

11-20-2000

FORM PTO-1595



U.S. DEPARTMENT OF COMMERCE
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101561134

Attorney Docket No. B96-006-3

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1. Jasper D. Rine
- 2. Randolph Hampton

MWD
7.3.00

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name

Other: _____

Execution Date: December 30, 1996 and January 11, 1997

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

Address: 1111 Franklin St., 12th Floor
Oakland CA 94607-5200

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s): 09/229,059, filed on January 11, 1999

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/229,059, filed on January 11, 1999

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard Aron Osman, Ph.D.

Address: Science & Technology Law Group
75 Denise Drive
Hillsborough, CA 94010

6. Total number of applications and patents involved: 1 _____

7. Total fee (37 CFR 3.41): \$40.00

Enclosed

Authorized to be charged to deposit account, if necessary

8. Deposit account number:

19-0750
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Rebecca Graff
Name of Person Signing

Rebecca Graff
Signature

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PATENT
REEL: 011256 FRAME: 0761

ASSIGNMENT

U.C. Case No. B96-006

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Jasper D. Rine
- 2. Randolph Hampton
- 3. _____
- 4. _____

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide offices located at 300 Lakeside Drive, 22nd Floor, Oakland, CA 94612-3550, and the successors, assigns and legal representatives of ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

GENES AND PROTEINS CONTROLLING CHOLESTEROL SYNTHESIS

and which is found in

- (a) _____ U.S. patent application executed on _____, entitled as above and listing the above named persons as inventors
- (b) X U.S. application serial no. 08/699,103, filed on August 16, 1996
- (c) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letter Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commission of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this
30th day of December, 1996
11 day of January, 1997

Signature of Inventors
Jasper D. Rine
Randolph Hampton

PEMP-68205.1