

RECORD

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To the Honorable Commissioner of Patents and Trademarks

documents or copy thereof.

1. Name of conveying party(ies):

Salvatore Demartis; Adrian Huber; Francesca Viti;
Dario Neri

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: September 1, 1999

101522178

Name: **ETH Eidgenössische Technische Hochschule**
Zurich (Swiss Federal Institute of Technology,
Zurich)
Rämistrasse 101
8092- Zurich
Switzerland

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/142,355 (PCT/GB97/01153)

B. Patent No.(s)

I hereby certify that this paper and every
paper referred to therein as being enclosed
is being deposited with the U.S. Postal Service
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on October 13, 2000 (Date of Deposit)

Date 10/13/00 Name Paul F. Fehlner

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address:

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

04-0100

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul F. Fehlner, Ph.D.
Name of Person Signing

Paul F. Fehlner
Signature

September 22, 2000
Date

Total number of pages including cover sheet, attachments, and document:

6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT ASSIGNMENT

DATE: 1.9.99

PARTIES:

- (1) **SALVATORE DEMARTIS**
of Institut für Molekularbiologie und Biophysik, ETH-Hönggerberg, 8093-Zurich,
Switzerland
("DEMARTIS")
- (2) **ADRIAN HUBER**
of Institut für Molekularbiologie und Biophysik, ETH-Hönggerberg, 8093-Zurich,
Switzerland
("HUBER")
- (3) **FRANCESCA VITI**
of Institut für Molekularbiologie und Biophysik, ETH-Hönggerberg, 8093-Zurich,
Switzerland
("VITI")
- (4) **ETH EIDGENÖSSISCHE TECHNISCHE HOCHSCHULE ZÜRICH (Swiss Federal
Institute of Technology, Zurich)**
Rämistrasse 101, 8092-Zurich, Switzerland
("ASSIGNEE")

RECITALS:

- A. DEMARTIS, HUBER AND VITI (together called the "ASSIGNORS") are named as inventors in respect of the invention (the "INVENTION") the subject of the application particularised in the Schedule to this Assignment (the "APPLICATION").
- B. The ASSIGNORS' contribution to the INVENTION was made by the ASSIGNORS for the ASSIGNEE during the course of the ASSIGNORS' normal duties as employees of the ASSIGNEE and in circumstances such that the ASSIGNEE has at all relevant times been beneficially entitled to all the ASSIGNORS' rights in the INVENTION including the ASSIGNORS' rights in the APPLICATION
- C. The parties have agreed that all the ASSIGNORS' rights in the INVENTION (including the APPLICATION) shall be assigned to the ASSIGNEE on the terms contained in this Assignment

OPERATIVE TERMS:

In consideration of the sum of £3 (three pounds sterling) paid by the ASSIGNEE to the ASSIGNORS (the receipt and sufficiency of which is hereby acknowledged) IT IS AGREED as follows:-

1. Assignment

The ASSIGNORS hereby assign with full title guarantee to the ASSIGNEE absolutely and free from all liens charges licences and encumbrances and the ASSIGNEE hereby accepts

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aggregate amount or value of the consideration exceeds £60,000.

IN WITNESS of which the parties have executed and delivered this Assignment as a deed on the date shown at the beginning of this Assignment.

SCHEDULE

PCT/GB97/01153 (publication no. WO97/40141) of 25th April 1997 relating to "Isolation of Enzymes".

EXECUTED by SALVATORE DEMARTIS

Signature: *Salvatore Demartis*

In the presence of a witness:

witness signature: *E. Steinbock*

witness name: *Pia Steinbock*

witness address: *Institut für Molekularbiologie und Biophysik, ETH-Hönggerberg, 8093 Zürich*

EXECUTED by ADRIAN HUBER

Signature: *Adrian Huber*

In the presence of a witness:

witness signature: *E. Steinbock*

witness name: *Pia Steinbock*

witness address: *Institut für Molekularbiologie und Biophysik, ETH-Hönggerberg, 8093 Zürich*

EXECUTED by FRANCESCA VITI

Signature: *Francesca Viti*

In the presence of a witness:

witness signature: *E. Steinbock*

witness name: *Pia Steinbock*

witness address: *Institut für Molekularbiologie und Biophysik, ETH-Hönggerberg, 8093 Zürich*

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EXECUTED by ETH EIDGENÖSSISCHE TECHNISCHE HOCHSCHULE

acting by

Full name: *Regula Altmann-Jöhl* Dr. R. Altmann-Jöhl
ETH Transfer
Ramistrasse 101
CH-8092 Zürich

Title: *Dr.*

Duly authorised to execute on behalf of ETH EIDGENÖSSISCHE TECHNISCHE HOCHSCHULE

Signature: *R. Altmann-Jöhl*

In the presence of a witness

witness signature:

witness name:

witness address:

[Signature]
Dr. G. Steiner
ETH Transfer
Ramistrasse 101
CH-8092 Zürich

PATENT ASSIGNMENT

DATE: 1 Sept. 99

PARTIES:

- (1) **DARIO NERI**
of Institut für Molekularbiologie und Biophysik, ETH-Hönggerberg, 8093-Zurich,
Switzerland
("ASSIGNOR")
- (2) **ETH EIDGENÖSSISCHE TECHNISCHE HOCHSCHULE ZÜRICH** (Swiss Federal
Institute of Technology, Zurich)
Rämistrasse 101, 8092-Zurich, Switzerland
("ASSIGNEE")

RECITALS:

- A. The ASSIGNOR is named as one of the inventors in respect of the invention (the "INVENTION") the subject of the application particularised in the Schedule to this Assignment (the "APPLICATION").
- B. The ASSIGNOR'S contribution to the INVENTION was made in part for the Medical Research Council during the ASSIGNOR'S normal duties as an employee of the Medical Research Council and (after ceasing to be employed by the Medical Research Council) in part by the ASSIGNOR for the ASSIGNEE during the course of the ASSIGNOR'S normal duties as an employee of the ASSIGNEE.
- C. That part of the INVENTION made by the ASSIGNOR for the ASSIGNEE was made in circumstances such that the ASSIGNEE has at all relevant times been beneficially entitled to the ASSIGNOR'S rights in that part of the INVENTION including the ASSIGNOR'S rights in the APPLICATION.
- D. The parties have agreed that all the ASSIGNOR'S rights in the INVENTION (including the APPLICATION) made by the ASSIGNOR for the ASSIGNEE shall be assigned to the ASSIGNEE on the terms contained in this Assignment.

OPERATIVE TERMS:

In consideration of the sum of £1 (one pound sterling) paid by the ASSIGNEE to the ASSIGNOR (the receipt and sufficiency of which is hereby acknowledged) IT IS AGREED as follows:-

1. Assignment
The ASSIGNOR hereby assigns with full title guarantee to the ASSIGNEE absolutely and free from all liens charges licences and encumbrances and the ASSIGNEE hereby accepts from the ASSIGNOR all the ASSIGNOR'S property right title and interest anywhere in the world in and to that part of the INVENTION made by the ASSIGNOR for the ASSIGNEE including without limitation:

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shown at the beginning of this Assignment.


SCHEDULE

PCT/GB97/01153 (publication no. WO97/40141) of 25th April 1997 relating to "Isolation of Enzymes".

EXECUTED by DARIO NERI

Signature: 

In the presence of a witness:

witness signature: 

witness name: *Pia Steinbock*

witness address: *Institut für Molekularbiologie und Biophysik, ETH-Hönggerberg, 8093 Zürich*


EXECUTED by ETH EIDGENÖSSISCHE TECHNISCHE HOCHSCHULE

acting by

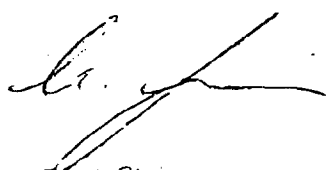
Full name: Dr. R. Altmann-Johi
ETH Transter
Ramistrasse 101
CH-8092 Zürich

Title:

Duly authorised to execute on behalf of ETH EIDGENÖSSISCHE TECHNISCHE HOCHSCHULE

Signature: 

In the presence of a witness

witness signature: 

witness name:

witness address: Dr. U. Steiner
ETH Transter
Ramistrasse 101
CH-8092 Zürich