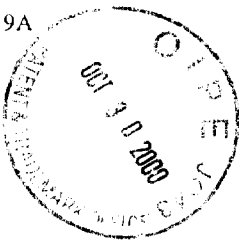


5-90,397

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027



11-24-2000



101528595

U.S. Department of Commerce
Patent and Trademark Office
PATENT

10-30-00

RECORDATION FORM COVER SHEET PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☐ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☒ Other Confirmatory License
- U.S. Government**
(For Use ONLY by U.S. Government Agencies)
- ☒ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) California, University of

Execution Date
Month Day Year
7/13/00

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

10-31-00

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) U.S. Department of Energy

Name (line 2)

Address (line 1) P. O. Box 808 (L-376)

Address (line 2)

Address (line 3) Livermore

CA

State/Country

94550

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

REEL: 011259 FRAME: 0033

Correspondent Name and Address

Area Code and Telephone Number

(925) 422-4367

Name William C. Daubenspeck

Address (line 1) U.S. Department of Energy

Address (line 2) Lawrence Livermore National Laboratory

Address (line 3) P. O. Box 808 (MS L-376)

Address (line 4) Livermore, CA 94550

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

1

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/516999

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 0.00

Method of Payment:

Enclosed ☐

Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☒

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Janet L. Rego

Name of Person Signing

Signature

10-25-2000
Date

CONFIRMATORY LICENSE

Title: Laser and Acoustic Lens for Lithotripsy
Inventor(s): Steven R. Visuri et al.
Contract Number: W-7405-ENG-48
Contractor: Regents of the University of
California

DOE Docket No.: S-90,397
Docket No.: IL-10335
U.S. Patent Application S.N.: 09/516,999
Filing Date: March 1, 2000
Waiver No.: W(C) 92-002-623

Foreign patent applications filed or intended to be filed at contractor's expense in (countries):

The Contractor certifies that a true copy of the provisions which govern patent rights in "subject inventions" under the above-identified contract is herewith submitted or has been submitted to the U.S. Department of Energy by certification dated June 15, 1999.

WHEREAS, the above-identified invention is a subject invention under the above-identified contract;

WHEREAS, the Class Waiver W(C) 92-002-623 of Government rights in certain identified inventions and the above-identified contract provides the Contractor with the right to elect to retain title in certain subject inventions and the Contractor has elected to retain title in the above-identified subject invention, EXCEPT FOR a paid-up license under the above-identified contract and the above-identified waiver, effective March 24, 1999.

ACCORDINGLY, the Contractor hereby confirms that under the provisions of the above-identified contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the above-identified invention, the above-identified patent application(s), and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

The Government reserves for itself, and is hereby granted by the Contractor, the irrevocable power to inspect and make copies of the file wrapper(s) of the above-identified U.S. patent application and of any related or continuation patent application(s), whether domestic or foreign, for the above-identified invention.

It is understood and agreed that this instrument does not preclude the Government from asserting rights under the provisions of the above-identified contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

Signed on behalf of: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
(Contractor)

Witness: Nancy J. Stone **By:** Janet G. Tulk 13 Jul 00
(Signature) (Contractor Official's Signature) (Date)

Nancy J. Stone, Administrative Assistant
(Name and Title)

Janet G. Tulk, Laboratory Counsel
(Print/Type Contractor Official's Name and Title)

Lawrence Livermore National Laboratory
(Print/Type Contractor's Address)

P. O. Box 808, L-701

Livermore, CA 94551-0808

PATENT