

RECORD
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11-16-2000



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101518069

To the Commissioner of Patents and Trademarks: Please record the attached documents or copy thereof.

1. Name of conveying party(ies):

Simon Forrest and Andrew Monaghan

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

NCR Corporation
Law Department, ECD-2
101 West Schantz Avenue
Dayton, Ohio 45479-0001

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 08/18/00; Forrest and 09/05/00; Monaghan

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 08/18/00; Forrest and 09/05/00; Monaghan

NCR Docket Number **8764.00**

A. Patent Application No.(s)

09/388,808

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael Chan
Address: NCR Corporation
Law Department, ECD-2
101 West Schantz Avenue
Dayton, Ohio 45479-0001

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41): \$40

- ☐ Enclosed
☒ Authorized to be charged to Deposit Account

8. Deposit Account No.:

14-0225 of NCR Corporation

(Attach duplicate copy of this page if paying by deposit account)

11/16/2000 MTHAIL 00000045 140225 09388808

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Michael Chan

Name of Person Signing

Michael Chan

Signature

NOV 02 2000

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignment

PATENT
REEL: 011235 FRAME: 0761

ASSIGNMENT OF UNITED STATES PATENT APPLICATION

WHEREAS, we, **Simon Forrest** of Dundee, Scotland, and **Andrew Monaghan** of Dundee, Scotland (hereinafter called "the inventor(s)"), have invented certain new and useful improvements in **COMMUNICATIONS, PARTICULARLY IN THE DOMESTIC ENVIRONMENT**, Docket No. **8764.00** (hereinafter called "the invention"), for which we have executed an application for Letters Patent of the United States of America (hereinafter called "the application") on 8/18/2000 and 9/5/2000; respectively; and

WHEREAS, the invention, being made in the circumstances set out in Section 39 (1)(a) of the United Kingdom Patents Act 1977, belongs to our employer at that time, namely NCR Financial Solutions Group Limited, a company incorporated in England whose registered address is 206 Marylebone Road, London NW1, 6LY, United Kingdom; and

WHEREAS, NCR Corporation, a corporation of the State of Maryland, having its principal place of business at 1700 South Patterson Boulevard, Dayton, Ohio 45479-0001 USA, is desirous of acquiring from NCR Financial Solutions Group Limited, the entire right, title, and interest in and to the invention and the application; and

WHEREAS, NCR Financial Solutions Group Limited and NCR Corporation have authorized and requested my/our executing the application; and

NOW, THEREFORE, to all whom it may concern be it known that we, the inventor(s), confirm the ownership by NCR Financial Solutions Group Limited of the invention and the application by operation of law under the United Kingdom Patents Act 1977 and if, under the law of the United States, or any other countries in the world, we the inventor(s) have any ownership right, title, and interest in the invention and the application (which we do not believe to be the case and claim no ownership right, title, or interest in the invention and the application based on the law of the United Kingdom), in consideration of the sum of One Dollar (\$1.00) and of other valuable considerations, the receipt of which is hereby acknowledged, we, the inventor(s), nevertheless hereby assign and transfer such ownership right, title, and interest in and to the invention and application to NCR Financial Solutions Group Limited, its successors and assigns. NCR Financial Solutions Group Limited in turn hereby assigns and transfers to NCR Corporation, its successors and assigns, the entire right, title, and interest in and to the invention

and the application (including any right to claim priority under the International Convention), and in and to any divisions, continuations, reissues, and extensions thereof.

FURTHER, we, the inventor(s), and NCR Financial Solutions Group Limited hereby authorize and request any Letters Patent arising therefrom in the United States of America be issued to NCR Corporation, its successors and assigns.

FURTHER, we, the inventor(s), agree that we will communicate to NCR Corporation, or its representatives, any facts known to myself/us respecting the invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitution, renewal, and reissue applications, execute all necessary assignment papers to cause any Letters Patent arising therefrom in the United States of America to be issued to NCR Corporation, make all rightful oaths and generally to do everything possible to aid NCR Corporation, its successors and assigns, to obtain and enforce protection for the invention in the United States of America and in any other countries in the world.

FURTHER, it is hereby declared that the transaction hereby effected does not form part of a larger transaction or of a series of transactions in respect of which the amount or value or the aggregate amount or value, of the consideration exceeds £60,000 (Sterling).

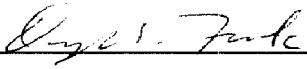
Signed at DUNDEE, this 18 day of AUGUST, 2000.

Simon Forrest
Simon Forrest

Signed at Dundee, this 5 day of September, 2000.

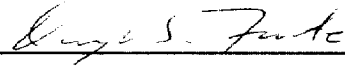
Andrew Monaghan
Andrew Monaghan

Signed at Dayton, Ohio, this 22nd day of September, 2000.



NCR Financial Solutions Group Limited

Signed at Dayton, Ohio, this 22nd day of September, 2000.



NCR Corporation

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. **8764.00**

ASSIGNMENT DIVISION

Application of

NOV 02 2000

Andrew Calder et al.

Serial No. **09/388,808**

Group Art Unit: **2761**

Filed: **September 2, 1999**

Examiner: **Unknown**

For: **COMMUNICATIONS, PARTICULARLY
IN THE DOMESTIC ENVIRONMENT**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on NOV 02 2000 (Date of Deposit).


Shirley Doll


U.S. Patent & Trademark Office
Assignment Division
Box Assignments, CG-4
1213 Jefferson Davis Hwy, Suite 320
Washington, DC 20231

TRANSMITTAL OF ASSIGNMENT DOCUMENTS

Sir:

Please record the attached Assignment and charge the \$40.00 recording fee or any other fee(s) associated with this document to the account of NCR Corporation, Deposit Account No. 14-0225.

Respectfully submitted,



Michael Chan

Reg. No. 33,663

Attorney for Applicant(s)

NCR Corporation, Law Department, ECD-2
101 West Schantz Avenue, Dayton, OH 45479-0001
Tel. 937-445-4956/Fax: 937-445-3733

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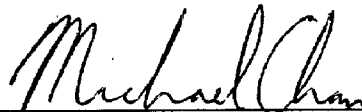
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Michael Chan
Reg. No. 33,663
Attorney for Applicant(s)

NCR Corporation, Law Department, ECD-2
101 West Schantz Avenue, Dayton, OH 45479-0001
Tel. 937-445-4956/Fax: 937-445-3733

RECORDED: 11/06/2000

PATENT
REEL: 011235 FRAME: 0766