

11-16-2000



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Attorney Docket No: 002950.P043

Conveying Party (ies)

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Execution Date
Month Day Year

Name (1st party) Kevin Garcia

9/25/2000

Name (2nd party) _____

Name (3rd party) _____

Name (4th party) _____

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Aspect Communication

Name (line 2) Corporation of California

Address (line 1) 1310 Ridder Park

Address (line 2) _____

Address (line 3) San Jose

CA/ USA

95131

City

State/Country

Zip Code

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PATENT

REEL: 011235 FRAME: 0611

Correspondent Name and Address

Area Code and Telephone Number 408-720-3478

Name Andre L. Marais

Address (line 1) BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Address (line 2) 2400 Wilshire Boulevard, 7th Floor

Address (line 3) Los Angeles, CA 90023

Address (line 4) _____

Pages

Enter the total number of pages of the attached conveyance document.

2

Application Number (s) or Patent Number (s)

☐

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number (s)

09/651,438

Patent Number (s)

Month Day Year

If this document is being filed together with a **new** Patent Application, enter the date the patent application was signed by the first named executing inventor.

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a
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Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 02-2666

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Andre L. Marais

Under 37 CFR §101.9(b)

Name of Person Signing

Registration No.

Signature

Date

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No.: 002950.P043 (For Execution After Filing Patent Application)

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I
the undersigned, Kevin Garcia

hereby sell, assign, and transfer to Aspect Communications
a corporation of California, having a principal place of business at
1310 Ridder Park, San Jose, CA 95131, ("Assignee"),
and its successors, assigns, and legal representatives, the entire right, title, and interest for
the United States and all foreign countries, in and to any and all improvements that are
disclosed in the application for the United States patent that was filed August 30, 2000 and
assigned Application No. 09/651,438 and is entitled
METHOD AND SYSTEM TO MAINTAIN A HIERARCHY OF INSTANTIATED
APPLICATION OBJECTS AND TO ENABLE RECOVERY FROM AN
APPLICATION FAILURE

and in and to said application and all divisional applications, continuation applications,
continued prosecution applications, continuation-in-part applications, substitute applications,
renewal applications, reissue applications, reexaminations, extensions, and all other patent
applications that have been or shall be filed in the United States and all foreign countries on
any of said improvements; and in and to all original patents, reissued patents, reexamination
certificates, and extensions that have been or shall be issued in the United States and all
foreign countries on said improvements; and in and to all rights of priority resulting from the
filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said
improvements in its own name; and that, when requested, without charge to, but at the
expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in
good faith the intent and purpose of this Assignment, the undersigned will execute all
divisional applications, continuation applications, continued prosecution applications,
continuation-in-part applications, substitute applications, renewal applications, reissue
applications, reexaminations, extensions, and all other patent applications on any and all said
improvements; execute all rightful oaths, assignments, powers of attorney, and other papers;
communicate to said Assignee, its successors, assigns, and representatives all facts known to
the undersigned relating to said improvements and the history thereof; and generally assist
said Assignee, its successors, assigns, or representatives in securing and maintaining proper
patent protection for said improvements and for vesting title to said improvements, and all
applications for patents and all patents on said improvements, in said Assignee, its
successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no
assignment, grant, mortgage, license, or other agreement affecting the rights and property
herein conveyed has been made to others by the undersigned, and that full right to convey the
same as herein expressed is possessed by the undersigned.

Date: 9/25/00, 2000

Name: Kevin Garcia

Date: _____, 2000

Name: _____

Date: _____, 2000

Name: _____

Date: _____, 2000

Name: _____

Date: _____, 2000

Name: _____

Date: _____, 2000

Name: _____

State of: _____}

SS.

County of: _____}

Assignment Document Return Address:

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Blvd., Seventh Floor
Los Angeles, California 90025-1026
(408) 720-8598

On this _____ day of _____, 2000, before me, _____,
the undersigned Notary Public, personally appeared _____

☐ personally known to me ☐ proved to me on the basis of satisfactory evidence to
be the person(s) whose name(s) subscribed to the within instrument, and
acknowledged that executed it.

WITNESS my hand and official seal.

Notary's Signature