claremont Ave., Verona, NJ 07044, respectively, have invented certain new and useful improvements in ALL-OPTICAL INTERCONNECT UTILIZING POLARIZATION GATES for which We have executed an application for Letters Patent of the United States, of even date herewith; and

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personally came D.T. George Lu, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
15 day of April, 1999.

Sanjay Tripathi
Sanjay Tripathi

STATE OF)

) ss.:

COUNTY OF)

Please change first page to Citizen of India

On this _____ day of _____, 1999, before me personally came Sanjay Tripathi, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
_____ day of _____, 1999.

Steven J. Kane

STATE OF)

) ss.:

COUNTY OF)

On this _____ day of _____, 1999, before me personally came Steven J. Kane, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

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IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
_____ day of _____, 1999.

Sadeg M. Faris

COMMONWEALTH (STATE) OF)
) ss.:
COUNTY OF)

On this _____ day of _____, 1999, before me
personally came Sadeg M. Faris, to me known and known to me to be
the person of that name, who signed and sealed the foregoing
instrument, and he acknowledged the same to be his free act and
deed.

Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
_____ day of _____, 1999.

Kelvin Ma

STATE OF)
) ss.:
COUNTY OF)

On this _____ day of _____, 1999, before me
personally came Kelvin Ma, to me known and known to me to be the
person of that name, who signed and sealed the foregoing
instrument, and he acknowledged the same to be his free act and
deed.

Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
_____ day of _____, 1999.

D.T. George Lu

STATE OF)
) ss.:
COUNTY OF)

On this _____ day of _____, 1999, before me

personally came D.T. George Lu, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____ day of _____, 1999.

Sanjay Tripathi

STATE OF _____)
COUNTY OF _____) ss.:

On this _____ day of _____, 1999, before me personally came Sanjay Tripathi, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 23rd day of March, 1999.



Steven J. Kane

STATE OF New Jersey)
COUNTY OF Essex) ss.:

On this 23rd day of March, 1999, before me personally came Steven J. Kane, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.



Notary Public

SELMA M. WILSON
A Notary Public of New Jersey
My Commission Expires 2/28/2001