

11-17-2000

Docket No.: 0953,072

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
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P08A/REV02

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



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Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

**Kwok Pong Chan**  
**David G. Gascoyne**  
**Janet L. Gordon**  
**Gregory A. Wagoner**

## 2. Name and address of receiving party(ies):

Name: **Molecular OptoElectronics Corporation**Address: **877 25th Street**Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

City: **Watervliet** State/Prov.: **NY**Country: **USA** ZIP: **12189**Execution Date: **September 11, 2000**Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No.

Filing date

B. Patent No.(s)

**09/628,887****July 31, 2000**

10/18/2000 HNDORI 00000056 09628887

04 FC:581

40.00 OP

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Martha L. Boden**Registration No. **39,115**Address: **Heslin & Rothenberg, P.C.****5 Columbia Circle**City: **Albany** State/Prov.: **NY**Country: **USA** ZIP: **12203**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41):.....\$ **40.00**☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

## 8. Deposit account number:

**08-1935**

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Martha L. Boden**

Name of Person Signing

Signature

**October 9, 2000**

Date

Total number of pages including cover sheet, attachments, and document: **5**

**PATENT**  
**REEL: 011239 FRAME: 0229**

## ASSIGNMENT

WHEREAS, We, **Kwok Pong Chan**, a citizen of Hong Kong, residing at 34 Riding Club Road, Troy, New York 12180; **David G. Gascoyne**, a citizen of the United States of America, residing at 1487 Lexington Avenue, Schenectady, New York 12309; **Janet L. Gordon**, a citizen of the United States of America, residing at 7 Crescent Village, Apt. 708, Clifton Park, New York 12065; and **Gregory A. Wagoner**, a citizen of the United States of America, residing at 1107 A 24<sup>th</sup> Street, Watervliet, New York 12189 have invented certain new and useful improvements in **DYE-APPENDED POLYMERS FOR BROADBAND FIBER OPTIC DEVICES**, which are the subject of United States Patent Application Serial No. 09/628,887, filed July 31, 2000; and

WHEREAS, **Molecular OptoElectronics Corporation** having offices at 877 25<sup>th</sup> Street, Watervliet, New York 12189 is desirous of obtaining the entire right, title and interest in, to and under said improvements and said application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, We, said **Kwok Pong Chan**, **David G. Gascoyne**, **Janet L. Gordon**, and **Gregory A. Wagoner**, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto said **Molecular OptoElectronics Corporation**, its successors, legal representatives and assigns, the entire right, title and interest in, to and under said improvements, and said application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to said **Molecular OptoElectronics Corporation**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that We will communicate to said **Molecular OptoElectronics Corporation**, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said **Molecular OptoElectronics Corporation**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

11 day of September, 2000.

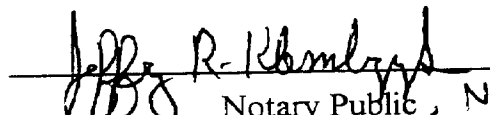
  
Kwok Pong Chan

STATE OF NEW YORK )

) ss.:

COUNTY OF Albany )

On this 11 day of September, 2000, before me personally came Kwok Pong Chan to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

  
Notary Public, NY, Albany County  
Jeffrey R. Klemboczky  
My Commission Expires Sept. 5, 2002

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IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

11 day of September, 2000.

David G. Gascoyne

David G. Gascoyne

STATE OF NEW YORK )

) ss.:

COUNTY OF Albany )

On this 11 day of September, 2000, before me personally came David G. Gascoyne to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Jeffrey R. Klembczyk

Notary Public, NY, Albany County

Jeffrey R. Klembczyk

My commission expires Sept. 5, 2002

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

11 day of September, 2000.

Janet L. Gordon

Janet L. Gordon

STATE OF NEW YORK )

) ss.:

COUNTY OF Albany )

On this 11 day of September, 2000, before me personally came Janet L. Gordon to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Jeffrey R. Klembczyk

Notary Public, NY, Albany County

Jeffrey R. Klembczyk

My commission expires Sept. 5, 2002

3/4

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

11 day of SEPTEMBER 2000.

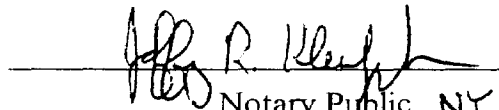
  
\_\_\_\_\_  
Gregory A. Wagoner

STATE OF NEW YORK )

) ss.:

COUNTY OF Albany )

On this 11 day of September, 2000, before me personally came Gregory A. Wagoner to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

  
\_\_\_\_\_  
Notary Public, NY, Albany County  
Jeffrey R. Klembczyk  
My commission expires Sept. 5, 2002