

11-15-2000

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT



101516985

RECORDATION FORM COVER SHEET  
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)  
Document ID#
- ☐ Correction of PTO Error  
Reel #  Frame #
- ☐ Corrective Document  
Reel #  Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other

U.S. Government  
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1) Walker, Jay S.

Execution Date  
Month Day Year  
10-02-2000

Name (line 2)

Second Party

Name (line 1) Jorasch, James A.

Execution Date  
Month Day Year  
10-02-2000

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Walker Digital, LLC

Name (line 2)

Address (line 1) Five High Ridge Park

Address (line 2)

Address (line 3) Stamford,

City

Connecticut USA

State/Country

06905

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT

REEL: 011228 FRAME: 0443

Correspondent Name and Address

Area Code and Telephone Number

(203) 461- 7010

Name Patrick J. Buckley

Address (line 1) Walker Digital

Address (line 2) Five High Ridge Park

Address (line 3) Stamford, Connecticut 06905

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

# 4

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



If this document is being filed together with a new Patent Application, enter the date the patent application was executed by first named inventor

Month Day Year  
10-02-2000

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT  PCT  PCT   
PCT  PCT  PCT

Number of Properties

Enter the total number of properties involved.

# 1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed ☐

Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

# 50-0271

Authorization to charge additional fees:

Yes



No



Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Patrick J. Buckley (40,928)

Name of Person Signing

Patrick Buckley

Signature

October 3, 2000

Date

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Alderucci, Dean

Name (line 2)

Name (line 1) Tulley, Stephen C.

Name (line 2)

Name (line 1) Kim, Peter

Name (line 2)

PTO  
U.S.  
9/6/99  
10/03/00

Execution Date  
Month Day Year  
10/03/2000

Execution Date  
Month Day Year  
10/03/2000

Execution Date  
Month Day Year  
10/03/2000

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)







**Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)**

Docket No.  
00-033

*This is an Assignment of the following rights, title and interest: (check all that apply):*

- ☒ *United States of America rights, title and interest in the invention*  
☒ *Foreign rights, title and interest in the invention*  
☒ *United States Patent Application Serial No.* \_\_\_\_\_

*Date of Execution:* \_\_\_\_\_

*Date of Filing:* herewith \_\_\_\_\_

- ☒ *United States Provisional Patent Application Serial No.* 60/206965 \_\_\_\_\_  
☐ *United States Patent No(s).* \_\_\_\_\_  
☐ *International (PCT) Patent Application Serial No.* \_\_\_\_\_  
☐ *Other (specify)* \_\_\_\_\_

***Title of the Invention***

SYSTEMS AND METHODS WHEREIN A PLAYER INDICATES AN ITEM THAT MAY BE RECEIVED BASED ON A GAME EVENT OUTCOME ASSOCIATED WITH A PLAYER

***Inventors (assignors)***

<i>Name</i>	<i>Address</i>
Jay S. WALKER	123 Spectacle Lane, Ridgefield, CT 06877
James A. JORASCH	25 Forest Street, Apt. 5G, Stamford, CT 06901
Dean ALDERUCCI	19-8 Prospect Ridge Road, Ridgefield, CT 06877
Stephen C. TULLEY	15 River Place, Stamford, CT 06907
Peter KIM	2975 High Ridge Road, Stamford, CT 06903

***Assignee***

<i>Name</i>	<i>Address</i>
Walker Digital, LLC	Five High Ridge Park, Stamford, CT 06905

**Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)**

Docket No.  
00-033

*Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");*

*And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;*

*Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;*

*We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;*

*And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;*

*And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.*

*Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)*

<i>Name</i>	<i>Signature/Date</i>
Jay S. WALKER	
James A. JORASCH	
Dean ALDERUCCI	
Stephen C. TULLEY	
Peter KIM	

Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)

Docket No.  
00-033

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 2nd day of October, in the year 2000  
at Stamford



(Signature of Inventor)

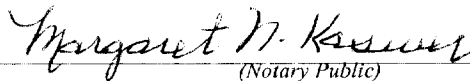
State of Connecticut

County of Fairfield

Before me personally appeared Jay S. WALKER

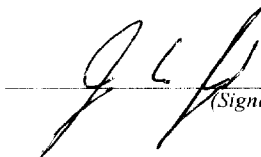
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 2nd day of October, in the year 2000

MARGARET N. KASWER  
NOTARY PUBLIC  
MY COMMISSION EXPIRES DEC. 31, 2004



(Notary Public)

Executed this 2nd day of October, in the year 2000  
at Stamford



(Signature of Inventor)

State of Connecticut

County of Fairfield

Before me personally appeared James A. JORASCH

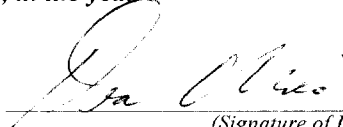
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 2nd day of October, in the year 2000

MARGARET N. KASWER  
NOTARY PUBLIC  
MY COMMISSION EXPIRES DEC. 31, 2004



(Notary Public)

Executed this 3rd day of October, in the year 2000  
at Stamford



(Signature of Inventor)

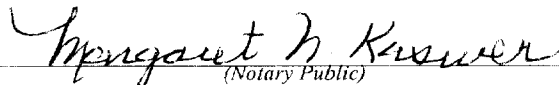
State of Connecticut

County of Fairfield

Before me personally appeared Dean ALDERUCCI

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 3rd day of October, in the year 2000

MARGARET N. KASWER  
NOTARY PUBLIC  
MY COMMISSION EXPIRES DEC. 31, 2004



(Notary Public)

Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)

Docket No.  
00-033

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 3rd day of October, in the year 2000  
at Stamford

State of Connecticut

County of Fairfield

Before me personally appeared Stephen C. TULLEY

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 3rd day of October in the year 2000

MARGARET N. KASWER  
NOTARY PUBLIC  
MY COMMISSION EXPIRES DEC. 31, 2004

  
(Signature of Inventor)

Executed this 3rd day of October, in the year 2000  
at Stamford

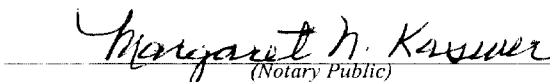
State of Connecticut

County of Fairfield

Before me personally appeared Peter KIM

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 3rd day of October in the year 2000

MARGARET N. KASWER  
NOTARY PUBLIC  
MY COMMISSION EXPIRES DEC. 31, 2004

  
(Notary Public)

Executed this day of, in the year  
at

State of

County of

Before me personally appeared

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this day of in the year

(Notary Public)