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TO THE ASSISTANT COMMISSIONER FOR PA

- Name of conveying party(ies): (If multiple assignors, list numerically)
  - Robert R. Cullen
  - Richard J. Sweeney
  - 3. Henry Ty

Additional name(s) of conveying party(ies) attached?

()

Yes

(X)

2. Name and address of receiving party(ies):

Name: Horizon Photonics, Inc.

Internal Address:

Street Address: 679 Brea Canyon Road City: Walnut State: CA ZIP: 91789

Additional name(s) of receiving party(ies) attached?

\_ocuments or copy thereof.

(X)

()

Yes

- Nature of conveyance:
  - (X) Assignment
  - Merger
  - Security Agreement
  - Change of Name
  - Other: ()

Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above)

- 10/27/00 1.
- 10/27/00 2.
- 3. 10/26/00

- Application number(s) or Patent number(s):
  - Application(s) filed herewith Execution Date(s): ()
  - (X) Patent Application No.: 09/619,098 Filing Date: July 19, 2000
  - Patent No.: () Issue Date:

Additional numbers attached? ()

Yes

(X)

No

Name and address of party to whom correspondence concerning document should be mailed:

Name

Jonathan A. Barney

KNOBBE, MARTENS, OLSON & BEAR, LLP

Customer No. 20,995

Internal Address: Sixteenth Floor Street Address: 620 Newport Center Drive

City: Newport Beach State: CA ZIP: 92660 Attorney's Docket No.: HORIPHO.2CPDV1

Total fee (37 CFR 3.41): \$40

(X) Enclosed

Authorized to be charged to deposit account if any additional fees are required, or to credit any overpayment

8. Deposit account number: 11-1410

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

- Total number of applications and patents involved: 1
- Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Jonathan A. Barney Name of Person Signing

10/31/0

34,292

Registration No.

Total number of pages including cover sheet, attachments and document: 3

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents Box Assignments Washington, D.C. 20231

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**PATENT** REEL: 011232 FRAME: 0870 Application No.: 09/619,098 Client Code: HORIPHO.2CPCV1
Filing Date: July 19, 2000 Page 1

## ASSIGNMENT

WHEREAS, We, Robert R. Cullen, a U.S. citizen, residing at 131 North Lonehill Avenue, Glendora, CA 91741, Richard J. Sweeney, a U.S. citizen, residing at 949 West Baker Avenue, Fullerton, CA 92832, and Henry Ty, a U.S. citizen, residing at 849 West Street, Attleboro, MA 02703, have invented certain new and useful improvements in a TEMPERATURE COMPENSATOR FOR FARADAY ROTATOR for which we have filed an application for Letters Patent in the United States, Application No. 09/619,098, filed July 19, 2000;

AND WHEREAS, **HORIZON PHOTONICS**, **INC.** (hereinafter "ASSIGNEE"), a California Corporation, with its principal place of business at 679 Brea Canyon Road, Walnut, CA 91789, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

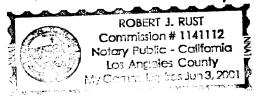
COUNTY OF Cos Auctics | ss.

On Uc7 V7 1500, before me, U5607 J No., personally appeared Robert R. Cullen personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that executed the same in authorized capacity(ies), and that by signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

nstrament.

WITNESS my hand and official seal.

[SEAL]



Notary Signature

PATENT REEL: 011232 FRAME: 0871

PATENT

Client Code: HORIPHO.2CPCV1

Filing Date: July 19, 2000 Page 2 IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 27 day of October, 2000. STATE OF LAWFORMA COUNTY OF bus Americs On UC717 Low , before me, When J. Kus7 , personally appeared Richard J. Sweeney personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that executed the same in authorized capacity(ies), and that by signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. ROBERT J. RUST Commission # 1141112 [SEAL] Notary Public - California Los Angeles County My Comm. Expires Jun 3, 2001 IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 26 day of 0ct, , 20 0.0 On (t) before me, (t) (t) personally appeared Henry Ty personally known to me (or proved to me on the basis of satisfactors evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. WITNESS my hand and official seal. [SEAL]

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**RECORDED: 11/06/2000** 

Application No.: 09/619,098

PATENT REEL: 011232 FRAME: 0872