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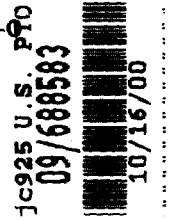
11-17-2000



101518897

PATENT

Docket No.: U 013013-PTO



Box Patent Application
Commissioner of Patents
and Trademarks
Washington, D.C. 20231

COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37 CFR 3.31)

IDENTIFICATION OF APPLICATION (37 CFR 3.21
and 37 CFR 3.31(a)4))

1. The patent application filed herewith and to which the attached assignment (document) refers is identified as follows:

- a. Date of execution: SEPTEMBER 21, 2000.
- b. Name of each inventor:
CLIVE LIONEL MICHAEL IRELAND
- c. Title of invention: SOLID-STATE LASER

NOTE: "If an assignment is executed concurrently with, or subsequent to the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended." 37 CFR 3.21.

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying New Patent Application", along with any document referred to, is being deposited with the United States Postal Service on this date OCTOBER 16, 2000 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL699732098US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231

CONNIE YANNOTTI

(type or print name of person mailing paper)

Connie Yannotti
(Signature of person mailing paper)

10/16/2000 MTHALL 00000247 09688583

FC:581

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WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 1 of 4)

EXPRESS MAIL LABEL
NO.: EL699732036US

PATENT
REEL: 011237 FRAME: 0956

Name of Party(ies) Conveying Interest (37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

CLIVE LIONEL MICHAEL IRELAND

Name and Address of Party(ies) Receiving Interest (37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Name: ADVANCED OPTICAL TECHNOLOGY LTD.

Address: 18 REPTON COURT,
REPTON CLOSE, BASILDON,
ESSEX 2213 1LN, UNITED KINGDOM

Description of Interest Conveyed or Transaction Recorded (37 CFR 3.31(a)(3))

4. The accompanying document intends to accomplish:

- an assignment.
- a security agreement.
- a license.
- a merger.
- a change of name.
- a change of address.
- other.

Name and Address of Party to Whom Correspondence Should be Mailed (37 CFR 3.31(a)(5))

5. Please address correspondence to:

Name: William R. Evans

Address: Ladas & Parry
26 West 61 Street
New York, NY 10023

Telephone No.: (212) 708-1930

Date Assignment (Document) Executed (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on SEPTEMBER 21, 2000.

Language of Assignment (Document) to be Recorded

NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26

The attached document:

- is in the English language
- is not in the English language and a verified English translation signed by the individual making the translation is attached.

Original Document or True Copy Submitted

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.

7. Submitted herewith is:

- the original document.
- a true copy of the original document.

NOTE: "If the original[assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. §3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

Number of Applications Identified in this Cover Sheet and the Fee (37 CFR 3.31(a)(6))

8. A. This cover sheet identifies only one application:
- B. The fee for recordal (37 CFR 1.21(h)) is \$40.00
- Attached is a check for \$40.00
- Please charge Account 12-0425 \$40.00.
A duplicate of this cover sheet is attached.

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 3 of 4)

Statement (37 CFR 3.31(a)(9)) and Signature (37 CFR 3.31(a)(10))

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: OCTOBER 16, 2000

(Name of party submitting document)

(Signature of party submitting document)

(Complete the following if the party submitting the document is applicant's attorney)



(Signature of attorney)

Reg. No. 25,858

Tel. No. (212) 708-1930

WILLIAM R. EVANS
LADAS & PARRY
26 WEST 61ST STREET
NEW YORK, NEW YORK 10023

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

Number of pages submitted 7

For: U.S. and/or Foreign Rights
 For: U.S. Application or
 U.S. Provisional Application
 For: U.S. Patent
 For: PCT Application
 By: Inventor(s) or Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Inventor(s) or person(s) or entity(ies)
who own the invention

Clive Lionel Michael IRELAND

(type or print name(s) of ASSIGNOR(S))
88 HILMORTON ROAD, RUGBY,

Address
WARWICKSHIRE CV22 5AH, UNITED KINGDOM

BRITISH
Nationality

*(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)*

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

ADVANCED OPTICAL TECHNOLOGY LTD.

(type or print name(s) of ASSIGNEE(S))

18 REPTON COURT, REPTON CLOSE, BASILDON,

Address
ESSEX SS13 1LN, UNITED KINGDOM

BRITISH
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- the entire right, title and interest
- an undivided _____ percent (_____ %) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:
SOLID-STATE LASER

Name of inventor(s) Clive Lionel Michael IRELAND

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. § 3.21)

- (a) U.S. patent application executed on even date herewith
- (b) U.S. patent application executed on _____
- (c) U.S. provisional application naming the above inventor(s) for the above-entitled invention.
 Express mail label no.: _____
Mailed: _____
- To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
- (d) U.S. application no. _____ / _____ filed on _____
- (e) International application no. PCT / _____ / _____ filed on _____
- (f) U.S. patent no. _____ issued _____
 A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may


be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/~~WE~~ have hereunto set hand and seal this 21st day of

Date of signing September 2000

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date: 21st September 2000



Signature of ASSIGNOR(S)
CLIVE LIONEL MICHAEL IRELAND

Date: _____

Date: _____

Date: _____

(if ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

[] Notarization or Legalization Page Added.

(Assignment of Invention—page 3 of 3) 16-3