

103.1035.01

FORM PTO-1619A
Expires 06/30/99
OMB 0681-0027U.S. Department of Commerce
Patent and Trademark Office
PATENT**RECORDATION FORM COVER SHEET
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- ☒ **New**
- ☐ **Resubmission (Non-Recordation)**
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- ☐ **Correction of PTO Error**
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Conveyance Type

- ☒ **Assignment** ☐ **Security Agreement**
- ☐ **License** ☐ **Change of Name**
- ☐ **Merger** ☐ **Other**
- U.S. Government**
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- ☐ **Departmental File** ☐ **Secret File**

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0681-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0681-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT

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REEL: 011235 FRAME: 0074

FORM PTO-1619B
Expires 06/30/99
OMB 0651-0027

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U.S. Department of Commerce
Patent and Trademark Office
PATENT**Correspondent Name and Address**

Area Code and Telephone Number 650.947.0700

Name John C. Merchant

Reg. No. 45,996

Address (line 1) Swernofsky Law Group

Address (line 2) P.O.Box 390013

Address (line 3) Mountain View, CA 94039-00

Address (line 4) United States

PagesEnter the total number of pages of the attached conveyance document
including any attachments.

3

Application Number(s) or Patent Number(s)☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09642061

Patent Number(s)If this document is being filed together with a new Patent Application, enter the date the patent application was
signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT

PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

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Yes ☒ No ☐**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document. Charges to deposit account are authorized, as
indicated herein.

John C. Merchant

Name of Person Signing

Signature

November 27, 2000

Date

FORM PTO-1619C
Expires 06/30/99
OMB 0651-0027**RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY**U.S. Department of Commerce
Patent and Trademark Office
PATENT**Conveying Party(ies)**☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Viswanathan, Srinivasan

Execution Date
Month Day Year

11 15 2000

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party(ies)☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

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Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)**Application Number(s) or Patent Number(s)**☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)**Patent Number(s)**



103.1035.01

WHEREAS, We, Blake Lewis, a citizen of the United States, residing at 2316 Greer Road, Palo Alto, California 94303, John K. Edwards, a citizen of the United States, residing at 1173 Crandano Court, Sunnyvale, California 94087-2076, and Srinivasan Viswanathan, a citizen of India, residing at 751 Saltillo Place, Fremont, California 94536 have invented a "**Instant Snapshot**" for which we have executed application papers for a U.S. patent thereon, which was filed on **August 18, 2000, Serial No. 09/642061**; and

WHEREAS, Network Appliance, Inc. a California corporation, having a place of business at 495 East Java Drive, Sunnyvale, CA 94089 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Blake Lewis, John K. Edwards, and Srinivasan Viswanathan, do sell, assign, transfer and set over unto the said Network Appliance, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Network Appliance, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Sunnyvale, CA, this 12th day of September, 2000.

A handwritten signature in cursive script that reads "Blake Lewis".

Blake Lewis

A handwritten signature in cursive script that reads "John K. Edwards".

A handwritten signature in cursive script that reads "Srinivasan Viswanathan".

Assignment

PATENT
REEL: 011235 FRAME: 0077



103.1035.01

22883

PATENT TRADEMARK OFFICE

ASSIGNMENT

WHEREAS, We, Blake Lewis, a citizen of the United States, residing at 2316 Greer Road, Palo Alto, California 94303, John K. Edwards, a citizen of the United States, residing at 1173 Crandano Court, Sunnyvale, California 94087-2076, and Srinivasan Viswanathan, a citizen of India, residing at 751 Saltillo Place, Fremont, California 94536 have invented a "Instant Snapshot" for which we have executed application papers for a U.S. patent thereon, which was filed on **August 18, 2000, Serial No. 09/642061**; and

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NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Blake Lewis, John K. Edwards, and Srinivasan Viswanathan, do sell, assign, transfer and set over unto the said Network Appliance, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Network Appliance, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at 5:21 pm Sunnyvale California, this 8th day of September, 2000.

Blake Lewis

John K. Edwards

Srinivasan Viswanathan



22883

PATENT TRADEMARK OFFICE

103.1035.01

ASSIGNMENT

WHEREAS, We, Blake Lewis, a citizen of the United States, residing at 2316 Greer Road, Palo Alto, California 94303, John K. Edwards, a citizen of the United States, residing at 1173 Crandano Court, Sunnyvale, California 94087-2076, and Srinivasan Viswanathan, a citizen of India, residing at 751 Saltillo Place, Fremont, California 94536 have invented a "Instant Snapshot" for which we have executed application papers for a U.S. patent thereon, which was filed on August 18, 2000, Serial No. 09/642061; and

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WITNESS OUR HAND at Sunnyvale, this 15th day of November, 2000.

Blake Lewis

John K. Edwards

N. Viswanathan

Srinivasan Viswanathan