

11-24-2000

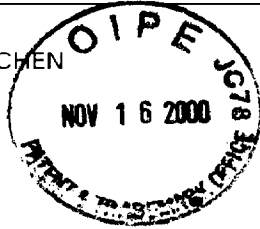
U.S. Department of Commerce
Patent and Trademark Office

101526092

To the Commissioner for Patents. Please record the attached original documents or copies thereof.

1. Name of Conveying Party(ies):

Chin Chen CHEN

☐ Additional names of conveying parties attached.

2. Name and Address of Receiving Party(ies):

Name: **Chin Chen CHEN (50%)**
Tse Huang KUO (50%)

Internal Address:

Street Address: (1) No. 7, Alley 5, Lane 3, Ryh
Shin Rd.
(2) No. 57, Li Gen 1 St.City, State, Zip: (1) Doug Shan, Yi Lan County,
Taiwan, R.O.C.
(2) Che Du District, Keelung City,
Taiwan, R.O.C.☐ Additional name(s) and address(es) attached.

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: 11/5/99

4. (A) Patent Application Number(s):

09/449,562

4. (B) Patent Number(s):

If this document is being filed together with a new
application, the execution date of the application is:☐ Additional Numbers Attached5. Name and Address of Party to whom Correspondence Concerning this
Document Should be Mailed:Name: **Eugene Mar**Address: **Bacon & Thomas, PLLC**
625 Slaters Lane Fourth Floor
Alexandria, VA 22314Total Number of Applications and
Patents Involved:

1

7. Total Fee:
(37 CFR 3.41)**\$40.00**

- ☐ Enclosed **(Fee Previously paid)**
☐ Authorized to be charged to deposit account

8. Deposit Account Number: **02-0200**

ATTACH DUPLICATE COPY OF THIS PAGE IF PAYING BY DEPOSIT ACCOUNT

DO NOT USE THIS SPACE

9. Statement and Signature:

**To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is
a true copy of the original document.**

Eugene Mar

Name of Person Signing

Signature

November 16, 2000

Date

Total number of pages including cover sheet, attachments and document: **7**

S:\Producer\ccc\DOCUMENTS FILED\Chen 449562 Assign 2.wpd

S:\Producer\ccc\DOCUMENTS FILED\Chen 449562 Assign 2.wpd

PATENT
REEL: 011257 FRAME: 0765

10-17-2000



101478206

RECORDATION FORM COVER SHEET

PATENTS ONLY

BPO FORM PTO 1595 (Rev. 6/93)

U.S. Department of Commerce
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copies thereof.

1. Name of Conveying Party(ies):

Chin Chen CHEN

☐ Additional names of conveying parties attached.

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: 11/5/99

4. (A) Patent Application Number(s):

If this document is being filed together with a new application, the execution date of the application is: 11/5/99

☐ Additional Numbers Attached.

2. Name and Address of Receiving Party(ies):

Name: Chin Chen CHEN (50%)
Kuo Tau HUANG (50%)

Internal Address:

Street Address: (1) No. 7, Alley 5, Lane 3, Ryh Shin Rd.
(2) No. 57, Li Gen 1 St.City, State, Zip: (1) Doug Shan, Yi Lan County, Taiwan, R.O.C.
(2) Che Du District, Keelung City, Taiwan, R.O.C.☐ Additional name(s) and address(es) attached.

4. (B) Patent Number(s):

5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed:

Name: Eugene Mar
Address: Bacon & Thomas
625 Slaters Lane
Alexandria, VA 22314

Total Number of Applications and Patents Involved:

1

7. Total Fee:
(37 CFR 3.41)

\$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account Number: 02-0200

ATTACH DUPLICATE COPY OF THIS PAGE IF PAYING BY DEPOSIT ACCOUNT

DO NOT USE THIS SPACE

9. Statement and Signature:

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Eugene Mar

Name of Person Signing

Signature

November 29, 1999

Date

Total number of pages including cover sheet, attachments and document:

 12/09/1999 REEL 011257 FRAME 0766
 PATENT
 REEL: 010427 FRAME: 0954

 PATENT
 REEL: 011257 FRAME: 0766

ASSIGNMENT

WHEREAS, I (we), Chin Chen CHEN
Assignor Name(s)

Whose post office address(es) appear(s) below. Hereinafter referred to as ASSIGNOR,
have invented certain new and useful improvements in _____

Cup Structure Innovation
Title of Invention

(hereinafter referred to as "The Invention") for which an application for United States
Letters Patent was

☒ executed on even date herewith:

☐ executed on _____:

☐ filed on _____, Serial No. _____;

☐ filed as PCT International Application No. _____

on _____, designating The United States
of America:

☐ issued into U.S. Patent NO. _____ on _____ (date).

WHEREAS, Kuo Tsu HUang
Assignor Name(s)

whose post office address is No. 57, Li Gen 1 street, Che Du District, Keelung CITY,
TAIWAN, R.O.C.

hereinafter referred to as ASSIGNEE, is desirous of acquiring an interest in and to the
same in the United States:

NOW, THEREFORE, for good and valuable consideration, receipt of which is
hereby acknowledged. I (we), ASSIGNOR. By these presents do sell, assign and
transfer unto said ASSIGNEE, a fifty percent (50 %) interest in and to said invention
and application throughout the United States of America, including any and all Letters
Patent granted on any division, continuation, continuation-in-part and reissue of said
application.

<u>Chin Chen CHEN</u> <small>Assignor Name(s)</small>	<u>5, Nov. 1999</u> <small>Date</small>
<u>Taipei, Taiwan, R.O.C.</u> <small>Street Address</small>	<u>Taipei, Taiwan, R.O.C.</u> <small>Where Signed</small>
<u>5, Nov, 1999</u> <small>City</small>	
<u>State (Zip) or Country</u>	<u>Chin Chen CHEN</u> <small>Signatures</small>

ss. (Notarization preferred but not required)

Before me personally appeared said _____
Assignor Name(s)

And acknowledged this instrument to be his (her) free act and deed this _____ day of
_____, 19____.

Notary Public

RECORDED: 11/29/1999

PATENT
REEL: 010427 FRAME: 0955

PATENT
REEL: 011257 FRAME: 0767

D

PATENT

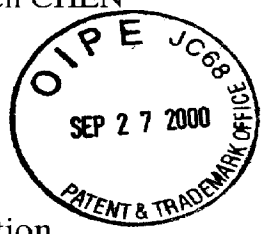
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Chin Chen CHEN

Serial No.: 09/449,562

Filed: Nov. 29, 1999

For: Cup Structure Innovation



:
: Group Art Unit: 3727
: Examiner: J. Moy
:

LETTER

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Correction of information contained in the Notice of Recordation of Assignment Document is hereby requested.

The name of one of the assignees is incorrect. The correct name is **Tse Huang Kuo** not Kuo Tsu Huang. The reason that the error was made is because, in Taiwan, people put their last name before the middle name. The correct last name is KUO instead of Huang. Also, the spelling of Tsu should be Tse.

A copy of the Notice of Recordation is returned herewith to facilitate review of the Assignment Recordal Notice. Please return all of the documents with the Corrected Notice.

We have enclosed: (1) a notice of recordation, (2) correct assignment signed by the inventor and (3) a small entity statement signed by the correct assignee (Mr. Kuo).

Respectfully submitted,
BACON & THOMAS, PLLC

Chung Ching Chen
Registration No. 31,725

Date: September 27, 2000

BACON & THOMAS
625 Slaters Lane, Fourth Floor
Alexandria, Virginia 22314
Phone: (703) 683-0500

S:\Producer\ccc\DOCUMENTS FILED\Chen 449562 PTO Ltr.wpd

VERIFIED STATEMENT (DECLARATION) BY A NON-INVENTOR SUPPORTING A CLAIM BY ANOTHER FOR SMALL ENTITY STATUS

Applicant or Patentee: Chin Chen CHEN

Docket #:

Serial or Patent Number:

Group Art Unit:

Filed or Issued:

Examiner:

Title: Cup Structure Innovation

I hereby declare that I am making this verified statement to support a claim by:

for small entity status for purposes of paying reduced fees to the United States Patent and Trademark Office with regard to the matter described in:

- ☒ The specification filed herewith, with the title as listed above.
☐ The patent application identified above.
☐ The PCT international patent application identified above.
☐ The Patent number identified above.

I hereby declare that I would qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying fees to the U.S. Patent and Trademark Office if I had made the above-identified invention.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

- ☐ no such person, concern or organization.
☒ each such person, concern or organization listed below.

FULL NAME: Tse Huang KUO		<input checked="" type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input type="checkbox"/> NonProfit Organization
ADDRESS: No.57, Li Gen 1 street, Che Du District, Keelung CITY, TAIWAN, R.O.C.		

FULL NAME:		<input type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input type="checkbox"/> NonProfit Organization
ADDRESS:		

☐ See attached sheet for additional person(s) concern(s) or organization(s).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine, or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which the verified statement is directed.

Name and Title in Organization: Tse Huang KUO	Date: 5, Nov, 1999
Address: No.57, Li Gen 1 street, Che Du District, Keelung CITY, TAIWAN, R.O.C.	Signature: Tse Huang KUO

ASSIGNMENT

WHEREAS, I (we), Chin Chen CHEN

whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, is(are) the owner of certain new and useful improvements in CUP STRUCTURE INNOVATION

(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

☐ executed on even date herewith

☐ executed on:

☒ filed on: **November 29, 1999**

Serial No.: **09/449,562**

☐ established by PCT International Patent Application No.:
designating the United States of America

filed:

WHEREAS, (1) Chin Chen CHEN and (2) Tse Huang KUO whose post office address is (1) No. 7, Alley 5, Lane 3, Ryh Shin Rd, Doug Shan, Yi Lan County, Taiwan, R.O.C. and (2) No. 57, Li Gen 1 street, Che Du District, Keelung City, Taiwan, R.O.C.

hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the same in the United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we), ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, a fifty percent (50%) interest in and to said INVENTION and application throughout the United States of America, including any and all Letters Patent granted on any division, continuation, continuation-in-part and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) in the United States for said INVENTION, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE'S expense, to identify and communicate to ASSIGNEE at ASSIGNEE'S request documents and information concerning the INVENTION that are within ASSIGNOR'S possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR'S obligations under this instrument shall extend to ASSIGNOR'S heirs, executors, administrators and other legal representatives.

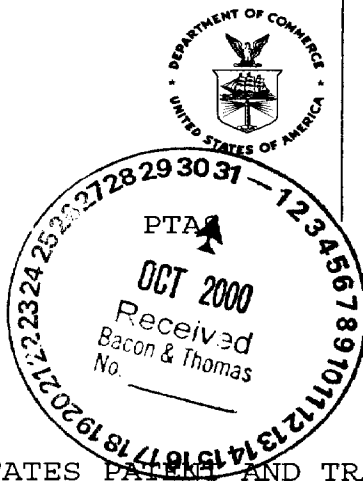
ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE'S sole use and behoof; and for the use and behoof of ASSIGNEE'S legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR authorizes any member of the firm of **Bacon & Thomas, PLLC** to insert or complete any information in this document needed to effect its recordal in the U.S. Patent and Trademark Office.

Assignor Name Chin Chen CHEN	Address No. 7, Alley 5, Lane 3, Ryh Shin Rd. Doug Shan, Yi Lan County, Taiwan, R.O.C.
Where Signed	
Date 5, Nov, 1999	Signature Chin Chen CHEN
Witness (optional)	Witness (optional)
Print/Type Name	Print/Type Name
Signature	Signature

OCTOBER 17, 2000

BACON & THOMAS
EUGENE MAR
625 SLATERS LANE
ALEXANDRIA, VA 22314



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



101478206A

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF DISPOSITION OF DATABASE DISCREPANCY**

YOUR REQUEST TO CORRECT THE PATENT AND TRADEMARK ASSIGNMENT DATABASE SYSTEM HAS BEEN REVIEWED BY A PARALEGAL SPECIALIST OF THE ASSIGNMENT DIVISION. REVIEW OF THE MICROFILM RECORD LOCATED IN THE ASSIGNMENT SEARCH ROOM INDICATES THAT THE DATABASE REFLECTS THE DATA AS ORIGINALLY PRESENTED FOR RECORDATION. THEREFORE, TO CORRECT A PREVIOUSLY RECORDED DOCUMENT, A CORRECTIVE DOCUMENT MUST BE SUBMITTED AS OUTLINED IN 37 CFR 3.34.

SUBMIT CORRECTIVE DOCUMENT TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D. C. 20231.

IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

REFERENCE: REEL/FRAME: 010427/0954

PEARLENE FOSTER, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECORDED: 11/19/2000

**PATENT
REEL: 011257 FRAME: 0771**