

11-15-2000

Form PTO-1595
Page 1 of 2



J.S. DEPARTMENT OF COMMERCE
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RECORDATION FORM COVER SHEET

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PATENTS ONLY

CASE 20439

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

F. HOFFMANN-LA ROCHE AG

Additional name(s) of conveying

party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **HOFFMANN-LA ROCHE INC.**

Internal Address:

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other

Street Address: **340 KINGSLAND STREET**

City: **NUTLEY** State: **NJ** Zip: **07110**

Execution Date: **JULY 25, 2000**

Additional name(s) & address(es) attached:

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

USSN: 09/630,702

FILED: AUGUST 01, 2000

Additional numbers attached? ☐ Yes ☒ No

PATENT
REEL: 011230 FRAME: 0837

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: ONE

Name: GEORGE W. JOHNSTON

Internal Address:

7. Total fee (37 CFR 3.41).....\$ 40.00

HOFFMANN-LA ROCHE INC.

PATENT LAW DEPARTMENT

Street address: 340 KINGSLAND STREET

City: NUTLEY State: NJ Zip: 07110

☒ Authorized to be charged to deposit account

☒ Authorized any deficiencies to be charged to deposit account

8. Deposit account number:

08-2525

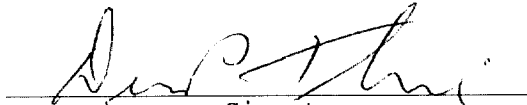
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

DENNIS P. TRAMALONI

Name of Person Signing



Signature

OCTOBER 4, 2000

Date

CONTACT: Lou Ann Donatoni
TELEPHONE: (973) 235-4389
FAX: (973) 235-2363

Total number of pages including cover sheet, attachments and document: 3

Assignment

We,

F. Hoffmann-La Roche AG (Assignor), a Swiss Company
of 124 Grenzacherstrasse, CH-4070 Basle (Switzerland)

hereby assign to

HOFFMANN-LA ROCHE INC. (Assignee)

a corporation organized and existing under and by virtue of the laws of the State of New Jersey, and having its principal place of business at Nutley, New Jersey, U.S.A.,

all of our right, title and interest within the United States of America and its territorial possessions in and to the invention described and claimed in a patent application entitled

1,2,4,5-TETRAHYDRO-BENZO[D]AZEPIN DERIVATIVES

which is about to be filed in the U.S. Patent Office in the name(s) of the inventor(s)

**Geo Adam, Alfred Binggeli, Hans-Peter Märki, Vincent Mutel,
Maurice Wilhelm, Wolfgang Wostl**

and in respect of which a declaration and Power of Attorney was executed on:

July 25, 2000

and in and to said patent application and Letters Patent that may issue thereon.


Said U.S. Patent Application is based upon the following foreign application(s):

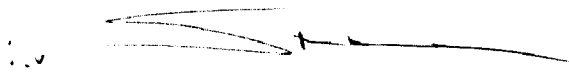
in Europe on August 6, 1999 under No. 99115557.3

and was assigned to F. Hoffmann-La Roche AG, Basle, Switzerland, by the said inventor(s) by an assignment dated July 25, 2000.

Basle, Switzerland, this 25th day of July 2000.

F. Hoffmann-La Roche AG


Fridolin Klausner
Holder of procuration


Denise Strebel
Mandatory