

11-14-2000

Docket No.: FIS9-2000-0027-US1

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0851-0011 (exp. 4/94)
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P08/REV02

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



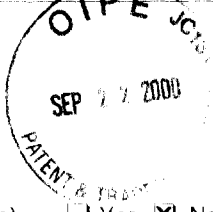
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Panayotis Andricacos
Gregory Costrini
David Edward Kotecki
Katherine Lynn Saenger



2. Name and address of receiving party(ies):

Name: **International Business Machines Corporation**

Internal Address: _____

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Street Address: **New Orchard Road**City: **Armonk** State: **NY** ZIP: **10504**Execution Date: **8/9/00, 8/4/00, 8/5/00, 8/9/00**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/599,698**06/22/2000**

B. Patent No.(s)

MKD 9/22/00Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Scully, Scott, Murphy & Presser**

Internal Address: _____

Street Address: **400 Garden City Plaza**City: **Garden City** State: **NY** ZIP: **11530**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41):.....\$ **40.00**☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0458 (FI- 417)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven Capella, Reg No. 33,086

Name of Person Signing

Signature

September 18, 2000

Date

Total number of pages including cover sheet, attachments, and document: **7**

PATENT
REEL: 011225 FRAME: 0993

ASSIGNMENT

Whereas, We

Inventor and City	(1) Panayotis Andricacos County of Westchester	City of Croton-on-Hudson and State of New York
	(2) Gregory Costrini County of Dutchess	City of Hopewell Junction and State of New York
	(3) David Edward Kotecki County of Penobscot	City of Orono and State of Maine
	(4) Katherine Lynn Saenger County of Westchester	City of Ossining and State of New York

have invented certain improvements in

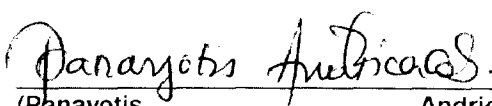
Title CAPACITOR FORMED WITH Pt ELECTRODES HAVING A 3D
CUP-LIKE SHAPE WITH ROUGHENED INNER AND OUTER SURFACE

Date That
Inventor
Signed the
Declaration

and have executed, respectively, a United States patent application therefor on
(1) August 9, 2000, AND (2), 2000, and (3), 2000,
and (4) August 9, 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City	(1) Signed at Yorktown Heights, NY.	 signature (Panayotis Andricacos) inventor
Date	on August 9, 2000	
		First Name Middle Initial Last Name

ASSIGNMENT

City (2) Signed at

Date on

(Gregory			signature
First Name	Middle Initial	Costrini) inventor
		Last Name	

City (3) Signed at

Date on

(David	E.		signature
First Name	Middle Initial	Kotecki) inventor
		Last Name	

City (4) Signed at Yorktown Heights, NY

Date on August 9, 2000

(Katherine	L.		signature
First Name	Middle Initial	Saenger) inventor
		Last Name	

ASSIGNMENT

Whereas, We

Inventor and City	(1) Panayotis Andricacos County of Westchester	City of Croton-on-Hudson and State of New York
	(2) Gregory Costrini County of Dutchess	City of Hopewell Junction and State of New York
	(3) David Edward Kotecki County of Penobscot	City of Orono and State of Maine
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City (1) Signed at

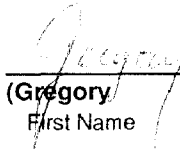

Date on

(Panayotis		Andricacos	signature
First Name	Middle Initial	Last Name) inventor

ASSIGNMENT

City (2) Signed at East Fishkill, NY

Date on 3-4-2000

		signature
(Gregory) inventor
First Name	Middle Initial	Last Name

City (3) Signed at

Date on

(David	E.	Kotecki	signature
First Name	Middle Initial	Last Name) inventor

City (4) Signed at

Date on

(Katherine	L.	Saenger	signature
First Name	Middle Initial	Last Name) inventor

ASSIGNMENT

Whereas, We

Inventor and City	(1) Panayotis Andricacos County of Westchester	City of Croton-on-Hudson and State of New York
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Signed the (1) , 2000, AND (2) , 2000, and (3) *August 5* , 2000,
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City (1) Signed at

Date on

(Panayotis			signature
First Name	Middle Initial	Andricacos) inventor
		Last Name	

ASSIGNMENT

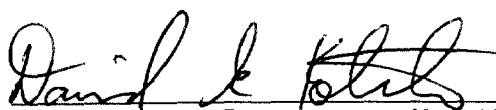
City (2) Signed at ~~ORONO, ME~~

Date on

(Gregory		Costrini	signature
First Name	Middle Initial	Last Name) inventor

City (3) Signed at Orono, ME

Date on 8/5/00

	signature		
(David	E.	Kotecki) inventor
First Name	Middle Initial	Last Name	

City (4) Signed at

Date on

(Katherine	L.	Saenger	signature
First Name	Middle Initial	Last Name) inventor