

11-09-2000



101510619

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PATENTS ONLY**

35406 U.S. PTO
09/688031

10/16/00

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Submission Type

10-10-00

Conveyance Type

New

Resubmission (Non-Recordation)
Document ID#

Correction of PTO Error
Reel # Frame #

Corrective Document
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Assignment Security Agreement

License Change of Name

Merger Other

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Conveying Party(ies)

Mark if additional names of conveying parties attached Execution Date
Month Day Year

Name (line 1) Execution Date 09292000

Name (line 2)

Second Party

Execution Date
Month Day Year

Name (line 1) Execution Date 09192000

Name (line 2) 09/688031

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If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

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Address (line 2)

Address (line 3)
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number

(650) 473-7715

Name

J. Michael Schiff

Address (line 1)

Geron Corporation

Address (line 2)

230 Constitution Drive

Address (line 3)

Menlo Park, CA 94025

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

7

Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
09 29 2000

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT		PCT		PCT	
PCT		PCT		PCT	

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:
Deposit Account

Enclosed Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

07/1139

Authorization to charge additional fees:

Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

J. Michael Schiff

Name of Person Signing

Signature

October 6, 2000

Date

RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

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Application Number(s) or Patent Number(s)

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Patent Application Number(s)

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Patent Number(s)

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<input type="text"/>	<input type="text"/>	<input type="text"/>

PTO
10/10/00
09/20/00

COPY

PATENT
Docket No.: 091/004

ASSIGNMENT

Whereas we, Walter D. Funk, Melissa K. Carpenter, Joseph D. Gold, Margaret S. Inokuma, and Chunhui Xu,

executed employment agreements with Geron Corporation (hereinafter called Geron), a Delaware Corporation having its principal place of business at 230 Constitution Drive, Menlo Park, CA 94025, whereby we have agreed to assign to Geron all inventions (except as otherwise limited by law) which relate to Geron business and which were first conceived or actually reduced to practice during our employment by Geron;

Now, therefore, pursuant to the terms of said employment agreements and for good and valuable consideration, receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto Geron, its successors and assigns, the entire right, title and interest, including the right of priority in, to and under an application for Letters Patent of the United States entitled:

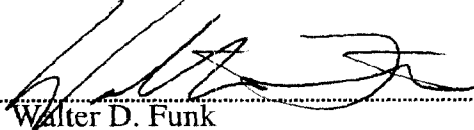
CDNA LIBRARIES REFLECTING GENE EXPRESSION DURING GROWTH AND DIFFERENTIATION OF HUMAN PLURIPOTENT STEM CELLS

filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

Serial No. _____ filed on _____ and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, we agree promptly upon request of Geron, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Geron, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me in lending such cooperation and assistance are paid by Geron.

In witness whereof, we hereunto set our hands and seal:

Inventor's Signature:  Date: 9.29.00
Walter D. Funk

Inventor's Signature: _____ Date: _____
Melissa K. Carpenter

Inventor's Signature: _____ Date: _____
Joseph D. Gold

Inventor's Signature: Date:
Margaret S. Inokuma

Inventor's Signature: Date:
Chunhui Xu

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

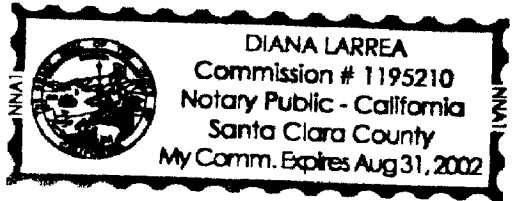
State of California

County of Santa Clara } ss.

On Sept 29, 2000 before me, Diana Larrea, Notary Public
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Walter D. Fonk
Name(s) of Signer(s)

- personally known to me
- proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Diana Larrea
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

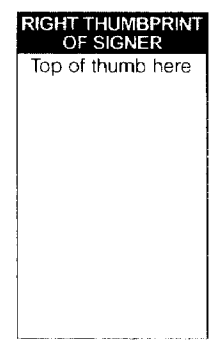
Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: _____

- Individual
- Corporate Officer — Title(s): _____
- Partner — Limited | General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: _____

Signer Is Representing: _____



ASSIGNMENT

Whereas we, Walter D. Funk, Melissa K. Carpenter, Joseph D. Gold, Margaret S. Inokuma, and Chunhui Xu,

executed employment agreements with Geron Corporation (hereinafter called Geron), a Delaware Corporation having its principal place of business at 230 Constitution Drive, Menlo Park, CA 94025, whereby we have agreed to assign to Geron all inventions (except as otherwise limited by law) which relate to Geron business and which were first conceived or actually reduced to practice during our employment by Geron;

Now, therefore, pursuant to the terms of said employment agreements and for good and valuable consideration, receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto Geron, its successors and assigns, the entire right, title and interest, including the right of priority in, to and under an application for Letters Patent of the United States entitled:

CDNA LIBRARIES REFLECTING GENE EXPRESSION DURING GROWTH AND DIFFERENTIATION OF HUMAN PLURIPOTENT STEM CELLS

filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

Serial No. _____ filed on _____ and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, we agree promptly upon request of Geron, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Geron, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me in lending such cooperation and assistance are paid by Geron.

In witness whereof, we hereunto set our hands and seal:

Inventor's Signature: _____ Date: _____
Walter D. Funk

Inventor's Signature: MK Carpenter Date: 9/19/00
Melissa K. Carpenter

Inventor's Signature: Joseph D. Gold Date: 9/22/00
Joseph D. Gold

Inventor's
Signature:

Margaret S. Inokuma
Margaret S. Inokuma

Date:

9/19/00

Inventor's
Signature:

Chunhui Xu
Chunhui Xu

Date:

9/19/00

State of California

) ss.

County of San Mateo

Before me this _____ day of _____ personally appeared

Walter D. Funk personally known to me (or proved to me on the basis of satisfactory evidence)

to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.

Notary Stamp/Seal

Notary Public

State of California

) ss.

County of San Mateo

Before me this 19th day of September 2000 personally appeared

Melissa K. Carpenter personally known to me (or proved to me on the basis of satisfactory evidence)

to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.



Notary Stamp/Seal

Kmakusky

Notary Public

State of California

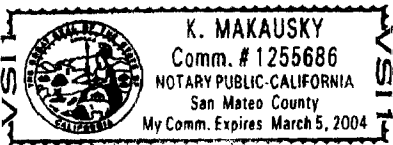
) ss.

County of San Mateo

Before me this 22nd day of September 2000 personally appeared

Joseph D. Gold personally known to me (or proved to me on the basis of satisfactory evidence)

to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.



Notary Stamp/Seal

Kmakusky

Notary Public

State of California
County of San Mateo } ss.

Before me this 19th day of September 2000 personally appeared
Margaret S. Inokuma personally known to me (or proved to me on the basis of satisfactory evidence)
to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the
same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.



Notary Stamp/Seal

K. Makausky
Notary Public

State of California
County of San Mateo } ss.

Before me this 19th day of September 2000 personally appeared
Chunhui Xu personally known to me (or proved to me on the basis of satisfactory evidence)
to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the
same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.



Notary Stamp/Seal

K. Makausky
Notary Public

COPY

PATENT
Docket No.: 091/004

ASSIGNMENT

Whereas we, Walter D. Funk, Melissa K. Carpenter, Joseph D. Gold, Margaret S. Inokuma, and Chunhui Xu,

executed employment agreements with Geron Corporation (hereinafter called Geron), a Delaware Corporation having its principal place of business at 230 Constitution Drive, Menlo Park, CA 94025, whereby we have agreed to assign to Geron all inventions (except as otherwise limited by law) which relate to Geron business and which were first conceived or actually reduced to practice during our employment by Geron;

Now, therefor, pursuant to the terms of said employment agreements and for good and valuable consideration, receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto Geron, its successors and assigns, the entire right, title and interest, including the right of priority in, to and under an application for Letters Patent of the United States entitled:

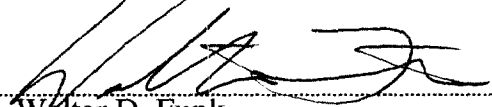
CDNA LIBRARIES REFLECTING GENE EXPRESSION DURING GROWTH AND DIFFERENTIATION OF HUMAN PLURIPOTENT STEM CELLS

filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

Serial No. _____ filed on _____ and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, we agree promptly upon request of Geron, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Geron, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me in lending such cooperation and assistance are paid by Geron.

In witness whereof, we hereunto set our hands and seal:

Inventor's Signature:  Date: 9.29.00
Walter D. Funk

Inventor's Signature: _____ Date: _____
Melissa K. Carpenter

Inventor's Signature: _____ Date: _____
Joseph D. Gold

Inventor's Signature: Date:
Margaret S. Inokuma

Inventor's Signature: Date:
Chunhui Xu

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

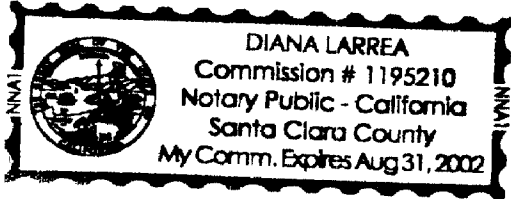
State of California

County of Santa Clara } ss.

On Sept 29, 2000 before me Diana Larrea, Notary Public
Date Name and Title of Officer (e.g., Jane Doe, Notary Public)

personally appeared Walter D. Funk
Name(s) of Signer(s)

- personally known to me
- proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies) and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Diana Larrea
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

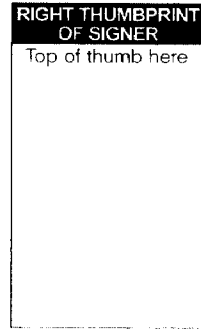
Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: _____

- Individual
- Corporate Officer — Title(s): _____
- Partner — Limited General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: _____

Signer Is Representing: _____



ASSIGNMENT

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Now, therefor, pursuant to the terms of said employment agreements and for good and valuable consideration, receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto Geron, its successors and assigns, the entire right, title and interest, including the right of priority in, to and under an application for Letters Patent of the United States entitled:

CDNA LIBRARIES REFLECTING GENE EXPRESSION DURING GROWTH AND DIFFERENTIATION OF HUMAN PLURIPOTENT STEM CELLS

[X] filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

[] Serial No. _____ filed on _____ and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

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Inventor's Signature: _____ Date: _____
Walter D. Funk

Inventor's Signature: _____ Date: 9/19/00
Melissa K. Carpenter

Inventor's Signature: _____ Date: 9/22/00
Joseph D. Gold

Inventor's
Signature:

Margaret S. Inokuma
Margaret S. Inokuma

Date:

9/19/00

Inventor's
Signature:

Chunhui Xu
Chunhui Xu

Date:

9/19/00

State of California

) ss.

County of San Mateo

Before me this day of personally appeared
Walter D. Funk personally known to me (or proved to me on the basis of satisfactory evidence)
to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.

Notary Stamp/Seal

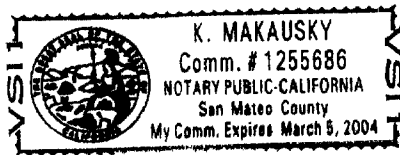
Notary Public

State of California

) ss.

County of San Mateo

Before me this 19th day of September 2000 personally appeared
Melissa K. Carpenter personally known to me (or proved to me on the basis of satisfactory evidence)
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Notary Stamp/Seal

K. Makusky
Notary Public

State of California

) ss.

County of San Mateo

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Joseph D. Gold personally known to me (or proved to me on the basis of satisfactory evidence)
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Notary Stamp/Seal

K. Makusky
Notary Public

State of California
} ss.
County of San Mateo

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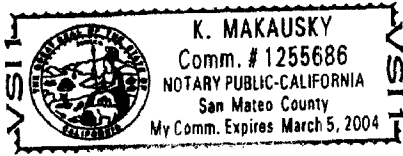


Notary Stamp/Seal

K. Mkauskys
Notary Public

State of California
} ss.
County of San Mateo

Before me this 19th day of September 2000 personally appeared
Chunhui Xu personally known to me (or proved to me on the basis of satisfactory evidence)
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Notary Stamp/Seal

K. Mkauskys
Notary Public