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PATENT

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OCKET NUMBER: <u>RAL9-1999-0091US1</u>

· PATENTS

To the Assistant Commissioner for Patents: Please record the attached original document(s) or copy thereof: 1. Name of conveying party(ies): Name and address of receiving party: INTERNATIONAL BUSINESS Robert Brian Hutchison MACHINES CORPORATION Stuart Te-Hui Shih New Orchard Road Armonk, New York 10504 Additional name(s) & address(es) attached? XXX No Yes 3. Nature of conveyance: XXX Assignment Execution Date March 30, 2000 4. Application or patent number(s): If this document is being filed together with a new application, the execution date of the application is March 30, 2000 B. Patent No.(s): A. Patent Application No.(s): 6. Total number of applications and patents Name and address of party to whom involved: 1 correspondence concerning document should be mailed: 7. Total fee (37 CFR 3.41): \$ 40.00 John D Flynn X Authorized to be charged to deposit account INTERNATIONAL BUSINESS MACHINES CORP Personal Systems Group IPLaw Dept. Dept. 9CCA/Bidg. 002-2 8. Deposit account number: 09-1990 P. O. Box 12195 Research Triangle Park, NC 27709 (Duplicate copy of this sheet is attached) DO NOT USE THIS SPACE 9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. John D Flynn Name of Person Signing Total number of pages including cover sheet, attachments and document: 2

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PATENT REEL: 011212 FRAME: 0424

PATENT

DOCKET NUMBER: RAL9-99-0091

ASSIGNMENT

Whereas, we,			
(1)	Robert Brian Hutchison County of Wake	of Raleig and State	h, e of North Carolina,
(2)	Stuart Te-Hui Shih County of Wake	of Raleig and State	h, e of North Carolina,
have invented certain improvements in			
FILE SYSTEM LOCKING			
and executed a United States patent application therefor on the following date(s):			
(1)	March 30, 2000	, and (2) _	March 30, 2000; and
Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;			
Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby acknowledge our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and we request the Commissioner of Patents to issue any Letters. Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.			
Signed and sealed:			
(1)	RTP, North Carolina		SIGNATURE Robert Brian Hutchison
(2)	RTP North Cordina	3130/2000	Stuart Te-Hui Shih

RECORDED: 03/30/2000

** TOTAL PAGE 04 **

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