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FORM 1 TO-1586  
(Rev. 6-83)

OMB No. 0851-0011 (exp. 4/94)

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RECO



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents,

1. ~~must~~ report the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):  Trent R. Northen, Robert W. Flagler,  Alan D. Ball, and George H.F.  Schnakenberg III  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies)  Name: <u>Michael J. Mazza</u>  Internal Address: <u>The Clorox Company</u>  <u>Legal Services</u>  <u>23rd Floor</u>  Street Address: <u>1221 Broadway</u>  <u></u>  City: <u>Oakland</u> State: <u>CA</u> ZIP: <u>94612</u>  Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other _____</p>	
<p>Execution Date: <u>6/8/00, 6/23/00,</u>  <u>6/7/00, 5/21/00</u></p>	

67/7700, 57/51700

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)	B. Patent No.(s)
29/115,027	

Additional numbers attached? ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Michael J. Mazza</u></p> <p>Internal Address: <u>The Clorox Company</u></p> <p><u>Legal Services</u></p> <p><u>23rd Floor</u></p> <p>Street Address: <u>1221 Broadway</u></p> <p>City: <u>Oakland</u> State: <u>CA</u> ZIP: <u>94612</u></p>	<p>6. Total number of applications and patents involved: <span style="border: 1px solid black; padding: 2px;">1</span></p> <p>7. Total fee (37 CFR 3.41).....\$ <u>40.00</u></p> <p><input type="checkbox"/> Enclosed</p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number:</p> <p><u>03-2270</u></p> <p>(Attach duplicate copy of this page if paying by deposit account)</p>
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DO NOT USE THIS SPACE

9. **Statement and signature.**  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Michael J. Mazza *Reg NO* 30715 *Signature* Michael J. Mazza 8/5/00 5  
 Name of Person Signing Date  
 Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

**PATENT**  
**REEL: 011233 FRAME: 0159**

ASSIGNMENT

**WHEREAS, TRENT R. NORTEN**, a resident of Oakland, California, whose Post Office Address is 3608 Lakeshore Avenue, 94610;

**WHEREAS, ROBERT W. FLAGLER**, a resident of Pleasanton, California, whose Post Office Address is 2234 Via Espada, 94566;

**WHEREAS, ALAN D. BALL**, a resident of Somerville, Massachusetts, whose Post Office Address is 50 Francesca Avenue, 02144;

**WHEREAS, GEORGE H.F. SCHNAKENBERG III**, a resident of Cambridge, Massachusetts, whose Post Office Address is 22 Dudley Street, Apt No. 3, 02140;

hereinafter referred to as **ASSIGNORS**, have invented a novel and ornamental invention entitled:

**WATER FILTER**

for which they have made application for Letters Patent of the United States, such invention described in and identified in said application having a filing date of December 6, 1999, Serial Number 29/115,027, and

**WHEREAS, THE BRITA PRODUCTS COMPANY**, a Delaware Corporation having a place of business at Oakland, California, hereinafter referred to as **ASSIGNEES**, are desirous of acquiring the entire right, title and interest in and to said application and said invention, in and to any and all improvement relating to said invention, and in and to Letters Patent thereon, when granted in the United States and all foreign countries; and

**WHEREAS, ASSIGNORS** acknowledge that, pursuant to the terms of their employment, and/or Agreements they have executed, the terms of which govern the obligations and duties of **ASSIGNORS**, the **ASSIGNORS** being under a continuing obligation to assign all right, title and interest in, to and under the invention which is the subject of their application for Letters Patent and any improvements thereof and all divisions, continuations and continuations-in-part thereof, to **THE BRITA PRODUCTS COMPANY**, its successors, legal representatives and assigns in accordance with said Agreements and with this instrument;

**NOW, THEREFORE**, in consideration of the sum of one dollar (\$1.00) and for other good and valuable consideration received by **ASSIGNORS** from **ASSIGNEE**, receipt of which is hereby acknowledged by **ASSIGNORS**:

1. **ASSIGNORS** hereby sell, assign, transfer and convey unto **ASSIGNEE** the entire right, title and interest in and to said application and said invention and improvements; including all priority rights under the International Convention associated with the filing of said application, for each country of the Union; and in and to any and all Letters Patent on said invention and improvements that may be granted by the United States or any foreign countries, including each and every Letters Patent granted on any application which is a division, substitution, continuation or continuation-in-part of any application relating to said invention or improvements, and in and to

each and every reissue or extension of said Letters Patent.

2. **ASSIGNORS** hereby warrant, covenant and represent that they have not heretofore granted any license, right or privilege in respect to the application or invention or improvements, or in any way encumbered the same, and that they have the full right to convey, free of all licenses and encumbrances, the entire interest hereby assigned.

3. **ASSIGNORS** covenant and agree, at the request and expense of **ASSIGNEE**, to promptly execute all papers necessary or desirable to perfect ownership of said invention, improvement, applications or said Letters Patents in **ASSIGNEE**, and will execute all oaths and other papers necessary or desirable for prosecuting said application, for use in interference proceedings involving said invention or improvements, for refiling said applications, for filing of said divisional, substitution, continuation or continuation-in-part applications covering said invention or improvements which are deemed necessary or desirable by **ASSIGNEE**, for reissuance of said Letters Patent, or for the filing in foreign countries of applications for Letters Patent covering said invention or improvements. **ASSIGNORS** further covenant and agree that at the expense and request of **ASSIGNEE** they will promptly assist **ASSIGNEE** in interference proceedings involving said invention or improvements, and in litigation involving in said Letters Patent, and will assist in ascertaining facts and producing evidence relating to said invention or improvements.

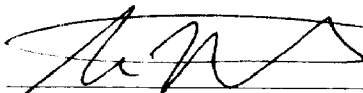
4. The terms, covenants and provisions of this assignment shall inure to the benefit **ASSIGNEE**, its successors, assigns and other legal representatives, and shall be binding upon **ASSIGNORS**, their heirs, legal representatives and assigns.

5. **ASSIGNORS** hereby authorize and request The Commissioner of Patents and Trademarks to issue the United States Letters Patent on their invention, when granted, unto:

THE BRITA PRODUCTS COMPANY

its successors, assigns, and other legal representatives in accordance with this agreement.

IN TESTIMONY WHEREOF, I, TRENT R. NORTEN, have executed and delivered this instrument this 8 day of JUNE, 2000.

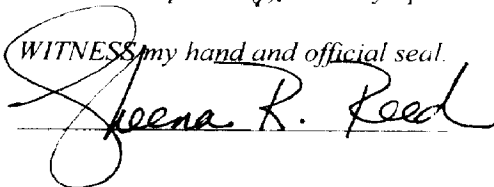
  
TRENT R. NORTEN

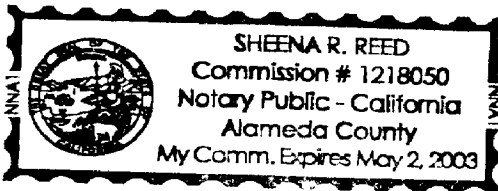
)  
)  
)

On JUNE 8, 2000 before me, Sheena R. Reed, Notary Public, personally

appeared Trent R. Northen, ( ) personally known to me -- or -- ☒ proved to me on the basis of satisfactory evidence to be the person ~~is~~ whose name ~~is~~ subscribed to the within instrument and acknowledged to me that he/~~she~~/it executed the same in his/~~her~~/their authorized capacity ~~(as)~~, and that by his/~~her~~/their signature ~~on~~ the instrument the person ~~or~~ entity upon behalf of which the person ~~acted~~, executed the instrument.

WITNESS my hand and official seal.





IN TESTIMONY WHEREOF, I, ROBERT W. FLAGLER, have executed and delivered this instrument this 23 day of June, 2000.

Robert W. Flagler  
ROBERT W. FLAGLER

STATE OF CALIFORNIA )

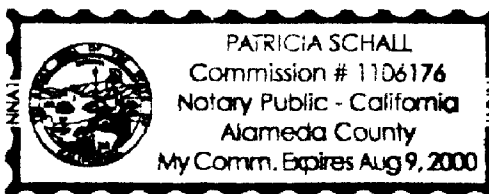
COUNTY OF Alameda )

On June 23, 2000 before me, Patricia Schall, Notary Public, personally

appeared Robert W. Flagler, ( ☒ ) personally known to me -- or -- ( ) proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Patricia Schall



IN TESTIMONY WHEREOF, I, ALAN D. BALL, have executed and delivered this instrument this 7 day of JUNE, 2000.

Alan D. Ball  
ALAN D. BALL

STATE OF MASSACHUSETTS )

COUNTY OF Middlesex )

On 6/7, 2000 before me, Philip Chaves, Notary Public, personally

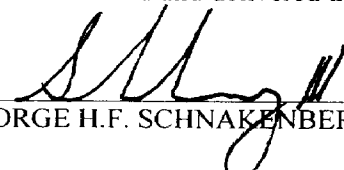
appeared Alan D. Ball, ( ) personally known to me -- or -- ( ) proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Philip J. Chaves

PHILIP J. CHAVES  
Notary Public, Commonwealth of Massachusetts  
My Commission Expires 3/29/02

IN TESTIMONY WHEREOF, I, GEORGE H.F. SCHNAKENBERG III, have executed and delivered this instrument this 31st day of MAY, 2000.

  
\_\_\_\_\_  
GEORGE H.F. SCHNAKENBERG III

STATE OF MASSACHUSETTS )

COUNTY OF NORFOLK )

On 31 May, 2000 before me, SEBASTIAN J. DECE, Notary Public, personally

appeared George H.F. Schnakenberg III personally known to me -- or -- ( ) proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_

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