

11-13-2000

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**



101512556  
**RECORDATION FORM COVER SHEET**  
**PATENTS ONLY**

11-6-00

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

☒ New  
☐ Resubmission (Non-Recordation)  
Document ID#   
☐ Correction of PTO Error  
Reel #  Frame #   
☐ Corrective Document  
Reel #  Frame #

**Conveyance Type**

☐ Assignment ☐ Security Agreement  
☐ License ☒ Change of Name  
☐ Merger ☐ Other   
**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)  
☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached  
Execution Date  
Month Day Year  
12 01 1998

Name (line 1) KOKUSAI DENSHIN DENWA CO., LTD.

Name (line 2)

**Second Party**

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1) KDD Corporation

Name (line 2)

Address (line 1) 3-2, Nishi-Shinjuku 2-chome

Address (line 2) Shinjuku-ku

Address (line 3) Tokyo

Japan

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name Thomas S. Baker, Jr.

Address (line 1) 1371 West 3rd Avenue

Address (line 2) Columbus, Ohio 43212

Address (line 3) Telephone: 614/488-2202

Address (line 4) Facsimile: 614/488-2232

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**PATENT**  
**REEL: 011213 FRAME: 0821**

Respondent Name and Address

Area Code and Telephone Number 614/488-2202

Name Thomas S. Baker, Jr.

Address (line 1) 1371 West 3rd Avenue

Address (line 2) Columbus, Ohio 43212

Address (line 3)

Address (line 4) Facsimile: 614/488-2232

Pages Enter the total number of pages of the attached conveyance document including any attachments.

# 9

Application Number(s) or Patent Number(s)

☒ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

4,505,540

4,544,233

5,048,922

4,720,162

4,572,608

4,710,976

4,469,401

4,653,846

4,708,427

If this document is being filed together with a new Patent Application, enter the date the patent application was filed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT                      PCT                      PCT                     

PCT                      PCT                      PCT                     

Number of Properties

Enter the total number of properties involved. # 21

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 840.00

Method of Payment:  
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 02-0413

Authorization to charge additional fees:

Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Thomas S. Baker, Jr.  
Name of Person Signing

  
Signature

01 November 2000  
Date

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

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Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

In re Change of Name:

Change of Name from Kokusai Denshin Denwa Co. Ltd. to  
KDD Corporation

U.S. Patent Numbers:

|           |           |           |
|-----------|-----------|-----------|
| 4,505,540 | 4,720,162 | 4,469,401 |
| 4,544,233 | 4,572,608 | 4,653,846 |
| 5,048,922 | 4,710,976 | 4,708,427 |
| 4,790,655 | 4,972,513 | 4,899,043 |
| 4,933,990 | 5,042,039 | 5,140,452 |
| 5,162,936 | 5,138,621 | 5,317,382 |
| 2,294,374 | 5,471,333 | 5,539,557 |

Filed: Herewith

**APPOINTMENT OF DOMESTIC REPRESENTATIVE**

Thomas S. Baker, Jr., Attorney-At-Law, Registration No. 25,662, whose postal address is 1371 West 3<sup>rd</sup> Avenue, Columbus, Ohio 43212, U.S.A., Telephone 614/488-2202; Facsimile: 614/488-2232, is hereby designated Applicant's domestic representative upon whom notice or process in proceedings affecting this assignment may be served and to receive the recorded Assignment document.

KDD Corporation

Date: July 25, 2000

By 近藤 徹也  
Title: Tetsuya Kondoh  
Manager  
Intellectual Property Group

Certificate of Present Whole Matter

3-2, Nishi-Shinjuku 2-choume, Shinjuku-ku, Tokyo

KDD Corporation

Company No. 007265

Trade Name: KOKUSAI DENSHIN DENWA CO., LTD  
KDD Corporation

Change on December 1, 1998

Registered on December 1, 1998

Head Office: 3-2, Nishi-Shinjuku 2-chome, Shinjuku-ku, Tokyo

Method of Publication: To be made in the Nippon Keizai Shinbun

Change on July 30, 1998

Registered on August 5, 1998

Date of Establishment: March 24, 1953

Object:

1. The purpose of the Corporation is to operate following businesses,

- (1) Telecommunications business,
- (2) Research, development, manufacture, establishment (including the establishment of the telecommunications facilities to the expressway), operation, maintenance, sale and lease of the telecommunications facilities and incidental facilities thereto, and undertakings thereof.
- (3) Research development, manufacture, operation, maintenance, sale and lease of the telecommunications, equipment and, peripherals and software thereto,
- (4) Research, development, design, laying, construction, establishment, operation, maintenance, sale and lease of the submarine cables and incidental facilities thereto, and undertakings thereof,
- (5) Design, execution and supervision of the work related to the telecommunications engineering, civil engineering and construction, and undertakings thereof,
- (6) Marine surveying and investigation, and undertakings thereof,
- (7) Information processing service and information provision service,
- (8) Consulting and system engineering of any of the foregoing,
- (9) Collection and research study of domestic and overseas information related to telecommunications etc.,

- (10) Planning, design and operation of the education and training related to the telecommunication, foreign language, computer technology and qualifying examination, etc.,
- (11) Agency business for clerical works, intermediation and agency of services, in utilizing the telecommunication circuits,
- (12) Planning for vesting rights, acquisition, management, intermediation and sale of intangible property rights including industrial property, technical know-how and software copyrights, and research, analysis and sale of technical information related to such intangible property rights,
- (13) Utilization of real estate, and parking business,
- (14) Operation of restaurant, drug store, lodging, athletic facilities, conference and banquet facilities, etc.,
- (15) Export, import, sale, lease, rental and installment selling of office equipment, office supplies, books, magazines, automobiles, household electric appliances business,
- (16) Warehouse business and customs clearance business,
- (17) Financial business,
- (18) Agency business for billing and collecting for various charges,
- (19) Agency business for non-life insurance, and business related to the recruitment of the life insurance,
- (20) Travel business, and forwarding agency business for domestic and overseas air freight,
- (21) Worker dispatching business, and
- (22) Publishing business.

2. The Corporation may, besides the business referred to in the preceding paragraph, engage in business activities incidental thereto and other business activities necessary to achieve the purpose of the Corporation.

Changed on December 1, 1998  
Registered on December 1, 1998

Face value per shares: ¥500.- (Japanese Yen)  
Number of shares of one unit: 100 shares  
Total number of shares to be issued: 300,000,000 shares

Changed on December 1, 1998  
Registered on December 1, 1998

Total number of issued shares: 80,236,623 shares

Changed on December 7, 1999  
Registered on December 15, 1999

Amounts of Capital: ¥61,777,196,797.- (Japanese Yen)

Changed on December 7, 1999

Registered on December 15, 1999

---Translation of the latter is omitted.

This is a written document which certificates that the whole matters recorded on the Commercial Register are now valid.

July 3, 2000

Tokyo Legal Affairs Bureau

Shinjuku Branch

Registrar: Kenji Uchikawa (Official sealed)

\* A part of underline means deleted matters.

# 現在事項全部証明書

東京都新宿区西新宿二丁目3番2号

ケイディディ株式会社

会社法人等番号 007265

|          |  |               |
|----------|--|---------------|
| 商 号      | 国際電信電話株式会社   |               |
|          | ケイディディ株式会社   | 平成10年12月 1日変更 |
|          |  | 平成10年12月 1日登記 |
| 本 店      | 東京都新宿区西新宿二丁目3番2号   |               |
| 公告をする方法  | 日本経済新聞に掲載して行う  | 平成10年 7月30日変更 |
|          |  | 平成10年 8月 5日登記 |
| 会社成立の年月日 | 昭和28年3月24日   |               |
| 目 的      | <p> <input type="checkbox"/> 本会社は、次の事業を営むことを目的とする<br/>           (1) 電気通信事業<br/>           (2) 電気通信設備及びこれに附帯する設備の研究、開発、製作、設置<br/>           (電気通信設備の高速道路への設置を含む。)、運用、メンテナンス、販売、賃貸及びこれらの請負<br/>           (3) 電気通信機器及びその周辺機器・ソフトウェアの研究、開発、製作、運用、保守、販売及び賃貸<br/>           (4) 海底ケーブル及びこれに附帯する設備の研究、開発、設計、敷設、建築、設置、運用、保守、販売、賃貸及びこれらの請負<br/>           (5) 電気通信工事、土木工事、建築工事の設計、施工、監理及びこれらの請負<br/>           (6) 海洋の測量、調査及びこれらの請負<br/>           (7) 情報処理サービス業及び情報提供サービス業<br/>           (8) 前各号に関連するコンサルティング及びシステム・エンジニアリング<br/>           (9) 国内外の電気通信事業等に関する情報収集、調査研究<br/>           (10) 電気通信、語学、コンピュータ技術、資格検定試験対策教育等に関する教育、訓練の企画、立案及び実施<br/>           (11) 通信回線を利用した事務連絡代行、受注等取次・代行業務、通訳、会議サービス及び文書翻訳事業<br/>           (12) 工業所有権、技術ノウハウ、ソフトウェア著作権等の無体財産権の権利化企画、取得、管理、仲介及び販売、並びにこれら無体財産権の関連技術情報の調査、分析及び販売<br/>           (13) 不動産の利用及び駐車場業<br/>           (14) 飲食店、医薬品・日用雑貨品販売店、宿泊施設、スポーツ施設、会議室、宴集会場等の経営<br/>           (15) 事務用機器、事務用消耗品、図書、雑誌、自動車、家庭用電気製品、食品等の輸出入、販売、リース、レンタル及び割賦販売<br/>           (16) 倉庫業及び通関業<br/>           (17) 金融業<br/>           (18) 各種料金の請求収納代理業務<br/>           (19) 損害保険代理店業及び生命保険の募集に関する業務<br/>           (20) 旅行業、国際・国内航空貨物取扱代理店業         </p> |               |



|                      |   |               |
|----------------------|---|---------------|
|                      | (21) 労働者派遣事業<br>(22) 出版業<br>2 本会社は、前項各号の事業に附帯又は関連する一切の事業その他前項の目的を達成するために必要な事業を営むことができる<br>平成10年12月 1日変更 平成10年12月 1日登記 |               |
| 額面株式1株の金額            | 金500円   |               |
| 1単位の株式の数             | 100株  |               |
| 発行する株式の総数            | 3億株   | 平成10年12月 1日変更 |
|                      |   | 平成10年12月 1日登記 |
| 発行済株式の総数並びに種類及び数     | 発行済株式の総数<br>8023万6623株  | 平成11年12月 7日変更 |
|                      |   | 平成11年12月15日登記 |
| 資本の額                 | 金617億7719万6797円   | 平成11年12月 7日変更 |
|                      |   | 平成11年12月15日登記 |
| 名義書換代理人の氏名及び住所並びに営業所 | 東京都千代田区丸の内一丁目4番3号<br>東洋信託銀行株式会社<br>東京都千代田区丸の内一丁目4番3号<br>東洋信託銀行株式会社証券代行部   |               |
| 役員に関する事項             | 取締役 中村泰三  | 平成10年 6月26日重任 |
|                      |   | 平成10年 7月10日登記 |
|                      | 取締役 西本正   | 平成10年 6月26日重任 |
|                      |   | 平成10年 7月10日登記 |
|                      | 取締役 田中成欣  | 平成10年 6月26日重任 |
|                      |   | 平成10年 7月10日登記 |
|                      | 取締役 岩崎欣二  | 平成10年 6月26日重任 |
|                      |   | 平成10年 7月10日登記 |
|                      | 取締役 佐谷戸正夫   | 平成10年 6月26日重任 |
|                      |   | 平成10年 7月10日登記 |
|                      | 取締役 平田康夫  | 平成10年 6月26日重任 |
|                      |   | 平成10年 7月10日登記 |

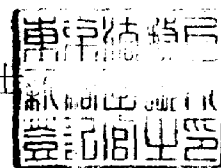
|  |                                      |                         |
|--|--------------------------------------|-------------------------|
|  | 取締役 塚田 一 幸                           | 平成10年 6月26日重任           |
|  |                                      | 平成10年 7月10日登記           |
|  | 取締役 酒井 進 児                           | 平成10年12月 1日就任           |
|  |                                      | 平成10年12月 1日登記           |
|  | 取締役 柏 村 肇                            | 平成10年12月 1日就任           |
|  |                                      | 平成10年12月 1日登記           |
|  | 取締役 霜 島 稜 一                          | 平成11年 6月29日就任           |
|  |                                      | 平成11年 7月13日登記           |
|  |                                      | 平成11年 7月16日許可<br>霧島稜一の氏 |
|  |                                      | 平成11年 7月16日更正           |
|  | 東京都狛江市元和泉二丁目5番14号<br>代表取締役 中 村 泰 三   | 平成10年 6月26日重任           |
|  |                                      | 平成10年 7月10日登記           |
|  | 東京都目黒区緑が丘一丁目17番9号<br>代表取締役 西 本 正     | 平成10年 6月26日重任           |
|  |                                      | 平成10年 7月10日登記           |
|  | 東京都日野市南平九丁目33番地の50<br>代表取締役 岩 崎 欣 二  | 平成10年 6月26日就任           |
|  |                                      | 平成10年 7月10日登記           |
|  | 川崎市麻生区高石五丁目21番13-5号<br>代表取締役 酒 井 進 児 | 平成10年12月 1日就任           |
|  |                                      | 平成10年12月 1日登記           |
|  | 監査役 齊 藤 秀 樹                          | 平成10年 6月26日就任           |
|  |                                      | 平成10年 7月10日登記           |
|  | 監査役 橋 場 昌 次                          | 平成10年12月 1日就任           |
|  |                                      | 平成10年12月 1日登記           |
|  | 監査役 川 村 直 道                          | 平成10年12月 1日就任           |
|  |                                      | 平成10年12月 1日登記           |
|  | 監査役 森 口 賢                            | 平成11年 6月29日就任           |
|  |                                      | 平成11年 7月13日登記           |

|     |                       |
|-----|-----------------------|
| 支 店 | 1<br>大阪府中央区城見二丁目2番72号 |
|-----|-----------------------|

これは登記簿に記録されている現に効力を有する事項の全部であることを証明  
した書面である。

平成12年 7月 3日  
東京法務局新宿出張所  
登記官

内 河 憲 士



整理番号 又472591

\* 下線のあるものは抹消事項であることを示す。

4/4

# DECLARATION

I, the undersigned, declare that I know the Japanese and English languages and that the attached English translation is a correct, true and faithful translation of the attached Japanese Document, the Certificate of Present Whole Matter of KDD Corporation to the best of my knowledge.

Tokyo, July 12, 2000

By 近藤徹也

Tetsuya Kondoh  
Manager  
Intellectual Property Group  
KDD Corporation

## **SCHEDULE I**

| <b><u>U.S. PATENT NO.</u></b> | <b><u>PATENT DATE</u></b> |
|-------------------------------|---------------------------|
| 4,505,540                     | March 19, 1985            |
| 4,720,162                     | January 19, 1988          |
| 4,469,401                     | September 4, 1984         |
| 4,544,233                     | October 1, 1985           |
| 4,572,608                     | February 25, 1986         |
| 4,653,846                     | March 31, 1987            |
| 5,048,922                     | September 17, 1991        |
| 4,710,976                     | December 1, 1987          |
| 4,708,427                     | November 24, 1987         |
| 4,790,655                     | December 13, 1988         |
| 4,972,513                     | November 20, 1990         |
| 4,899,043                     | February 6, 1990          |
| 4,933,990                     | June 12, 1990             |
| 5,042,039                     | August 20, 1991           |
| 5,140,452                     | August 18, 1992           |
| 5,162,936                     | November 10, 1992         |
| 5,138,621                     | August 11, 1992           |
| 5,317,382                     | May 31, 1994              |
| 2,294,374                     | August 28, 1996           |
| 5,471,333                     | November 28, 1995         |
| 5,539,557                     | July 23, 1996             |

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Attorney Docket No. DEN262

In re United States Patent Numbers:

|           |           |           |
|-----------|-----------|-----------|
| 4,505,540 | 4,790,655 | 2,294,374 |
| 4,720,162 | 4,972,513 | 5,471,333 |
| 4,469,401 | 4,899,043 | 5,539,557 |
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| 4,572,608 | 5,042,039 |           |
| 4,653,846 | 5,140,452 |           |
| 5,048,922 | 5,162,936 |           |
| 4,710,976 | 5,138,621 |           |
| 4,708,427 | 5,317,382 |           |

Owner: KOKUSAI DENSHIN DENWA CO., LTD.  
Change of Name to KDD Corporation

COMMISSIONER OF PATENTS AND TRADEMARKS  
BOX ASSIGNMENTS  
WASHINGTON, D.C. 20231

Dear Sir:

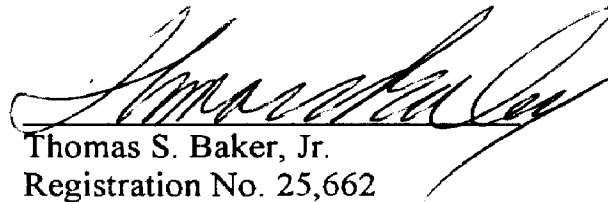
I enclose herewith the following documents for recordal of the change of name of the subject U.S. patents from Kokusai Denshin Denwa Co., Ltd to KDD Corporation.

1. A Transmittal Letter.
2. A Japanese Certificate of Change of Name document including Schedule I and an English translation thereof certified by Translator.
3. A Recordation Form Cover Sheet Patents Only in duplicate.
4. An Appointment of Domestic Representative document.
5. A check in the amount of \$840.00.

Thank you for your help in this matter.

Respectfully submitted,

Date: 01 NOVEMBER 2000

A handwritten signature in cursive script, appearing to read "Thomas S. Baker, Jr.", written over a horizontal line.

Thomas S. Baker, Jr.  
Registration No. 25,662  
1371 West 3<sup>rd</sup> Avenue  
Columbus, Ohio 43212  
Telephone: 614/488-2202  
Facsimile: 614/488-2232

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(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

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☐ Mark if additional names of conveying parties attached

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Month Day Year  
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Name (line 2)

**Second Party**

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Execution Date  
Month Day Year

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Address (line 4) Facsimile: 614/488-2232

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**PATENT**  
**REEL: 011213 FRAME: 0836**



**Respondent Name and Address**

Area Code and Telephone Number 614/488-2202

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Patent Application Number(s)

Patent Number(s)

4,505,540

4,544,233

5,048,922

4,720,162

4,572,608

4,710,976

4,469,401

4,653,846

4,708,427

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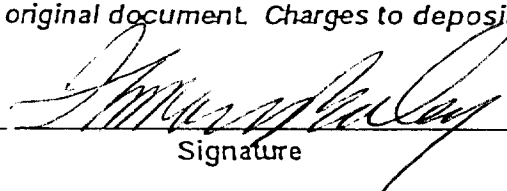
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4,790,655  5,140,452  5,471,333

4,972,513  5,162,936  5,539,557

4,899,043  5,138,621

4,933,990  5,317,382

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