	History with the second
Form PTO-1595	
	FORM COVER SHEET
PATE	NTS ONLY
To the Honorable Commissioner of Patents and Trademarks: Please record the	e attached original documents or copy thereof.
1. Name and address of conveying party(ies): 1. James Patrick Dunn 2. Lawrence Emerson Fisher 3. David Michael Goldstein 4. Teresa Rosanne Welch	2. Name and address of receiving party(ies): SYNTEX (U.S.A.) LLC 3401 HILLVIEW AVENUE PALO ALTO, CALIFORNIA 94304
Nature of conveyance: [X] ASSIGNMENT	
Execution Date of Assignment:	
(1) 21-Dec-00; (2) 22-Dec-00; (3) 21-Dec-00; (4) 22-Dec-00	
 Application number(s) or patent number(s): A. Patent Application No.(s) U.S. Serial No. 09/693,337 	Attorney Docket No. R0074C-REG B. Patent No.(s)
Name and address of party to whom correspondence concerning document should be mailed:	Total number of applications and patents involved:
Name: ROHAN PERIES	ONE.
Address: ROCHE BłOSCIENCE PATENT LAW DEPARTMENT M/S A2-250 3401 HILLVIEW AVE PALO ALTO, CALIFORNIA 94304	
	7. Total fee (37 CFR 3.41)\$ 40.00 [] Enclosed [X] Authorized to be charged to deposit account
	8. Deposit Account Number: 18-1700
DO NOT US	SE THIS SPACE
9. Statement and signature. To the best of my knowledge and belief, the foregoing informations of the original document. Rohan Peries Reg. 35,752	ation is true and correct and any attached copy is a true
Name of Person Signing Signature	Date
	ges including cover sheet, attachments and document: [5]
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PATENT REEL: 011237 FRAME: 0047

<u>ASSIGNMENT</u>

WHEREAS, We, James Patrick Dunn, Lawrence Emerson Fisher, David Michael Goldstein and Teresa Rosanne Welch ("Assignors"), having the respective addresses indicated below, have invented certain subject matter entitled

"Heteroalkylamino-Substituted Bicyclic Nitrogen Heterocycles"

(the "Invention") for which We have executed patent application U.S. Serial No. 09/693,337, filed October 20, 2000, for Letters Patent of the United States of America (the "Application").

AND WHEREAS, Syntex (U.S.A.) LLC., a corporation of Delaware, having an address at 3401 Hillview Avenue, Palo Alto, California 94304 ("Syntex"), desires to perfect its right to the Invention and in the Letters Patent(s) to be obtained therefor from the United States of America and all countries foreign thereto;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors acknowledge Syntex's interest in the Invention and hereby assign to Syntex for the United States of America and all countries foreign thereto all our rights, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in the Invention, as described and claimed in patent application U.S. Serial No. 09/693,337, or in any subsequent patent application(s) filed under 35 U.S.C. §111(a), claiming benefit of said Application under 35 U.S.C. §120, or in any continuation, continuation-in-part, continued prosecution application, divisional, substitute, reissue, reexamination or extension thereof and any legal equivalent thereof in a country foreign to the United States of America; the Invention, application and Letters Patent(s) to be owned by Syntex, its successors, assigns and legal representatives, to the full end of the term for which such Letters Patent(s) may be granted.

Assignors hereby covenant that they have the full right to convey the entire interest assigned herein and have not executed and will not execute any agreement in conflict herewith.

Assignors further covenant to execute and deliver upon request and without undue delay, to Syntex, its successors, assigns and legal representatives, all lawful documents necessary or desirable to effect this assignment and perfect the title to the invention, Application and Letters Patent(s) and all foreign patents and applications claiming priority thereto.

Assignors further covenant to cooperate and participate in any administrative or legal proceeding and execute all lawful documents necessary or desirable for Syntex, its successors, assigns and legal representatives to obtain, maintain and enforce patent protection in the Invention in all countries, provided that Syntex reimburses Assignors for any expenses incurred therewith by Assignors.

Page 1 of 2 Document No.111962v1 Case No. R0074C-REG Serial No. 09/693,337

ASSIGNMENT

This assignment is effective as of the earlier of the date of execution of this document or the filing date accorded by the United States Patent and Trademark Office to patent application U.S. Serial No. 09/693,337, as indicated in the Filing Receipt for said Application.

I have read and understand this Assignment document for said Application, and agree hereto, as indicated by my signature as set forth below.

Signature	Some Patrick D.	Date_	12/21/00
	James Patrick Dunn		
	270 Portola Court, Los Altos, California 94022		
Signature	Lawrence Emerson Fisher 1036 Marilyn Drive, Mountain View, California S	Date_ 94040	12/22/00
Signature	David Michael Goldstein 5283 Roxburghe Court, San Jose, California 95		12/21/00
Signature	Z. Rome Welch Teresa Rosanne Welch	Date_	12/22/2000

1649 Belleville Way, Sunnyvale, California 94087

Page 2 of 2 Document No.111962v1 Case No. R0074C-REG Serial No. 09/693,337

State of California	1	
County of <u>San</u> ta Clara	} ss.	
On 12/21/00 , before me,	. <u>Christina G. Myers</u> , Not Name and like of Officer (c.g., ^M lanc D	ary Public ,
personally appeared <u>James Patri</u> ck		
	XX personally known to me [+ proved to me on the evidence	
CHRISTINA G. MYERS Commission # 1127435 Notary Public — California Sonto Clara County	to be the person(s) whe subscribed to the with acknowledged to me that he same in his/he capacity(ies), and the signature(s) on the instrumthe entity upon behalf of acted, executed the instrumtory.	in instrument and ne/she/they executed er/their authorized to by his/her/their ent the person(s), or which the person(s)
My Comm. Expires Feb 27, 2001	WITNESS my hand and of	fficial scal.
	Thating 12	ei
Place Notary Seal Above	Signature of Hola	ary Public
	OPTIONAL —	
Though the information below is not required be and could prevent fraudulent remove	y law, it may prove valuable to persons re al and reattechment of this form to anothe	
Description of Attached Document Title or Type of Document: Assignment	: I for USSN 09 <u>/693,337 (</u>	R0074C-Reg)
Document Date:	Number of Page	es:
Signer(s) Other Than Named Above:		
Capacity(les) Claimed by Signer		
Signer's Name: KX_Individual		RIGHT THUMBPRINT OF SIGNER
ka individual □ Corporate Officer · Title(s):		Top of thumb here
☐ Attorney in Fact		
Trustee		
□ Guardian or Conservator		
Other:		
Signer Is Representing: self		

PATENT REEL: 011237 FRAME: 0050

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California	ss.
County of <u>Santa Clara</u>	
	hristina G. Myers, Notary Public Name and Title of Officer (c.g., "Jane Doe, Notary Public")
personally appeared <u>Lawrence Emers</u>	son Fisher & Teresa Rosanne Welch Name(s) of Signer(s)
CHRISTINA G. MYFRS Commission # 1127435 Notory Public — California Sonta Clara County Contact Co	to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
And the state of t	WITNESS my hand and official seal.
	Christina & Mysso Signature of Notary Miblio
Place Notary Seal Above	Signature of Notary Public
O	PTIONAL —
Though the Information below is not required by la	w, it may prove valuable to persons relying on the document and reattachment of this form to another document.
Description of Attached Document	
Title or Type of Document: <u>Assignment</u> I	for USSN 09/693,337 (R0074C-Reg)
Document Date:	Number of Pages:
Signer(s) Other Than Named Above:	
Capacity(ies) Claimed by Signer	RIGHT THUMBPRINT OF SIGNER Top of thumb here
Signer's Name:	RIGHT THUMBPRINT
XX Individual	OF SIGNER Jon of thumb here
☐ Corporate Officer — Title(s):	
☐ Partner — ☐ Limited 1.3 General	
El Trustee	
☐ Guardian or Conservator	
☐ Other:	

PATENT

ASSIGNMENT

WHEREAS, We, James Patrick Dunn, David Michael Goldstein, and Teresa Rosanne Welch, ("Assignors") having the respective addresses indicated below, have invented certain subject matter entitled

"Alkylamino-Substituted Bicyclic Nitrogen Heterocycles"

(the "Invention"), described in patent application U.S. Serial No. 09/693,364, filed October 20, 2000, for Letters Patent of the United States of America (the "Application").

AND WHEREAS, Syntex (U.S.A.) LLC., a corporation of Delaware, having an address at 3401 Hillview Avenue, Palo Alto, California 94304 ("Syntex"), desires to perfect its right to the Invention and in the Letters Patent(s) to be obtained therefor from the United States of America and all countries foreign thereto;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors acknowledge Syntex's interest in the Invention and hereby assign to Syntex for the United States of America and all countries foreign thereto all our rights, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in the Invention, as described and claimed in patent application U.S. Serial No. 09/693,364, or in any subsequent patent application(s) filed under 35 U.S.C. §111(a), claiming benefit of said Application under 35 U.S.C. §119(e), or 35 U.S.C. §120, or in any continuation, continuation-in-part, continued prosecution application, divisional, substitute, reissue, reexamination or extension thereof and any legal equivalent thereof in a country foreign to the United States of America; the Invention, application and Letters Patent(s) to be owned by Syntex, its successors, assigns and legal representatives, to the full end of the term for which such Letters Patent(s) may be granted.

Assignors hereby covenant that they have the full right to convey the entire interest assigned herein and have not executed and will not execute any agreement in conflict herewith.

Assignors further covenant to execute and deliver upon request and without undue delay, to Syntex, its successors, assigns and legal representatives, all lawful documents necessary or desirable to effect this assignment and perfect the title to the Invention, Application and Letters Patent(s) and all foreign patents and applications claiming priority thereto.

Assignors further covenant to cooperate and participate in any administrative or legal proceeding and execute all lawful documents necessary or desirable for Syntex, its successors, assigns and legal representatives to obtain, maintain and enforce patent protection in the Invention in all countries, provided that Syntex reimburses Assignors for any expenses incurred therewith by Assignors.

Page 1 of 2 Document No.111964v1

BIOSCIENCE PAT

Case No. R0076C-REG Seriai No. 09/693,364

ASSIGNMENT

This assignment is effective as of the earlier of the date of execution of this document or the filing date accorded by the United States Patent and Trademark Office to patent application U.S. Serial No. 09/693,364, as indicated in the Filing Receipt for said Application.

I have read and understand this Assignment document for said Application, and agree hereto, as indicated by my signature as set forth below.

Signature	James Patrick Dunn	Date_	12/21/00
_			
	270 Portola Court, Los Altos, California 94022,	USA	
	_		
	ala all		
Signature	At I Muchel State	Date_	12/21/00
	David Michael Goldstein		•
	5283 Roxburghe Court, San Jose, California 95	5138, U	SA
	1 0 1/11		
Signature	2 Km Volch	Date_	12/22/2000
	Teresa Rosanne Welch	,	- +

1649 Belleville Way, Sunnyvale, California 94087, USA

Page 2 of 2 Document No.111964v1 Case No. R0076C-REG

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California)
County of Santa Clara	ss.
and of the same	·
On <u>12/21/00</u> , before me,	Christina G. Myers, Notary Public . Name and Title of Office (e.g., "Jane Doe, Notary Public")
personally appeared <u>James Patrick</u>	Dunn and David Michael Goldstein,
	fxpersonally known to me ☐ proved to me on the basis of satisfactory evidence
	to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed
	the same in his/her/their authorized capacity(ies), and that by his/her/their
CHRISTINA G. MYERS	signature(s) on the instrument the person(s), or
1 27 1 1 1 1 27 435	the entity upon behalf of which the person(s)
Notary Public — California Sonta Clara County	acted, executed the instrument.
My Comm. Expires Feb 27, 2001	
	WITNESS my <u>h</u> and and official seal.
	N. T. Mayor
Plane Made of Food About	Signature of Notary Public
Piace Notary Seal Above	Sugniture of Notes y Costs
	OPTIONAL ————
Though the information below is not required by	y law, it may prove valuable to persons relying on the document of and reattachment of this form to another document.
Description of Attached Document	
	nment I for USSN 09/693,364
(Attorney Docket No. R0076C-R	
Document Date:	Number of Pages:
Signer(s) Other Than Named Above:	
Capacity(ies) Claimed by Signer	
Signer's Name:	RIGHT THUMBPRINT
Individual	OF SIGNER
☐ Corporate Officer Title(s):	lop of thumb here
Partner — ⊟ Limited ⊟ General	
∐ Trustee	
U Guardian or Conservator	
[] Other:	
Si	
Signer Is Representing:	

© 1997 National Notary Association • 9350 Do Soto Ave., P.O. Box 2402 • Chatsworth, CA 91313-2409

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California	
County ofSanta Clara	s s.
	•
On <u>12/22/00</u> , before me, <u>C</u>	Christina G. Myers, Notary Public,
personally appeared <u>Teresa</u> Rosam	ne Welch Name(s) of Signer(s)
	Personally known to me I proved to me on the basis of satisfactory evidence
	to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized
	capacity(ies), and that by his/her/their
CHRISTINA G. MYERS Commission # 1127435 Notory Public — California Sonto Clora County	signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, exocuted the instrument.
My Comm. Expires Feb 27, 2001	WITNESS my hand and official seal.
	Throstona J. Myw
Place Notary Seal Above	signature of Notally Public
o	PPTIONAL —————
Though the information balow is not required by a and could prevent fraudulent removal	law, it may prove valuable to persons relying on the document and reattachment of this form to another document.
Description of Attached Document Title or Type of Document:assignment. ;	T for USSN 09/693,364 (R0076C-Reg)
Document Date:	Number of Pages:
Signer(s) Other Than Named Above:	
Capacity(ies) Claimed by Signer Signer's Namo:	RIGHT THUMBPRINT
Individual	OF SIGNER Top of thumb here
i.) Corporate Officer — Title(s):	
Partner — Limited General	
□ Attorney in Fact □ Trustee	
⊟ Trustee ⊟ Guardian or Conservator	
Other:	
Signer Is Representing:	

RECORDED: 01/11/2001

PATENT REEL: 011237 FRAME: 0055