

11-20-2000

PCT Applicant



- US Annex US.VI, page 1

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FORM PTO-1595 (Rev. 6-93) OMB No. 0651-0011 (exp. 4/94)		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): <u>Newbridge Networks Corporation</u> Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No			2. Name and address of receiving party(ies) Name: <u>Alcatel Networks Corporation</u> Internal Address: <u>P.O. Box 13600</u> Street Address: <u>600 March Road</u> City: <u>Kanata</u> State: <u>ON</u> ZIP: <u>K2K 2E6</u> Additional name(s) & address(es) attached? ☐ Yes ☐ No		
3. Nature of conveyance: ☐ Assignment ☐ Merger ☐ Security Agreement ☒ Change of Name ☐ Other _____ Execution Date: <u>May 25, 2000</u>					
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) <u>09/013,021</u> B. Patent No.(s) _____ Additional numbers attached? ☐ Yes ☐ No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Marks & Clerk</u> Internal Address: <u>P.O. Box 957</u> <u>Station B</u> Street Address: <u>Suite 1380</u> <u>280 Slater Street</u> City: <u>OTTAWA</u> State: <u>ONT</u> ZIP: <u>K1P 1C2</u>			6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41).....\$ <u>40.00</u> ☒ Enclosed ☐ Authorized to be charged to deposit account 8. Deposit account number: <u>13-1717</u> (Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE					
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <u>George M. MacGregor</u> Name of Person Signing <u>[Signature]</u> Signature <u>12/16/2000</u> Date Total number of pages including cover sheet, attachments, and document: <u>1</u>					

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

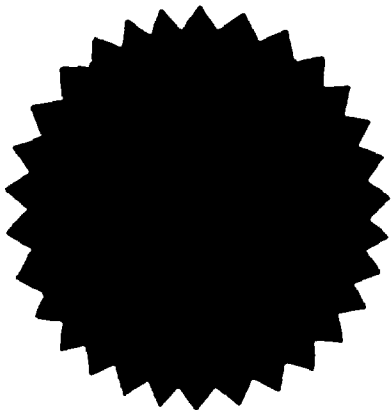
(July 1994)

PATENT
 REEL: 011241 FRAME: 0548

PROVINCE OF ONTARIO)
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TO WIT:)

I, ALEX J. KILGOUR, of the City of Ottawa, in the Province of Ontario, a notary public in and for the Province of Ontario by royal authority duly appointed, do certify that the document hereto annexed is a true photostatic copy of the document produced and shown to me and purporting to be the Certificate of Amendment for Alcatel Networks Corporation Societe Par Actions De Regime Federal De Reseaux Alcatel dated May 25, 2000 issued under the *Canada Business Corporations Act*, the said copy has been compared by me with the said original document, an act whereof being requested I have granted the same under my notarial form and seal of office to serve and avail as occasion shall or may require.

IN TESTIMONY WHEREOF I have hereunto set my hand and affixed my notarial seal at the City of Ottawa, this 21st day of June, 2000.




A Notary Public in and for the Province
of Ontario



Industry Canada
Corporations Directorate
9th floor
Jean Edmonds Towers South
365 Laurier Avenue West
Ottawa, Ontario K1A 0C8

Industrie Canada
Direction générale des Corporations
9e étage
Tour Jean Edmonds sud
365, avenue Laurier ouest
Ottawa (Ontario) K1A 0C8

May 25, 2000 / le 25 mai 2000

Your file - Votre référence

ORYSIA SEMOTIUK
STIKEMAN ELLIOTT
50 O'CONNOR STREET
SUITE 914
OTTAWA ONTARIO

Our file - Notre référence
376374-9

Re - Objet: Alcatel Networks Corporation

Societe Par Actions De Regime Federal De Reseaux Alcatel

Enclosed herewith is the document issued in the above matter.

Vous trouverez ci-inclus le document émis dans l'affaire précitée.

A notice of issuance of CBCA documents will be published in the *Canada Corporations Bulletin*.

Un avis de l'émission de documents en vertu de la LCSA sera publié dans le *Bulletin des sociétés canadiennes*.

IF A NAME OR CHANGE OF NAME IS INVOLVED, THE FOLLOWING CAUTION SHOULD BE OBSERVED:

S'IL EST QUESTION D'UNE DÉNOMINATION SOCIALE OU D'UN CHANGEMENT DE DÉNOMINATION SOCIALE, L'AVERTISSEMENT SUIVANT DOIT ÊTRE RESPECTÉ :

This name is available for use as a corporate name subject to and conditional upon the applicants assuming full responsibility for any risk of confusion with existing business names and trade marks (including those set out in the relevant NUANS search report(s)). Acceptance of such responsibility will comprise an obligation to change the name to a dissimilar one in the event that representations are made and established that confusion is likely to occur. The use of any name granted is subject to the laws of the jurisdiction where the company carries on business.

Cette dénomination sociale est disponible en autant que les requérants assument toute responsabilité de risque de confusion avec toutes dénominations commerciales et toutes marques de commerce existantes (y compris celles qui sont citées dans le(s) rapport(s) de recherches de NUANS pertinent(s)). Cette acceptation de responsabilité comprend l'obligation de changer la dénomination de la société en une dénomination différente advenant le cas où des représentations sont faites établissant qu'il y a une probabilité de confusion. L'utilisation de tout nom octroyé est sujette à toute loi de la juridiction où la société exploite son entreprise.

We trust this is to your satisfaction.

Nous espérons le tout à votre satisfaction.

Réal Fortin

Document Examination Unit / Groupe d'examen de documents

Tel.: (613) 941-5751

Fax.: (613) 941-5782

Email: corporations.efiling@ic.gc.ca

Internet: <http://strategis.ic.gc.ca/corporations>

Canada

**Certificate
of Amendment****Canada Business
Corporations Act****Certificat
de Modification****Loi canadienne sur
les sociétés par actions**

Alcatel Networks Corporation

Societe Par Actions De Regime Federal De Reseaux Alcatel

376374-9

Name of corporation-Dénomination de la société

Corporation number-Numéro de la société

I hereby certify that the articles of the
above-named corporation were amended:Je certifie que les statuts de la société
susmentionnée ont été modifiés:a) under section 13 of the *Canada
Business Corporations Act* in
accordance with the attached notice;a) en vertu de l'article 13 de la *Loi
canadienne sur les sociétés par
actions*, conformément à l'avis ci-joint;b) under section 27 of the *Canada
Business Corporations Act* as set out in
the attached articles of amendment
designating a series of shares:b) en vertu de l'article 27 de la *Loi
canadienne sur les sociétés par
actions*, tel qu'il est indiqué dans les
clauses modificatrices ci-jointes
désignant une série d'actions:c) under section 179 of the *Canada
Business Corporations Act* as set out in
the attached articles of amendment;c) en vertu de l'article 179 de la *Loi
canadienne sur les sociétés par
actions*, tel qu'il est indiqué dans les
clauses modificatrices ci-jointes:d) under section 191 of the *Canada
Business Corporations Act* as set out in
the attached articles of reorganization;d) en vertu de l'article 191 de la *Loi
canadienne sur les sociétés par
actions*, tel qu'il est indiqué dans les
clauses de réorganisation ci-jointes:

Director - Directeur

May 25, 2000 / le 25 mai 2000

Date of Amendment - Date de modification

Canada

CANADA BUSINESS CORPORATIONS ACT

FORM 4

ARTICLES OF AMENDMENT
(SECTION 27 OR 177)

1. Name of Corporation: NEWBRIDGE NETWORKS CORPORATION
SOCIETE PAR ACTIONS DE REGIME FEDERAL
DE RESEAUX NEWBRIDGE
2. Corporation No.: 376 374-9
3. The articles of the above-named corporation are amended as follows:

To change the name of the Corporation to Alcatel Networks Corporation Societe Par Actions De Regime
Federal De Reseaux Alcatel.

Date: May 25, 2000	Signature: 	Title: Corporate Secretary
		FOR DEPARTMENT USE ONLY: FILED: MAY 25 2000