

11-20-2000

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

11.6.00

Docket No. GC593

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Wade Dyson, James T. Kellis, Jr. Ayrookaran J. Poulouse,
Mee-Young YoonAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: GENENCOR INTERNATIONAL, INC.

Address: 200 Meridian Centre Blvd.
Rochester, NY 14618

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: January 31, 2000 and October 6, 2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 09/435,461

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Christopher L. Stone

Address: GENENCOR INTERNATIONAL, INC.
925 Page Mill Road
Palo Alto, California 94304-1013

6. Total number of applications and patents involved: 1

7. Total fee (34 CFR 3.41) \$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit Account number: 07-1048
(Attach duplicate copy of this page if paying by deposit account.)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Christopher L. Stone
Name of Person Signing
SignatureOctober 30, 2000
Date

Total number of pages comprising cover sheet: 5

Assignment

WHEREAS, **Wade Dyson**, residing at 394 Grandhill Road, Mocksville, North Carolina 27028, **James T. Kellis, Jr.**, residing at 111 Tan Oak Drive, Portola Valley, CA 94028, **Ayrookaran J. Poulouse**, residing at 2848 Wakefield Drive, Belmont, CA 94002, and **Mee-Young Yoon**, have invented a new and useful invention in **ENZYMES USEFUL FOR CHANGING THE PROPERTIES OF POLYESTER** and an application for United States Letters Patent was filed on November 5, 1999, 09/435,461;

WHEREAS, **Genencor International, Inc.**, a corporation organized and existing under and by virtue of the laws of the State of Delaware, having its principal place of business at 200 Meridian Centre Blvd., Rochester, New York, is desirous of acquiring an interest in and to said invention, and in the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern:

That for good and valuable consideration the receipt of which is hereby acknowledged, the said inventors have and do hereby sell, assign, transfer and set over unto the said Genencor International, Inc., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but for, to and in all other countries, and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said Genencor International, Inc. in accordance with this Assignment.

Said Inventors hereby covenant and agree to cooperate with Genencor International, Inc. to enable said Genencor International, Inc. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers and other assistance, all to the extent deemed necessary or desirable by said Genencor International, Inc. for (a) perfecting the right, title and interest herein conveyed, (b) prosecuting any of said applications, (c) filing and prosecuting applications for reissuance of any said patents, (d) interference or other priority proceedings involving said invention, and (e) legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions, provided, however, that the expense

incurred by said Inventors in providing such cooperation shall be paid for by said Genencor International, Inc.

The terms and covenants of this Assignment shall inure to the benefit of said Genencor International, Inc., its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

Said Inventors hereby warrant and represent that they have not entered and will not enter into any assignment, contract or understanding in conflict herewith.

IN WITNESS WHEREOF I undersign as follows:

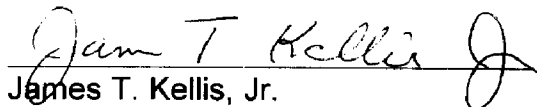
Wade Dyson

STATE OF)
COUNTY OF)

On _____ before me, _____, Notary Public, personally appeared, Wade Dyson, proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal.

Kay L. Gaviglio

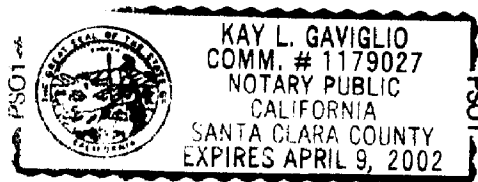

James T. Kellis, Jr.

STATE OF CALIFORNIA)
COUNTY OF SANTA CLARA)

On January 31, 2000 before me, Kay L. Gaviglio, Notary Public, personally appeared, James T. Kellis, Jr. personally known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same in his authorized capacity for the purposes set forth therein.

Witness my hand and official seal.


Kay L. Gaviglio



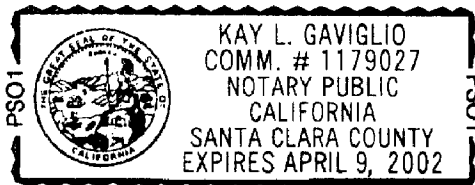
Ayrookaran J. Poulou
Ayrookaran J. Poulou

STATE OF CALIFORNIA)
COUNTY OF SANTA CLARA)

On January 31, 2000 before me, Kay L. Gaviglio, Notary Public, personally appeared Ayrookaran J. Poulou, personally known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same in his authorized capacity for the purposes set forth therein.

Witness my hand and official seal.

Kay L. Gaviglio
Kay L. Gaviglio



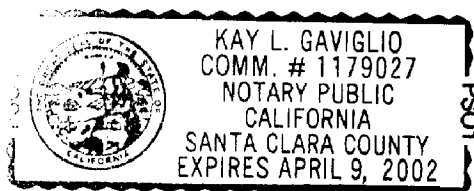
Mee-Young Yoon
Mee-Young Yoon

STATE OF CALIFORNIA)
COUNTY OF SANTA CLARA)

On January 31, 2000 before me, Kay L. Gaviglio, Notary Public, personally appeared Mee-Young Yoon, personally known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that she executed the same in her authorized capacity for the purposes set forth therein.

Witness my hand and official seal.

Kay L. Gaviglio
Kay L. Gaviglio



Assignment

WHEREAS, **Wade Dyson**, residing at 394 Grandhill Road, Mocksville, North Carolina 27028, **James T. Kellis, Jr.**, residing at 111 Tan Oak Drive, Portola Valley, CA 94028, **Ayrookaran J. Poulouse**, residing at 2848 Wakefield Drive, Belmont, CA 94002, and **Mee-Young Yoon**, have invented a new and useful invention in **ENZYMES USEFUL FOR CHANGING THE PROPERTIES OF POLYESTER** and an application for United States Letters Patent was filed on November 5, 1999, 09/435,461;

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NOW, THEREFORE, be it known by all whom it may concern:

That for good and valuable consideration the receipt of which is hereby acknowledged, the said inventors have and do hereby sell, assign, transfer and set over unto the said Genencor International, Inc., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but for, to and in all other countries, and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said Genencor International, Inc. in accordance with this Assignment.

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incurred by said Inventors in providing such cooperation shall be paid for by said Genencor International, Inc.

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Said Inventors hereby warrant and represent that they have not entered and will not enter into any assignment, contract or understanding in conflict herewith.

IN WITNESS WHEREOF I undersign as follows:

Wade H. Dyson, Jr.
Wade Dyson

STATE OF NORTH CAROLINA)
COUNTY OF DAVIE)

On 10-6-2000 before me, Pat Bowser, Notary Public, personally appeared, Wade Dyson, proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal.

Pat Bowser
Comm Exp 9-20-2005

James T. Kellis, Jr.

STATE OF CALIFORNIA)
COUNTY OF SANTA CLARA)

On _____ before me, Kay L. Gaviglio, Notary Public, personally appeared, James T. Kellis, Jr. personally known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same in his authorized capacity for the purposes set forth therein.

Witness my hand and official seal.

Kay L. Gaviglio