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B/O FORM PTO 1595 (1/31/92)

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UNME-0038-11

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of Conveying Party(ies):

SARANGAN, Andrew

☐ Additional names of conveying parties attached

2. Name and Address of Receiving Party(ies):

Name: University of New Mexico

Internal Address: Patent Administration Office

Street Address: Hokona Hall, Zuni Wing

Room 357

City, State, Zip: Albuquerque, New Mexico 87131

☐ Additional name(s) and address(es) attached.

3. Nature of Conveyance:

☒ Assignment

☐ Charge of Name

☐ Other:

Execution Date: August 29, 2000

4. (a) Patent Application Number(s):

09/291,991

If this document is being filed together with a new application, the execution date of the application is:

☐ Additional Numbers Attached.

4. (b) Patent Numbers:

5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed:

Name: Ajay A. Jagtiani

Address: JAGTIANI & ASSOCIATES
10379-B Democracy Lane
Fairfax, Virginia 22030

6. Total Number of Applications and Patents Involved: 1

7. Total Fee: \$40.00
(37 C.F.R. § 3.41)

☐ Enclosed.

☒ Authorized to be charged to deposit account.

8. Deposit Account Number:

10-0233

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9. Statement and Signature:

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Ajay A. Jagtiani, Registration Number 35,205

September 21, 2000

Total number of pages comprising cover sheet: 1

INVENTOR ASSIGNMENT TO UNM

ASSIGNMENT from Gregory M. Peake, Stephen D. Hersee, and Andrew M. Sarangan, whose addresses are set forth on the signature page hereof (the "Inventors"), to the University of New Mexico, an educational institutional of the State of New Mexico, whose address is c/o Patent Administration Office, Hokona Hall, Zuni Wing, Room 357, Albuquerque, New Mexico 87131 (the "University").

WHEREAS, the Inventors, while employed by the University, conceived and/or reduced to practice one or more inventions which are described both in the disclosure described below and in other materials associated with the disclosure, including materials prepared after the date of this Assignment. The disclosure is identified as:

<u>UNM Docket No.</u>	<u>Title</u>
UNM-476	Non-Planar Micro-Optical Structures

(both the disclosure and the associated materials are collectively referred to herein as the "Invention Disclosure");

WHEREAS, one or more patent application(s) either have been or may be filed in the future in the United States Patent and Trademark Office and other patent offices covering the invention or inventions described in the Invention Disclosure (collectively, the "Patent Application(s)"). Any such patent application(s) filed as of the date hereof are described below:

Patent App.	Serial No.	Country	Date of Filing	Title
	09/291,991	United States	April 15, 1999	Same as above

And

WHEREAS, the University is legally entitled to obtain a formal assignment from the Inventors of their entire right, title, and interest in and to invention(s) and related technology created by Inventors during their employ by the University.

NOW, THEREFORE, the Inventors do hereby assign and transfer unto the University all their right, title, and interest in and to: (a) the invention(s) described in the Invention Disclosure and/or Patent Application(s); (b) any technical information, know-how, trade secret, process, procedure, composition, biological materials, device, method, formula, protocol, technique, software, design, tradename, trademark, copyright, copyrightable material, drawing or data which is related to the aforesaid invention(s)

and/or that is included in the Invention Disclosure, whether or not covered by the Patent Application(s); (c) all rights of the Inventors in the Patent Application(s) including but not limited to all divisions, reissues, continuations, continuations-in-part, continuing patent applications, substitutions, renewals, extensions filed and all patent(s) issuing thereon in the United States and all foreign countries; and (d) to the extent the University owns the same under its policies and practices, all improvements to the invention(s) invented by any of the Inventors (all of the foregoing, (a), (b), (c), and (d) being collectively referred to hereafter as the "Invention"); and (e) the right to assert claims and bring lawsuits for any violation or infringement of any of the intellectual property rights assigned hereby, including all patents issuing on the Patent Applications.

THE INVENTORS FURTHER ACKNOWLEDGE AND AGREE AS FOLLOWS:

1. In accordance with the University's policies and practices, all net revenues from the Invention (all income received by the University, or its assignee, from commercialization of the Invention, not including payments for research, development or reimbursement of certain costs), shall be divided as follows: forty percent (40%) equally to the Inventors (unless the Inventors have otherwise agreed in writing and so notified the University), forty percent (40%) to the Science & Technology Corporation @ UNM, and twenty percent (20%) to the University.
2. For the purpose of enabling the University, its successors and assigns, to obtain, defend and enforce all United States and foreign intellectual property rights in the Invention, the Inventors shall timely communicate all information, execute all documents, testify in all legal proceedings and take all such other actions necessary or desirable to accomplish such purpose.
3. The Inventors authorize the attorneys of record for the Patent Application(s) to insert in this Assignment where indicated above, or in an Addendum to be attached hereto, the filing date, country where filed, title and serial number of such Patent Application(s) filed hereafter as they become officially known.
4. The Inventors authorize and request all domestic and foreign patent office officials to issue all patents, when granted, to the University, its successors and assigns.
5. The Inventors warrant and represent that no assignment, sale, agreement or encumbrance has been or will be made or entered into by them which would conflict with this assignment.
6. If there shall only be one Inventor, all references herein to Inventors shall be deemed to refer to said Inventor. If there shall be more than one Inventor, this assignment may be signed in multiple counterparts and all such counterparts shall be deemed to constitute a single instrument.
7. THE UNDERSIGNED INVENTORS ACKNOWLEDGE BY THEIR RESPECTIVE SIGNATURES HERETO THAT THEY HAVE READ THE

STATE OF NEW MEXICO)
) SS.
COUNTY OF BERNALILLO)

The foregoing instrument was subscribed and acknowledged before me, this
_____ day of _____, _____ by _____.

Notary Public

My Commission Expires: Seal:

Assignor: Andrew M. Sarangan
Address: 425 Teakwood Lane
Springboro, OH 45066

8/29/2000

Date:

STATE OF NEW ~~MEXICO~~ Ohio)
) SS.
COUNTY OF ~~BERNALILLO~~ Warren)

The foregoing instrument was subscribed and acknowledged before me, this
29 day of August, 2000 by Andrew Sarangan.

Notary Public

My Commission Expires: Seal:

MICHAEL LEE, Notary Public
In and for the State of Ohio
My Commission Expires Sept. 9, 2004
