

Form PTO-1595  
1-3-92

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name and address of conveying party(ies):  
 1. James Patrick Dunn  
 2. Lawrence Emerson Fisher  
 3. David Michael Goldstein  
 4. Teresa Rosanne Welch

2. Name and address of receiving party(ies):  
  
 SYNTEX (U.S.A.) LLC  
 3401 HILLVIEW AVENUE  
 PALO ALTO, CALIFORNIA 94304

3. Nature of conveyance:  ASSIGNMENT  
  
 Execution Date of Assignment:  
  
 (1) 21-Dec-00; (2) 22-Dec-00; (3) 21-Dec-00; (4) 22-Dec-00

4. Application number(s) or patent number(s):  
 A. Patent Application No.(s)  
**U.S. Serial No. 09/693,337**

**Attorney Docket No. R0074C-REG**  
 B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:  
  
**Name:** ROHAN PERIES  
  
**Address:** ROCHE BIOSCIENCE  
 PATENT LAW DEPARTMENT M/S A2-250  
 3401 HILLVIEW AVE  
 PALO ALTO, CALIFORNIA 94304

6. Total number of applications and patents involved:  
  
ONE

7. Total fee (37 CFR 3.41)..... \$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account

8. Deposit Account Number: 18-1700

**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Rohan Peries Req. 35,752

Name of Person Signing

Signature

Date

*[Handwritten Signature]* 1-11-01

Total number of pages including cover sheet, attachments and document: 5

ASSIGNMENT

WHEREAS, We, James Patrick Dunn, Lawrence Emerson Fisher, David Michael Goldstein and Teresa Rosanne Welch ("Assignors"), having the respective addresses indicated below, have invented certain subject matter entitled

**"Heteroalkylamino-Substituted Bicyclic Nitrogen Heterocycles"**

(the "Invention") for which We have executed patent application U.S. Serial No. 09/693,337, filed October 20, 2000, for Letters Patent of the United States of America (the "Application").

AND WHEREAS, Syntex (U.S.A.) LLC., a corporation of Delaware, having an address at 3401 Hillview Avenue, Palo Alto, California 94304 ("Syntex"), desires to perfect its right to the Invention and in the Letters Patent(s) to be obtained therefor from the United States of America and all countries foreign thereto;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors acknowledge Syntex's interest in the Invention and hereby assign to Syntex for the United States of America and all countries foreign thereto all our rights, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in the Invention, as described and claimed in patent application U.S. Serial No. 09/693,337, or in any subsequent patent application(s) filed under 35 U.S.C. §111(a), claiming benefit of said Application under 35 U.S.C. §119(e), or 35 U.S.C. §120, or in any continuation, continuation-in-part, continued prosecution application, divisional, substitute, reissue, reexamination or extension thereof and any legal equivalent thereof in a country foreign to the United States of America; the Invention, application and Letters Patent(s) to be owned by Syntex, its successors, assigns and legal representatives, to the full end of the term for which such Letters Patent(s) may be granted.

Assignors hereby covenant that they have the full right to convey the entire interest assigned herein and have not executed and will not execute any agreement in conflict herewith.

Assignors further covenant to execute and deliver upon request and without undue delay, to Syntex, its successors, assigns and legal representatives, all lawful documents necessary or desirable to effect this assignment and perfect the title to the Invention, Application and Letters Patent(s) and all foreign patents and applications claiming priority thereto,


Assignors further covenant to cooperate and participate in any administrative or legal proceeding and execute all lawful documents necessary or desirable for Syntex, its successors, assigns and legal representatives to obtain, maintain and enforce patent protection in the Invention in all countries, provided that Syntex reimburses Assignors for any expenses incurred therewith by Assignors.

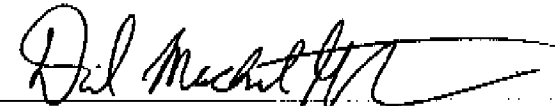
ASSIGNMENT


This assignment is effective as of the earlier of the date of execution of this document or the filing date accorded by the United States Patent and Trademark Office to patent application U.S. Serial No. 09/693,337, as indicated in the Filing Receipt for said Application.

I have read and understand this Assignment document for said Application, and agree hereto, as indicated by my signature as set forth below.

Signature  Date 12/21/00  
 James Patrick Dunn  
 270 Portola Court, Los Altos, California 94022

Signature  Date 12/22/00  
 Lawrence Emerson Fisher  
 1036 Marilyn Drive, Mountain View, California 94040

Signature  Date 12/21/00  
 David Michael Goldstein  
 5283 Roxburghe Court, San Jose, California 95138

Signature  Date 12/22/2000  
 Teresa Rosanne Welch  
 1649 Belleville Way, Sunnyvale, California 94087

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California }  
County of Santa Clara } ss.

On 12/21/00, before me, Christina G. Myers, Notary Public,  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")  
personally appeared James Patrick Dunn and David Michael Goldstein,  
Name(s) of Signer(s)

personally known to me  
 proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

WITNESS my hand and official seal.

*Christina G. Myers*  
Signature of Notary Public

**OPTIONAL**

*Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.*

**Description of Attached Document**

Title or Type of Document: Assignment 1 for USSN 09/693,337 (R0074C-Reg)

Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

**Capacity(ies) Claimed by Signer**

Signer's Name: \_\_\_\_\_

Individual

- Corporate Officer - Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

Signer Is Representing: self

**RIGHT THUMBPRINT OF SIGNER**

Top of thumb here

### CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California }  
County of Santa Clara } ss.

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(Date) Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Lawrence Emerson Fisher & Teresa Rosanne Welch,  
Name(s) of Signer(s)

- personally known to me
- proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



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Signature of Notary Public

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#### Description of Attached Document

Title or Type of Document: Assignment I for USSN 09/693,337 (R0074C-Reg)

Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

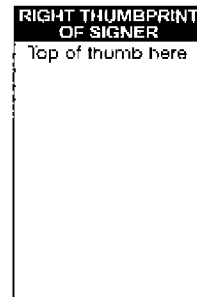
Signer(s) Other Than Named Above: \_\_\_\_\_

#### Capacity(ies) Claimed by Signer

Signer's Name: \_\_\_\_\_

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

Signer Is Representing: self



ASSIGNMENT

WHEREAS, We, James Patrick Dunn, David Michael Goldstein, and Teresa Rosanne Welch, ("Assignors") having the respective addresses indicated below, have invented certain subject matter entitled

**"Alkylamino-Substituted Bicyclic Nitrogen Heterocycles"**

(the "Invention"), described in patent application U.S. Serial No. 09/693,364, filed October 20, 2000, for Letters Patent of the United States of America (the "Application").

AND WHEREAS, Syntex (U.S.A.) LLC., a corporation of Delaware, having an address at 3401 Hillview Avenue, Palo Alto, California 94304 ("Syntex"), desires to perfect its right to the Invention and in the Letters Patent(s) to be obtained therefor from the United States of America and all countries foreign thereto;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors acknowledge Syntex's interest in the Invention and hereby assign to Syntex for the United States of America and all countries foreign thereto all our rights, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in the Invention, as described and claimed in patent application U.S. Serial No. 09/693,364, or in any subsequent patent application(s) filed under 35 U.S.C. §111(a), claiming benefit of said Application under 35 U.S.C. §119(e), or 35 U.S.C. §120, or in any continuation, continuation-in-part, continued prosecution application, divisional, substitute, reissue, reexamination or extension thereof and any legal equivalent thereof in a country foreign to the United States of America; the Invention, application and Letters Patent(s) to be owned by Syntex, its successors, assigns and legal representatives, to the full end of the term for which such Letters Patent(s) may be granted.

Assignors hereby covenant that they have the full right to convey the entire interest assigned herein and have not executed and will not execute any agreement in conflict herewith.


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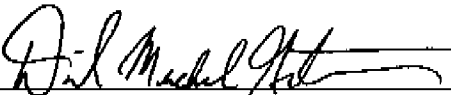
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I have read and understand this Assignment document for said Application, and agree hereto, as indicated by my signature as set forth below.

Signature  Date 12/21/00  
James Patrick Dunn  
270 Portola Court, Los Altos, California 94022, USA

Signature  Date 12/21/00  
David Michael Goldstein  
5283 Roxburghe Court, San Jose, California 95138, USA

Signature  Date 12/22/2000  
Teresa Rosanne Welch  
1649 Belleville Way, Sunnyvale, California 94087, USA

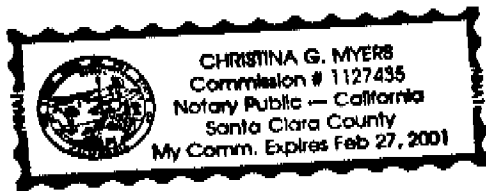
**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California }  
County of Santa Clara } ss.

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Name(s) of Signer(s)

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 proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

WITNESS my hand and official seal.

*Christina G. Myers*  
Signature of Notary Public

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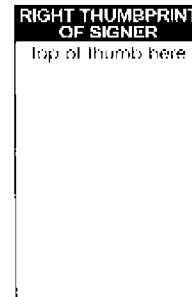
Title or Type of Document: assignment I for USSN 09/693,364  
(Attorney Docket No. R0076C-Reg)  
Document Date: \_\_\_\_\_ Number of Pages: 2

Signer(s) Other Than Named Above: \_\_\_\_\_

**Capacity(ies) Claimed by Signer**

- Signer's Name: \_\_\_\_\_
- Individual
  - Corporate Officer Title(s): \_\_\_\_\_
  - Partner —  Limited  General
  - Attorney in Fact
  - Trustee
  - Guardian or Conservator
  - Other: \_\_\_\_\_

Signer Is Representing: self





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Signer Is Representing: self

