

11-22-2000



101524868

Docket No.: 0112780-016

HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

10-13-00

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Teiichirou Chiba
Ryusuke Komura
Akira Mori

2. Name and address of receiving party(ies):

Name: **Komatsu Limited**

Internal Address: **2-3-6, Akasaka, Minato-ku**

Tokyo, Japan

Additional names(s) of conveying party(ies) ☐ Yes ☐ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Street Address:

City: State: ZIP:

Execution Date: **October 5, 2000**

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **10-5-2000**

A. Patent Application No.(s)

B. Patent No.(s)

Docket No.
0112780-016

09687995

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Bell, Boyd & Lloyd LLC**

Internal Address: **P.O. Box 1135**

Chicago, IL 60690-1135

Street Address: **70 West Madison St., Suite 3300**

City: **Chicago** State: **IL** ZIP: **60602**

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael S. Leonard (Reg. No. 37,557)

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

2

ASSIGNMENT

FOR and in consideration of One Dollar (\$1.00) to us in hand paid and other valuable considerations, the receipt and sufficiency whereof are hereby acknowledged and confessed, I (we),

- (1) Teiichirou CHIBA
- (2) Ryusuke KOMURA
- (3) Akira MORI

residing at (1) 1200, Manda, Hiratsuka-shi, Kanagawa-ken, Japan
(2) 1200, Manda, Hiratsuka-shi, Kanagawa-ken, Japan
(3) 1200, Manda, Hiratsuka-shi, Kanagawa-ken, Japan

hereby sell, assign, transfer and set over unto KOMATSU LIMITED

_____, a corporation of Japan, having an

office and place of business at 2-3-6, Akasaka, Minato-ku, Tokyo, Japan

Japan, the entire right, title and interest in and to my invention or improvement in

SEMICONDUCTOR BASE MATERIAL HAVING FINE DOT MARK

prepared by the firm of Bell, Boyd & Lloyd LLC of Chicago, Illinois, and executed of even date herewith, said application being identified in the office records of said firm as Case No. _____; and in and to the United States Letters Patent therefor, when issued together with all improvements thereon and betterments thereof, all divisions, continuations and reissues thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said inventions in any and all foreign countries and the right to claim priority as to the filing date under the International Convention on the basis for the aforesaid application for United States Letters Patent; and we hereby authorize and direct the Commissioner of Patents to issue the patent for said inventions, when granted, in accordance with this Assignment and sale.

For the same considerations, we hereby agree that we will promptly communicate to the aforesaid assignee or its assigns full and complete information concerning improvements or betterments of the inventions disclosed in said application, and we will cooperate at any time upon request of said assignee or its assigns, at its or their expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned, including the execution of new, divisional, continuing and reissue applications; we will make all rightful oaths, will testify in any proceedings in the United States Patent Office or in the Courts, and generally will do everything lawfully possible to aid said assignee, its successors, assigns, and nominees to obtain, enjoy and enforce proper patent protection for the inventions embraced within the terms of this document.

Date <u>October 5, 2000</u>	<u>Teiichirou Chiba</u> Teiichirou CHIBA	Date <u>October 5, 2000</u>	<u>Ryusuke Komura</u> Ryusuke KOMURA
Date <u>October 5, 2000</u>	<u>Akira Mori</u> Akira MORI	Date _____	_____
Date _____	_____	Date _____	_____

WITNESS:

WITNESS:

Eiji Sunaka
Eiji SUNAKA
