

11-14-2000



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U.S. PTO
09/661173
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Conveyance Type

Assignment Security Agreement

License Change of Name

Merger Other _____

U.S. Government
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Departmental File Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1) JEFFRY A. BUTLER

09/01/2000

Name (line 2) _____

Second Party

09/661173

Name (line 1) KEITH B. HICKS

Execution Date
Month Day Year
09/01/2000

Name (line 2) _____

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1) Caterpillar Inc.

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2) Intellectual Property Department

Address (line 1) 100 N.E. Adams Street

Address (line 2) _____

Address (line 3) Peoria Illinois 61629-6490

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name _____

Address (line 1) _____

Address (line 2) _____

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Correspondent Name and Address

Area Code and Telephone Number (309) 675-6024

Name Liza J. Meyers

Address (line 1) Caterpillar Inc.

Address (line 2) Intellectual Property Department

Address (line 3) 100 N. E. Adams Street

Address (line 4) Peoria, IL 61629-6490

Pages Enter the total number of pages of the attached conveyance document including any attachments. # 2

Application Number(s) or Patent Number(s) Mark if additional numbers attached

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Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year 09/11/2000

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned. PCT PCT PCT

Number of Properties Enter the total number of properties involved. # 1

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment: Enclosed Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 03-1129

Docket No. 00-128US

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Liza J. Meyers

09/13/2000

Name of Person Signing

Signature

Date

ASSIGNMENT

WHEREAS, JEFFRY A. BUTLER and KEITH B. HICKS, residing respectively at Aurora, Illinois and Sandwich, Illinois, hereinafter referred to as ASSIGNORS, have invented a new and useful

TOOTH-AND-HOLDER HARVESTING HEAD DISK SAW

for which ASSIGNORS are about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNORS on 09/11/2000 and 09/11/2000; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

J. A. Butler
JEFFRY A. BUTLER

1 SEP 00
Date

IN WITNESS OF the execution hereof by JEFFRY A. BUTLER we have hereunto set our hands.

David R. Stevenson 06 SEP 00
Witness (1) Date

Jerome F. LaBalle 06 SEP 00
Witness (2) Date

Address: 609 YOSEMITE AVE #307
PEORIA, IL 61603

Address: 372 HOLIDAY DR
SOMONAUK, IL 60552

Keith B. Hicks 09/01/00
KEITH B. HICKS Date

IN WITNESS OF the execution hereof by KEITH B. HICKS we have hereunto set our hands.

Eric P. Jernstov 09/01/00
Witness (1) Date

Maksim Shulman 09/01/00
Witness (2) Date

Address: ERIC P. JERNSTOV
1406 CRESTWAT LN.
YORKVILLE, IL 60560

Address: Maksim Shulman
7 S. Stolp Ave, Apt 506
Aurora, IL 60506