FORM PTG-1596 (Per. 6-93) OMB No. 0851-0011 (exp. 4 11-08-2000



SHEET

U.S. DEPARTMENT OF COMMERCE Palent and Trademark Office

Tab settings □ □ □ ▼ T 0150999 To the Honorable Commissioner or materity and

Name of conveying party(ies): Masutaka Ouchi 5) Nobuyuki Higashiyama 1) Masutaka Ouchi 2)Yoshihiro Masuda 6) Mamoru Kimoto 3) Teruhiko Imoto 7) Yasuhiko Itoh 4) Kikuko Kato Additional name(s) of conveying party(les) attached? 🖸 Yes 😥 No.

Nature of conveyance: 10-30-00

Assignment Merger

☐ Security Agreement Change of Name to correct name 5) **KO**ther Nobuyuki Higashiyama

Execution Date: Reel 011031 Frame 0780

as previously recorded

2. Name and address of receiving party(ies) Name Sanyo Electric Co., Ltd. Internal Address: 5-5, Keihanhondori 2-chome Moriguchi-shi, Osaka Japan Street Address: City:\_\_\_\_\_ State: \_\_\_\_ ZIP:\_\_\_

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_

A. Patent Application No.(s)

09/643,264

B. Patent No.(s)

Additional numbers attached? 

Yes 

No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Fasse Patent Attorneys, P.A.

Internal Address: P.O. Box K

St. Albans, ME 04971

Street Address: Indian Pond Lane St. Albans, ME 04971

\_\_ State: \_\_\_ \_ ZIP:\_ 6. Total number of applications and patents involved:

Additional name(s) & address(es) attached? Q Yes & No

7. Total fee (37 CFR 3.41).........\$ 40.00

C Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

50-0507

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

W.F. Fasse

3991

case:

Name of Person Signing

26, 00 Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

Enclosure: Assignment, Pc.,1st Record. Form Cov. Sheet, Not. of Record. of CERTIFICATE OF MAILING Assignment. Document Copy

> I certify that the above identified correspondence is being deposited on Oct. 26,00 with the U.S. Postal Service, with sufficient postage prepaid, as first-class mail, in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, Washington, D. C. 20231.

Anita Morse Oct. 26, 00 Unita Morso Oct. 26 PATENT

REEL: 011210 FRAME: 0122

	Docket No.: 3991
FORM FTO-1966 & DD REC(	U.S. DEPARTMEN: UF COMMERCE Patent and Trademark Office
The state of the s	48597 ▼ ▼
To the Honorable Commissioner of Patents and Tradements: Ple	
1. Name of conveying party(ies):	Name and address of receiving party(ies)
Masutaka OUCHI 5) Nobuyuki MAKASHIYAMA	Name SANYO ELECTRIC CO., LTD.
Yoshihiro MASUDA 6)Mamoru KTMOTO 7)Yasuhiko ITOH	
Kikuko KATO Additional name(s) of conveying party(les) attached? Q Yes 50 No	Internal Address: 5-5, Keihanhondori 2-chome
	Moriguchi-shi, Osaka
3. Nature of conveyance. 09 643204	Japan <u>o</u>
☑ Assignment ☐ Merger	Street Address:
☐ Security Agreement ☐ Change of Name	ν
	City: State: ZIP:
U Other  1) 08/08/00 5) 08/08/00  Execution Date: 2) 08/08/00 6) 08/08/00  3) 08/08/00 7) 08/09/00	Additional name(s) & address(es) attached? (1) Yes 30 No
4. Application number(s) or patent number(s):	1) 08/08/00 2) 08/08/00
If this document is being filed together with a new application,	3) 08/08/00
	5) 08/08/00
A. Palent Application No.(s)  (to be assigned - Docket No.: 3991)	B. Patent No.(s) 6) 08/08/00 7) 08/09/00
(co be assigned some total of the	
Additional numbers atte	sched? O Yes 30 No
Name and address of party to whom correspondence concerning document should be mailed;	6. Total number of applications and patents involved:
Name: Fasse Patent Attorneys, P.A.	7. Total lee (37 CFR 3.41)\$40.00
Internal Address: P.O. Box K	Form PTO-2038 (Credit Card Payment
	<b>2 Enclosed</b> Form)
St. Albans, ME 04971	KKKKKKKKKKKKKKKKKKKKKKKKKKKKKKKKKKKKKK
Street Address: Indian Pond Lane	NEX XXII MARKET VOLK MARK MARK MARK X
St. Albans, ME 04971	SXXXXXXXXX
City:State:ZIP:	(Attack duplicate copy of this page if paying by deposit account)
DO NOT US	E THIS SPACE
9. Statement and signature  To the best of my knowledge and belief, the foregoing inform	nation is true and correct and any attached copy is a true copy of
the original document.	
Walter F. Fasse Wall	August 22, 200
Name of Person Signing  Total number of pages including	Signature Dale cover sheet, attachments, and document:
	required cover sheet information to:

Washington, D.C. 20231

Enclosures: postcard, copy of Assignment, Form PTO-2038

USPS EXPRESS MAIL EL 547 896 155 US AUGUST 22 2000

**PATENT REEL: 011210 FRAME: 0123**  USFS EXTRESS MALL
EL 547 896 155 US

AUGUST 22 2000

1073

## SOLE/JOINT INVENTION (U.S. Rights Only)

## **ASSIGNMENT**

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

HYDROGEN STORAGE ALLOY ELECTRODE AND METHOD FOR MANUFACTURE THEREOF

(Title of Invention)

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for

United States Letters Patent on \_\_\_\_\_\_\_, 19 \_\_\_\_\_\_(Serial No. \_\_\_\_\_\_\_); and

WHEREAS,	SANYO ELEC	TRIC CO., LTD.		
		(Full Name of Assignee)		
a corporation of	Japan			
		(Country of Incorporation)		
whose post office addr	ess is $5-5$ , Kell	nanhondori 2-chome, M	loriquchi-s	shi, Osaka, Japan
		sirous of securing the entire right on this invention and the Letters Pa		
acknowledged, I/We, a over unto the assigned this application, and all thereon, and all reissu	is assignor(s), have so the lawful successor of divisions, and continues thereof; and I/We have	for good and valuable considerational designed, transferred, and set of an assigns, my/our entire right mustions thereof, and all Letters Parereby authorize and request the other invention to assignee, its successions.	ever, and do here to title, and inter- stent of the Unit Commissioner of	eby sell, assign, transfer, and se est in and to this invention and ed States which may be granted f Patents and Trademarks of the
assignee, its successors sign all lawful papers the the title to this inver applications, make all and enforce proper pa	s and assigns, any fac when called upon to d ition in said assigned rightful oaths and ger tent protection for thi	nt and agree that I/We will, with the solution of the solution	s invention and ers that may be a ecute all division id assignee, its s t being understo	testify in any legal proceeding necessary or desirable to perfectional, continuation, and reissue successors and assigns, to obtain
-	•	e hereunto set our hand(s).	1331 <b>g</b> 113.	
I FULL NAME OF SOLE OR FIF		ASSIGNOR'S SIGNATURE		DATE
Masutaka		Masulaka Ouchi		August . 8. 2000
ADDAESS C/O SANYO Keihanhond	ELECTRIC CO., La ori 2-chome, Mon	TD., 5-5, riguchi-shi, Osaka, Japan	CITIZENSHIP	Japan
2 FULL NAME OF SECOND AS: Yoshihiro	SIGNOPL IF ANY	ASSIGNOR'S SIGNATURE GOSPILPURO Mascida		Sugnet 8 2000
ADDRESS c/o SANYO Keihanhond	ELECTRIC CO., LT ori 2-chome, Mor		CITIZENSHIP	Japan
1 FULL NAME OF THIRD ASSIC Teruhiko	NOR IF ANY	Verutiko Imito		August 8, 2000
ADORESS c/o SANYO Keihanhond	ELECTRIC CO., Long of 2-chome, Mon		CITIZENSHIP	Japan
4. FULL NAME OF FOURTH AS: Kikuko Ka		ASSIGNOR'S SIGNATURE	/	DATE
ADDAESS c/o SANYO Keihanhond	ELECTRIC CO., LT ori 2-chome, Mor	D., 5-5, iguchi-shi, Osaka, Japan	CITIZENSHIP	Japan
5. FULL NAME OF FIFTH ASSIGNATION NOBUYUKI	NOR FANY Higashiyama	ASSIGNOR'S SIGNATURE		DATE
ADDRESS c/o SANYO Keihanhond	ELECTRIC CO., LT ori 2-chome, Mor	riguchi-shi, 🏿 saka, Japan	CITIZENSHIP	Japan
Full name of sixth asso     Mamoru Ki		ASSIGNOR'S SIGNATURE		OATE
ADDAESS <sub>C</sub> /c SANYO Keihanhond	ELECTRIC CO., LT ori 2-chome, Mor	D., 5-5, iguchi-shi, Osaka, Japan	CITIZENSHIP	Japan
<del></del>				

1/2

PATENT REEL: 011210 FRAME: 0124

SOLE/JOINT INVENTION (U.S. Rights Only)

## **ASSIGNMENT**

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

HYDROGEN STORAGE ALLOY ELECTRODE AND METHOD FOR MANUFACTURE THEREOF

(Title of Invention)

	• •		· · · · · · · · · · · · · · · · · · ·	ith or filed an application for
United States Letters Patent of	on	, 19	9 (Serial No	); and
WHEREAS,S	SANYO ELEC			
	_	(Full Name of A	ssignee)	
a corporation of	Japan	<del></del>	<del></del>	
		(Country of Incor		
whose post office address is a	5-5, Keih	anhondori 2-ch	nome, Moriqueh	i-shi, Osaka, Japan
application for United States	Letters Patent of	n this invention and the	Letters Patent to be is:	nterest in and to this invention, the sued upon this application; pt of which from assignee is hereby
over unto the assignee, its lathis application, and all divisions thereon, and all reissues them. United States to issue all Lettof this Assignment;  AND, I/WE HEREBY assignee, its successors and a sign all lawful papers when other title to this invention in	wful successors ions, and contineof; and I/We heters Patent for the further covenar assigns, any factalled upon to do said assignee	s and assigns, my/our equations thereof, and all tereby authorize and rethis invention to assignent and agree that I/We ts known to me/us response, its successors and appeally do everything potential in the successors and appeally do everything potential in the successors.	entire right, title, and in Letters Patent of the liquest the Commissional etc., its successors and assembly without further pecting this inventional et all papers that may assigns, execute all di	hereby sell, assign, transfer, and set nterest in and to this invention and United States which may be granted or of Patents and Trademarks of the ssigns, in accordance with the terms or consideration, communicate with and testify in any legal proceeding, be necessary or desirable to perfect visional, continuation, and reissue its successors and assigns, to obtain
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Listing of Assignors Continued from age 1 of Assignment for invention entitled

HYDROGEN	STORAGE	ALLOY	ELECTRODE	AND	METHOD	FOR	MANUFACTURE	THEREOF

7. FULL NAME OF SEVENTH ASSIGNOR, IF ANY	ASSIGNOR'S SIGNATURE	2	DATE
Yasuhiko Itoh	Jaseliezo y	CITIZENSHIP	J. August 1000
ADDRESS c/o SANYO ELECTRIC CO., LTD., Keihanhondori 2-chome, Morigue	chi-shi, Osaka, Japan	0.722.44	Japan
B. FULL NAME OF EIGHTH ASSIGNOR, IF ANY	ASSIGNOR'S SIGNATURE		DATE
ADDRESS		CITIZENSHIP	
& FULL NAME OF NINTH ASSIGNOR, IF ANY	ASSIGNOR'S SIGNATURE	<u></u>	DATE
ADDRESS	L.,,	CITIZENSHIP	
10. FULL NAME OF TENTH ASSIGNOR, IF ANY	ASSIGNOR'S SIGNATURE	· · · · · · · · · · · · · · · · · · ·	DATÉ
ADOPESS	<u> </u>	CITIZENSHIP	
11. FULL NAME OF ELEVENTH ASSIGNOR, IF ANY	ASSIGNOR'S SIGNATURE		DATE
ADDRESS	<del></del>	CITIZENSHIP	1
12. FULL NAME OF TWELFTH ASSIGNOR, IF ANY	ASSIGNOR'S SIGNATURE	L	DATE
ADDRESS	1	CITIZENSHIP	F
13. FULL NAME OF THIRTEENTH ASSIGNOR, IF ANY	ASSIGNOR'S SIGNATURE		DATE
ADDRESS	<u> </u>	CITIZENSHIP	
14. FULL NAME OF FOURTEENTH ASSIGNOR, IF ANY	ASSIGNOR'S SIGNATURE		DATE
ADDRESS		CITIZENSHIP	
15. FULL NAME OF FIFTEENTH ASSIGNOR, IF ANY	ASSIGNOR'S SIGNATURE	<del>}</del>	DATE
ADDRESS		CITIZENSHIP	
16. FULL NAME OF SIXTEENTH ASSIGNOR, IF ANY	ASSIGNOR'S SIGNATURE		CATE
AODRESS		CITIZENSHIP	
17. FULL NAME OF SEVENTEENTH ASSIGNOR, IF ANY	ASSIGNOR'S SIGNATURE		DATE
ADDRESS		CITIZENSHIP	
18 FULL NAME OF EIGHTEENTH ASSIGNOR, IF ANY	ASSIGNOR'S SIGNATURE		DATE
ADDRESS		CITIZENSHIP	

DOCKET NO.:3991

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE MATTER OF THE APPLICATION FOR PATENT

OF:

Masutaka Ouchi et al.

SERIAL NO.: 09/643,264

FILED:

August 22, 2000

U.S. PATENT AND TRADEMARK OFFICE - ASSIGNMENT DIV.

BOX ASSIGNMENTS, CG-4

1213 JEFFERSON DAVIS HWY, SUITE 320

October 26, 2000

WASHINGTON, D.C. 20231

LETTER TO THE ASSIGNMENT DIVISION REQUESTING CORRECTED NOTICE OF RECORDATION

## Dear Sir:

- 1) A review of the Notice of Recordation shows that the following correction needs to be made, to correct our error in the prior Cover Sheet. The recorded Assignment itself supports the corrections.
- ASSIGNOR NUMBER 5 should read: Higashiyama, Nobuyuki 2)
- 3) Please issue a corrected Notice of Recordation. A copy of the incorrect Notice of Recordation is enclosed.

Respectfully submitted, Masutaka Ouchi et al. - Applicant

WFF:he/3991 Enclosure:

copy of Notice

Copy of Recorded Assignment Tel: 207 938 4422 Copy of Recorded Cover Sheet Fax: 207 938 2323

Corrected Cover Sheet

Postcard

W. F. Fasse-Patent Attorney

Reg. No.: 36132

P.O. Box K, St. Albans, ME 04971

CERTIFICATE OF MAILING:

I hereby certify that this paper and enclosures are being mailed on October 26, 2000 as first class mail, sufficient postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D. C. 20231.

Date: October 26, 2000

**RECORDED: 10/30/2000** 

Name: Unita Marso Oct. 26, 200

PATENT REEL: 011210 FRAME: 0127